



**DEPARTMENT OF CHILDREN
AND FAMILY SERVICES**

REQUEST FOR PROPOSALS

FOR

RESOURCE FAMILY APPROVAL TRAINING

September 2020

**Prepared By
County of Los Angeles**

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APPENDICES:

- A Sample Contract:** Identifies the terms and conditions in the contract.
- B Statement of Work:** Explains in detail the required services to be performed by the Contractor.
- C Statement of Work Exhibits:** Attachments which accompany the Statement of Work.
- D Required Forms:** Forms that must be completed and included in the proposal.
- E Transmittal form to Request a Solicitation Requirements Review:** Transmittal sent to Department requesting a Solicitation Requirements Review.
- F County of Los Angeles Policy on Doing Business with Small Business:** County Policy
- G Jury Service Ordinance:** County Code
- H Listing of Contractors Debarred in Los Angeles County:** Contractors who are not allowed to contract with the County for a specific length of time.
- I Internal Revenue Service Notice 1015:** Provides information on Federal Earned Income Credit.
- J Safely Surrendered Baby Law:** County Program
- K Background and Resources: California Charities Regulation:** An information sheet intended to assist nonprofit agencies with compliance with SB 1262 – the Nonprofit Integrity Act of 2004 and identify available resources.
- L Defaulted Property Tax Reduction Program:** County Code

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- M Transmittal to Request a Disqualification Review**
- N Transmittal to Request a Proposed Contractor Selection Review**
- O Transmittal to Request a County Independent Review**

1.0 INTRODUCTION

- 1.1 The Los Angeles County Department of Children and Family Services (DCFS) is issuing this Request for Proposals (RFP) to solicit proposals for a Contract with an organization that can provide Resource Family Approval Training (RFAT) services.

DCFS recruits prospective foster and adoptive families through a variety of methods such as radio, television, print ads, and social media, such as Facebook, staffing community booths, partnering with the faith-based community, and using foster and adoptive parents as ambassadors for the Department.

In order to increase the number of prospective resource families, a more comprehensive plan to provide DCFS access to the communities where the pre-approval classes are being offered is needed. DCFS is looking to engage community partners that will provide training to the training teams in the Resource Family Approval (RFA) curriculum by accredited trainers, and provide RFA pre-service training to prospective resource families.

- 1.2 Titles, captions and headings contained in this solicitation are inserted as a matter of convenience and for reference and are not intended and shall not be deemed or construed to define, limit, extend or otherwise describe the scope or any provision of this solicitation.

2.0 PURPOSE FOR RESOURCE FAMILY APPROVAL TRAINING SERVICES

2.1 Statement of Work

- 2.1.1 Contractor will provide training (initial leadership and annual leadership training) to the training teams in the Resource Family Approval (RFA) Training curriculum by accredited trainers.
- 2.1.2 Provide RFA Pre-Approval training to prospective resource families.
- 2.1.3 Provide the eight-hour Pre-Placement training in community-based settings that provide reasonable access to potential resource families residing in Los Angeles County.
- 2.1.4 Provide locations and venues where DCFS can recruit prospective resource and adoptive families.
- 2.1.5 Assist prospective resource families with the RFA pre-approval process.
- 2.1.6 Provide Cardio-Pulmonary Resuscitation (CPR) and First Aid certification that meets RFA standards as prescribed in the Written Directives 5.0 or the most current version, including for relative and non-related extended family members (NREFM).

2.1.7 Contractor shall be expected to implement the requirements outlined in Appendix B (Statement of Work) of this RFP.

2.2 Sample Contract: County Terms and Conditions

2.2.1 Contractor shall be expected to implement the requirements outlined in Appendix A (Sample Contract) of this RFP.

2.2.2 Anticipated Contract Term

The Contract term is anticipated to be for a period of one year. The Contract is anticipated to commence on April 1, 2022, or the date of execution by the DCFS Director, with an option to extend for up to two additional one-year renewal periods. Contingent upon available funding, the term of the contract may also be extended by the Director of DCFS or designee beyond the stated expiration date for a period not to exceed six months from the last day of the contract term, if such additional time is necessary to complete the negotiation or solicitation of a new Contract. All terms of the contract in effect at the time of extending the term shall remain in effect for the duration of the extension.

2.2.3 Contract Rates

The Contractor's rates shall remain firm and fixed for the term of the Contract.

2.2.4 Days of Operation

The Contractor shall be required to provide Resource Family Approval Training Services specified herein and be available to authorized County personnel during normal work hours, which generally are 8:00 A.M. to 5:00 P.M., Monday through Friday, except County holidays, or as directed by the County Program Manager (CPM). The Contractor is not required to provide services on County recognized holidays. The CPM will provide a list of the County holidays to the Contractor at the time the Contract is approved, and annually, at the beginning of the calendar year.

2.2.5 Indemnification and Insurance

Contractor shall be required to comply with the provisions contained in Section 8.29 (Indemnification) of Appendix A, (Sample Contract). The Contractor shall procure, maintain, and provide to the County proof of insurance coverage for all the programs of insurance along with associated amounts specified in Section 8.30 (General Provisions for all Insurance Coverage) and Section 8.31 (Insurance Coverage) of Appendix A (Sample Contract).

3.0 PROPOSER'S MINIMUM QUALIFICATIONS

- 3.1 Interested and qualified proposers that can demonstrate their ability and qualifications to successfully provide the required services outlined in Appendix B, Statement of Work of this RFP are invited to submit a proposal(s), provided they meet the following mandatory requirements:
 - 3.1.1 Proposer must have at least three years' full time experience within the last five years, developing, implementing, and providing adult/parent education and training services with a specific focus on child care, parenting, child welfare, education, child/youth development or a related field equivalent or similar to the services identified in Appendix B, Statement of Work.
 - 3.1.2 Proposer must state their willingness to establish an administrative office or branch office in Los Angeles County by the effective date of the Contract.
 - 3.1.3 Proposer must be registered to do business with the office of the California Secretary of State. A certified original copy of the Statement of Information shall be included in the submission of the proposal.
 - 3.1.4 Proposer and its principals must not be currently debarred, suspended, proposed for debarment, or declared ineligible for the award of the contract by any Federal, State or County agency. Proposer must be found clear from County, State and Federal contractor's debarred listings unless an exception has been authorized by State and Federal regulations.
- 3.2 If proposer's compliance with a County contract has been reviewed by the Department of the Auditor-Controller within the last 10 years, proposer must not have unresolved questioned costs identified by the Auditor-Controller, in an amount over \$100,000, that are confirmed to be disallowed costs by the contracting County department, and remain unpaid for six months or more from the date of disallowance, unless such disallowed costs are the subject of current good faith negotiations to resolve the disallowed costs, in the opinion of the county.

4.0 COUNTY'S RIGHTS AND RESPONSIBILITIES

4.1 Representations Made Prior to Contract Execution

- 4.1.1 The County is not responsible for representations made by any of its officers or employees prior to the execution of the Contract unless such understanding or representation is included in the Contract.

4.2 Final Contract Award by the Board of Supervisors

4.2.1 Notwithstanding a recommendation of a Department, agency, individual, or other, the Board of Supervisors retains the right to exercise its judgment concerning the selection of a proposal and the terms of any resultant agreement, and to determine which proposal best serves the interests of the County. The Board is the ultimate decision making body and makes the final determinations necessary to arrive at a decision to award, or not award, a contract.

4.3 County Option to Reject Proposals

4.3.1 Proposers are hereby advised that this RFP is a solicitation for proposals only, and is not intended, and is not to be construed as, an offer to enter into a contract or as a promise to engage in any formal competitive bidding or negotiations pursuant to any statute, ordinance, rule, or regulation. The County may, at its sole discretion, reject any or all proposals submitted in response to this RFP or may, in its sole discretion, reject all proposals and cancel this RFP in its entirety. The County shall not be liable for any costs incurred by the proposer in connection with the preparation and submission of any proposal. The County reserves the right to waive inconsequential disparities in a submitted proposal.

4.4 County's Right to Amend Request for Proposals

4.4.1 The County has the right to amend the RFP by written addendum. The County is responsible only for that which is expressly stated in the solicitation document and any authorized written addenda thereto. Such addendum shall be made available to each person or organization which County records indicate has received this RFP. Should such addendum require additional information not previously requested, failure to address the requirements of such addendum may result in the proposal being found non-responsive and not being considered, as determined in the sole discretion of the County. The County is not responsible for and shall not be bound by any representations otherwise made by any individual acting or purporting to act on its behalf.

4.5 Background and Security Investigations

4.5.1 Contractor shall ensure that criminal clearances with subsequent arrest notification and background checks have been conducted for all Contractor's staff and volunteers, prior to beginning and continuing work under any resulting Contract (see Appendix A, Sample Contract, Section 7.5, Background and Security Investigations). The cost of such criminal clearances and background checks is the responsibility of the Contractor whether or

not the Contractor's staff passes or fails the background and criminal clearance investigations.

4.6 County's Quality Assurance Plan

4.6.1 After contract award, the County or its agent will monitor the Contractor's performance under the contract on a periodic basis. Such monitoring will include assessing Contractor's compliance with all terms and conditions in the Contract and performance standards identified in Appendix B (Statement of Work). Contractor's deficiencies which the County determines are significant or continuing and that may jeopardize performance of the Contract will be reported to the County's Board of Supervisors. The report will include improvement/corrective action measures taken by the County and Contractor. If improvement does not occur consistent with the corrective action measures, the County may terminate the Contract in whole or in part, or impose other penalties as specified in the Contract.

5.0 PROPOSER'S REQUIREMENTS AND CERTIFICATIONS

5.1 Notice to Proposers Concerning the Public Records Act

5.1.1 Responses to this solicitation shall become the exclusive property of the County. Absent extraordinary circumstances, the recommended proposer's proposal will become a matter of public record when (1) contract negotiations are complete; (2) DCFS receives a letter from the recommended proposer's authorized officer that the negotiated contract is the firm offer of the recommended proposer; and (3) DCFS releases a copy of the recommended proposer's proposal in response to a Notice of Intent to Request a Proposed Contractor Selection Review under Board Policy No. 5.055 (Services Contract Solicitation Protest).

Notwithstanding the above, absent extraordinary circumstances, all proposals will become a matter of public record when the Department's proposer recommendation appears on the Board agenda.

Exceptions to disclosure are those parts or portions of all proposals that are justifiably defined as business or trade secrets, and plainly marked by the proposer as "Trade Secret," "Confidential," or "Proprietary."

5.1.2 The County shall not, in any way, be liable or responsible for the disclosure of any such record or any parts thereof, if disclosure is required or permitted under the California Public Records Act or otherwise by law. A blanket statement of confidentiality or the marking of each page of the proposal as confidential shall not be

deemed sufficient notice of exception. The proposers must specifically label only those provisions of their respective proposal which are "Trade Secret," "Confidential," or "Proprietary" in nature.

- 5.1.3 In the event the County is required to defend an action on a Public Records Act request for any of the aforementioned documents, information, books, records, and/or contents of a proposal marked "Confidential," "Trade Secret," or "Proprietary," proposer agrees to defend and indemnify County from all costs and expenses, including reasonable attorneys' fees, incurred in connection with any action, proceedings, or liability arising in connection with the Public Records Act request.

5.2 Contact with County Personnel

- 5.2.1 All contact regarding this RFP or any matter relating thereto must be in writing and may be mailed, e-mailed or faxed as follows:

Department of Children and Family Services
Contracts Administration Division
425 Shatto Place, Room 400
Los Angeles, CA 90020
Attention: Thanh Nguyen, Assistant Manager
E-mail address: NguyeT4@dcfs.lacounty.gov
Fax #: (213) 637-2554

If it is discovered that proposer contacted and received information from any County personnel, other than the person specified above, regarding this solicitation, County, in its sole determination, may disqualify their proposal from further consideration.

5.3 Mandatory Requirement to Register on County's WebVen

- 5.3.1 Prior to a contract award, all potential contractors must register in the County's WebVen. The WebVen contains the Vendor's business profile and identifies the goods/services the business provides. Registration can be accomplished online via the Internet by accessing the County's home page at: <http://camisvr.co.la.ca.us/webven/>.

5.4 Protest Policy Review Process

- 5.4.1 Under Board Policy No. 5.055 (Services Contract Solicitation Protest), any prospective proposer may request a review of the requirements under a solicitation for a Board-approved services contract, as described in Section 5.4.3 (Grounds for Review) below. Additionally, any actual proposer may request a review of a disqualification or of a proposed contract award under such a solicitation, as described respectively in the sections below. It is the

responsibility of the proposer challenging the decision of a County Department to demonstrate that the Department committed a sufficiently material error in the solicitation process to justify invalidation of a proposed contract award.

5.4.2 Throughout the review process, the County has no obligation to delay or otherwise postpone an award of contract based on a proposer protest. In all cases, the County reserves the right to make an award when it is determined to be in the best interest of the County of Los Angeles to do so.

5.4.3 Grounds for Review

Unless state or federal statutes or regulations otherwise provide, the grounds for review of a solicitation for a Board-approved services contract provided for under Board Policy No. 5.055 (Services Contract Solicitation Protest) are limited to the following:

5.4.3.1 Review of Solicitation Requirements (reference Section 7.4, Proposal Submission Requirements Section)

5.4.3.2 Review of a Disqualified Proposal (reference Section 8.3, Selection Process and Evaluation Criteria Section)

5.4.3.3 Review of Proposed Contractor Selection (reference Section 8.7, Selection Process and Evaluation Criteria Section)

5.5 Injury and Illness Prevention Program

5.5.1 Contractor shall be required to comply with the State of California's Cal OSHA's regulations. California Code of Regulations Title 8, Section 3203 requires all California employers to have a written, effective Injury and Illness Prevention Program (IIPP) that addresses hazards pertaining to the particular workplace covered by the program.

5.6 Confidentiality and Independent Contractor Status

5.6.1 As appropriate, Contractor shall be required to comply with Section 7.6 (Confidentiality) and Section 8.28 (Independent Contractor Status), contained in Appendix A (Sample Contract).

5.7 Conflict of Interest

5.7.1 No County employee whose position in the County enables him/her to influence the selection of a Contractor for this RFP, or any competing RFP, nor any spouse or economic dependent of such employees, shall be employed in any capacity by a proposer or have any other direct or indirect financial interest in the selection of a

contractor. Proposer shall certify that he/she is aware of and has read Section 2.180.010 of the Los Angeles County Code as stated in Exhibit 5 (Certification of No Conflict of Interest) of Appendix D (Required Forms).

5.8 Determination of Proposer Responsibility

- 5.8.1 A responsible proposer is a proposer who has demonstrated the attribute of trustworthiness, as well as quality, fitness, capacity and experience to satisfactorily perform the contract. It is the County's policy to conduct business only with responsible proposers.
- 5.8.2 Proposers are hereby notified that, in accordance with Chapter 2.202 of the County Code, the County may determine whether the proposer is responsible based on a review of the proposer's performance on any contracts, including but not limited to County contracts. Particular attention will be given to violations of labor laws related to employee compensation and benefits, and evidence of false claims made by the proposer against public entities. Labor law violations which are the fault of the subcontractors and of which the proposer had no knowledge shall not be the basis of a determination that the proposer is not responsible.
- 5.8.3 The County may declare a proposer to be non-responsible for purposes of this contract if the Board of Supervisors, in its discretion, finds that the proposer has done any of the following: 1) violated a term of a contract with the County or a nonprofit corporation created by the County; 2) committed an act or omission which negatively reflects on the proposer's quality, fitness or capacity to perform a contract with the County, any other public entity, or a nonprofit corporation created by the County, or engaged in a pattern or practice which negatively reflects on same; 3) committed an act or omission which indicates a lack of business integrity or business honesty; or 4) made or submitted a false claim against the County or any other public entity.
- 5.8.4 If there is evidence that the apparent highest ranked proposer may not be responsible, the Department shall notify the proposer in writing of the evidence relating to the proposer's responsibility, and its intention to recommend to the Board of Supervisors that the proposer be found not responsible. The Department shall provide the proposer and/or the proposer's representative with an opportunity to present evidence as to why the proposer should be found to be responsible and to rebut evidence which is the basis for the Department's recommendation.
- 5.8.5 If the proposer presents evidence in rebuttal to the Department, the Department shall evaluate the merits of such evidence, and based

on that evaluation, make a recommendation to the Board of Supervisors. The final decision concerning the responsibility of the proposer shall reside with the Board of Supervisors.

5.8.6 These terms shall also apply to proposed subcontractors of proposers on County contracts.

5.9 Proposer Debarment

5.9.1 The proposer is hereby notified that, in accordance with Chapter 2.202 of the County Code, the County may debar the proposer from bidding or proposing on, or being awarded, and/or performing work on other County contracts for a specified period of time, which generally will not exceed five (5) years but may exceed five (5) years or be permanent if warranted by the circumstances, and the County may terminate any or all of the proposer's existing contracts with County, if the Board of Supervisors finds, in its discretion, that the proposer has done any of the following: 1) violated a term of a contract with the County or a nonprofit corporation created by the County; 2) committed an act or omission which negatively reflects on the proposer's quality, fitness or capacity to perform a contract with the County, any other public entity, or a nonprofit corporation created by the County, or engaged in a pattern or practice which negatively reflects on same; 3) committed an act or offense which indicates a lack of business integrity or business honesty; or 4) made or submitted a false claim against the County or any other public entity.

5.9.2 If there is evidence that the apparent highest ranked proposer may be subject to debarment, the Department shall notify the proposer in writing of the evidence which is the basis for the proposed debarment, and shall advise the proposer of the scheduled date for a debarment hearing before the Contractor Hearing Board.

5.9.3 The Contractor Hearing Board shall conduct a hearing where evidence on the proposed debarment is presented. The proposer and/or proposer's representative shall be given an opportunity to submit evidence at that hearing. After the hearing, the Contractor Hearing Board shall prepare a tentative proposed decision, which shall contain a recommendation regarding whether the proposer should be debarred, and, if so, the appropriate length of time of the debarment. The proposer and the Department shall be provided an opportunity to object to the tentative proposed decision prior to its presentation to the Board of Supervisors.

5.9.4 After consideration of any objections, or if no objections are received, a record of the hearing, the proposed decision and any other recommendation of the Contractor Hearing Board shall be presented to the Board of Supervisors. The Board of Supervisors shall have

the right to modify, deny or adopt the proposed decision and recommendation of the Contractor Hearing Board.

- 5.9.5 If a proposer has been debarred for a period longer than five (5) years, that proposer may, after the debarment has been in effect for at least five (5) years, submit a written request for review of the debarment determination to reduce the period of debarment or terminate the debarment. The County may, in its discretion, reduce the period of debarment or terminate the debarment if it finds that the proposer has adequately demonstrated one or more of the following: 1) elimination of the grounds for which the debarment was imposed; 2) a bona fide change in ownership or management; 3) material evidence discovered after debarment was imposed; or 4) any other reason that is in the best interests of the County.
- 5.9.6 The Contractor Hearing Board will consider requests for review of a debarment determination only where 1) the proposer has been debarred for a period longer than five (5) years; 2) the debarment has been in effect for at least five (5) years; and 3) the request is in writing, states one or more of the grounds for reduction of the debarment period or termination of the debarment, and includes supporting documentation. Upon receiving an appropriate request, the Contractor Hearing Board will provide notice of the hearing on the request. At the hearing, the Contractor Hearing Board shall conduct a hearing where evidence on the proposed reduction of debarment period or termination of debarment is presented. This hearing shall be conducted and the request for review decided by the Contractor Hearing Board pursuant to the same procedures as for a debarment hearing.
- 5.9.7 The Contractor Hearing Board's proposed decision shall contain a recommendation on the request to reduce the period of debarment or terminate the debarment. The Contractor Hearing Board shall present its proposed decision and recommendation to the Board of Supervisors. The Board of Supervisors shall have the right to modify, deny, or adopt the proposed decision and recommendation of the Contractor Hearing Board.
- 5.9.8 These terms shall also apply to proposed subcontractors of proposers on County contracts.
- 5.9.9 Appendix H (Listing of Contractors Debarred in Los Angeles County) provides a link to the County's website where there is a listing of Contractors that are currently on the Debarment List for Los Angeles County.

5.10 Adherence to County's Child Support Compliance Program

5.10.1 Proposers shall: 1) fully comply with all applicable State and Federal reporting requirements relating to employment reporting for its employees; and 2) comply with all lawfully served Wage and Earnings Assignment Orders and Notice of Assignment and continue to maintain compliance during the term of any contract that may be awarded pursuant to this solicitation. Failure to comply may be cause for termination of a contract or initiation of debarment proceedings against the non-compliant Contractor (County Code Chapter 2.202).

5.11 Gratuities

5.11.1 Attempt to Secure Favorable Treatment

It is improper for any County officer, employee or agent to solicit consideration, in any form, from a proposer with the implication, suggestion or statement that the proposer's provision of the consideration may secure more favorable treatment for the proposer in the award of the Contract or that the proposer's failure to provide such consideration may negatively affect the County's consideration of the proposer's submission. A proposer shall not offer or give either directly or through an intermediary, consideration, in any form, to a County officer, employee or agent for the purpose of securing favorable treatment with respect to the award of the Contract.

5.11.2 Proposer Notification to County

A proposer shall immediately report any attempt by a County officer, employee or agent to solicit such improper consideration. The report shall be made either to the County manager charged with the supervision of the employee or to the County Auditor-Controller's Employee Fraud Hotline at (800) 544-6861. Failure to report such a solicitation may result in the proposer's submission being eliminated from consideration.

5.11.3 Form of Improper Consideration

Among other items, such improper consideration may take the form of cash, discounts, services, the provision of travel or entertainment, or tangible gifts.

5.12 Notice to Proposers Regarding the County Lobbyist Ordinance

5.12.1 The Board of Supervisors of the County of Los Angeles has enacted an ordinance regulating the activities of persons who lobby County officials. This ordinance, referred to as the "Lobbyist Ordinance", defines a County Lobbyist and imposes certain registration

requirements upon individuals meeting the definition. The complete text of the ordinance can be found in County Code Chapter 2.160. In effect, each person, corporation or other entity that seeks a County permit, license, franchise or contract must certify compliance with the ordinance. As part of this solicitation process, it will be the responsibility of each proposer to review the ordinance independently as the text of said ordinance is not contained within this RFP. Thereafter, each person, corporation or other entity submitting a response to this solicitation, must certify that each County Lobbyist, as defined by Los Angeles County Code Section 2.160.010, retained by the proposer is in full compliance with Chapter 2.160 of the Los Angeles County Code and each such County Lobbyist is not on the Executive Office's List of Terminated Registered Lobbyists by completing and submitting Exhibit 6 (Familiarity with the County Lobbyist Ordinance Certification) of Appendix D (Required Forms), as part of their proposal.

5.13 Federal Earned Income Credit

5.13.1 The Contractor shall notify its employees, and shall require each subcontractor to notify its employees, that they may be eligible for the Federal Earned Income Credit under the federal income tax laws. Such notice shall be provided in accordance with the requirements set forth in Appendix I (Internal Revenue Service Notice No. 1015).

5.14 Consideration of GAIN/GROW Participants for Employment

5.14.1 As a threshold requirement for consideration for contract award, proposers shall demonstrate a proven record of hiring participants in the County's Department of Public Social Services Greater Avenues for Independence (GAIN) or General Relief Opportunity for Work (GROW) Programs or shall attest to a willingness to consider GAIN/GROW participants for any future employment openings if they meet the minimum qualifications for that opening. Proposers shall attest to a willingness to provide employed GAIN/GROW participants access to the proposers' employee mentoring program, if available, to assist these individuals in obtaining permanent employment and/or promotional opportunities.

5.14.2 Proposers who are unable to meet this requirement shall not be considered for contract award. Proposers shall submit a completed, Exhibit 9 (Attestation of Willingness to Consider GAIN/GROW Participants) of Appendix D (Required Forms), along with their proposal.

5.15 Recycled Bond Paper

5.15.1 Proposer shall be required to comply with the County's policy on recycled bond paper as specified in Section 8.47 (Recycled Bond Paper) of Appendix A (Sample Contract).

5.16 Safely Surrendered Baby Law

5.16.1 The Contractor shall notify and provide to its employees, and shall require each subcontractor to notify and provide to its employees, information regarding the Safely Surrendered Baby Law, its implementation in Los Angeles County, and where and how to safely surrender a baby. The information is set forth in Appendix J (Safely Surrendered Baby Law) of this solicitation document. Additional information is available at www.babysafela.org.

5.17 Jury Service Program

5.17.1 The prospective contract is subject to the requirements of the County's Contractor Employee Jury Service Ordinance ("Jury Service Program") (Los Angeles County Code, Chapter 2.203). Prospective Contractors should carefully read Appendix G (Jury Service Ordinance) and Section 8.11 (Compliance with the County's Jury Service Program) of Appendix A (Sample Contract), both of which are incorporated by reference into and made a part of this RFP. The Jury Service Program applies to both Contractors and their subcontractors.

Proposals that fail to comply with the requirements of the Jury Service Program will be considered non-responsive and excluded from further consideration.

5.17.2 The Jury Service Program requires Contractors and their subcontractors to have and adhere to a written policy that provides that its employees shall receive from the Contractor, on an annual basis, no less than five days of regular pay for actual jury service. The policy may provide that employees deposit any fees received for such jury service with the Contractor or that the Contractor deducts from the employee's regular pay the fees received for jury service. For purposes of the Jury Service Program, "employee" means any California resident who is a full-time employee of a Contractor and "full-time" means 40 hours or more worked per week, or a lesser number of hours if: 1) the lesser number is a recognized industry standard as determined by the County, or 2) the Contractor has a long-standing practice that defines the lesser number of hours as full-time. Therefore, the Jury Service Program applies to all of a Contractor's full-time California employees, even those not working specifically on the County project. Full-time employees providing

short-term, temporary services of 90 days or less within a 12-month period are not considered full-time for purposes of the Jury Service Program.

5.17.3 There are two ways in which a Contractor might not be subject to the Jury Service Program. The first is if the Contractor does not fall within the Jury Service Program's definition of "Contractor". The Jury Service Program defines "Contractor" to mean a person, partnership, corporation or other entity which has a contract with the County or a subcontract with a County Contractor and has received or will receive an aggregate sum of \$50,000 or more in any 12-month period under one or more County contracts or subcontracts. The second is if the Contractor meets one of the two exceptions to the Jury Service Program. The first exception concerns small businesses and applies to Contractors that have 1) ten or fewer employees; and, 2) annual gross revenues in the preceding twelve months which, if added to the annual amount of this Contract is less than \$500,000, and, 3) is not an "affiliate or subsidiary of a business dominant in its field of operation". The second exception applies to Contractors that possess a collective bargaining agreement that expressly supersedes the provisions of the Jury Service Program. The Contractor is subject to any provision of the Jury Service Program not expressly superseded by the collective bargaining agreement.

5.17.4 If a Contractor does not fall within the Jury Service Program's definition of "Contractor" or if it meets any of the exceptions to the Jury Service Program, then the Contractor must so indicate in Exhibit 10 (Contractor Employee Jury Service Program Certification Form and Application for Exception) of Appendix D (Required Forms), and include with its submission all necessary documentation to support the claim such as tax returns or a collective bargaining agreement, if applicable. Upon reviewing the Contractor's application, the County will determine, in its sole discretion, whether the Contractor falls within the definition of Contractor or meets any of the exceptions to the Jury Service Program. The County's decision will be final.

5.18 Intentionally Omitted

5.19 Notification to County of Pending Acquisitions/Mergers by Proposing Company

5.19.1 The proposer shall notify the County of any pending acquisitions/mergers of its company unless otherwise legally prohibited from doing so. If the proposer is restricted from legally notifying the County of pending acquisitions/mergers, then it should notify the County of the actual acquisitions/mergers as soon as the law allows and provide to the County the legal framework that restricted it from notifying the County prior to the actual

acquisitions/mergers. This information shall be provided by the proposer in Exhibit 1, (Proposer's Organization Questionnaire/Affidavit and CBE Information) of Appendix D (Required Forms). Failure of the proposer to provide this information may eliminate its proposal from any further consideration. Proposer shall have a continuing obligation to notify County of changes to the information contained in Exhibit 1 (Proposer's Organization Questionnaire/Affidavit and CBE Information) during the pendency of this RFP by providing a revised Exhibit 1 (Proposer's Organization Questionnaire/Affidavit and CBE Information) to the County upon the occurrence of any event giving rise to a change in its previously-reported information.

5.20 Proposer's Charitable Contributions Compliance

5.20.1 California's "Supervision of Trustees and Fundraisers for Charitable Purposes Act" regulates receiving and raising charitable contributions. Among other requirements, those subject to the Charitable Purposes Act must register. The 2004 Nonprofit Integrity Act (SB 1262, Chapter 919) increased Charitable Purposes Act requirements. Prospective Contractors should carefully read Appendix K (Background and Resources: California Charities Regulation). New rules cover California public benefit corporations, unincorporated associations, and trustee entities and may include similar foreign corporations doing business or holding property in California. Key Nonprofit Integrity Act requirements affect executive compensation, fund-raising practices and documentation. Charities with over two million dollars (\$2,000,000) of revenues (excluding funds that must be accounted for to a governmental entity) have new audit requirements.

5.20.2 All prospective contractors must determine if they receive or raise charitable contributions which subject them to the Charitable Purposes Act and complete the certification form attached as Exhibit 12 (Charitable Contributions Certification) in Appendix D (Required Forms). A completed Exhibit 12 (Charitable Contributions Certification) is a required part of any agreement with the County.

5.20.3 In Exhibit 12 (Charitable Contributions Certification), prospective contractors certify either that:

5.20.3.1 They have determined that they do not now receive or raise charitable contributions regulated under the California Charitable Purposes Act, (including the Nonprofit Integrity Act) but will comply if they become subject to coverage of those laws during the term of a County agreement,

- OR -

5.20.3.2 They are currently complying with their obligations under the Charitable Purposes Act, attaching a copy of their most recent filing with the Registry of Charitable Trusts.

5.20.4 Prospective County contractors that do not complete Exhibit 12 (Charitable Contributions Certification) as part of the solicitation process may, in the County's sole discretion, be disqualified from contract award. A County contractor that fails to comply with its obligations under the Charitable Purposes Act is subject to either contract termination or debarment proceedings or both. (County Code Chapter 2.202)

5.21 Defaulted Property Tax Reduction Program

5.21.1 The prospective contract is subject to the requirements of the County's Defaulted Property Tax Reduction Program ("Defaulted Tax Program"), (Los Angeles County Code, Chapter 2.206). Prospective Contractors should carefully read Appendix L (Defaulted Property Tax Reduction Program Ordinance) and the pertinent provisions in Section 8.61 (Warranty of Compliance with County's Defaulted Property Tax Reduction Program) and 8.62 (Termination for Breach of Warranty to Maintain Compliance with County's Defaulted Property Tax Reduction Program) of Appendix A (Sample Contract), both of which are incorporated by reference into and made a part of this solicitation. The Defaulted Tax Program applies to both Contractors and their subcontractors.

5.21.2 Proposers shall be required to certify that they are in full compliance with the provisions of the Defaulted Tax Program and shall maintain compliance during the term of any contract that may be awarded pursuant to this solicitation or shall certify that they are exempt from the Defaulted Tax Program by completing Exhibit 14 (Certification of Compliance with the County's Defaulted Property Tax Reduction Program) in Appendix D (Required Forms). Failure to maintain compliance, or to timely cure defects, may be cause for termination of a contract or initiation of debarment proceedings against the non-compliant contractor (Los Angeles County Code, Chapter 2.202).

5.21.3 Proposals that fail to comply with the certification requirements of the Defaulted Tax Program will be considered non-responsive and excluded from further consideration.

5.22 Time Off for Voting

5.22.1 The Contractor shall notify its employees, and shall require each subcontractor to notify and provide to its employees, information regarding the time off for voting law (Elections Code Section 14000). Not less than 10 days before every statewide election, every

Contractor and subcontractors shall keep posted conspicuously at the place of work, if practicable, or elsewhere where it can be seen as employees come or go to their place of work, a notice setting forth the provisions of Section 14000.

5.23 Proposer's Acknowledgement of County's Commitment to Zero Tolerance Policy on Human Trafficking

5.23.1 On October 4, 2016, the Los Angeles County Board of Supervisors approved a motion taking significant steps to protect victims of human trafficking by establishing a zero tolerance policy on human trafficking. The policy prohibits contractors engaged in human trafficking from receiving contract awards or performing services under a County contract.

5.23.2 Contractors are required to complete Exhibit 16 (Zero Tolerance Policy on Human Trafficking Certification) in Appendix D (Required Forms), certifying that they are in full compliance with the County's Zero Tolerance Policy on Human Trafficking provision as defined in Section 8.64 (Compliance with County's Zero Tolerance Policy on Human Trafficking) of Appendix A (Sample Contract). Further, contractors are required to comply with the requirements under said provision for the term of any contract awarded pursuant to this solicitation.

5.24 Protection of Electronic County Information – Data Encryption Standard

The prospective contract is subject to the encryption requirements set forth below (collectively, the "Encryption Standards"). Proposers shall become familiar with the Encryption Standards and the pertinent provisions of the Sample Contract, Appendix A, Section 9.4 (Data Encryption) both of which are incorporated by reference into and made a part of this solicitation.

5.24.1 Proposers shall be required to complete Exhibit 17 (Proposer's Compliance with Encryption Requirements) in Appendix D (Required Forms) providing information about their encryption practices and certifying that they will be in compliance with the Encryption Standards at the commencement of the Contract and during the term of any contract that may be awarded pursuant to this solicitation. Proposers that fail to comply with the certification requirements of this provision will be considered non-responsive and excluded from further consideration.

5.24.2 Proposers use of remote servers (e.g. cloud storage, Software-as-a-Service or SaaS) for storage of County Personal Information (PI), Protected Health Information (PHI) and/or Medical Information (MI) shall be disclosed by Proposers in the Exhibit 17 and shall be subject

to written pre-approval by the County's Chief Executive Office. Any use of remote servers may subject the Proposer to additional encryption requirements for such remote servers.

5.25 Default Method of Payment: Direct Deposit or Electronic Funds Transfer (EFT)

5.25.1 The County, at its sole discretion, has determined that the most efficient and secure default form of payment for goods and/or services provided under an agreement/contract with the County shall be Electronic Funds Transfer (EFT) or direct deposit, unless an alternative method of payment is deemed appropriate by the Auditor-Controller (A-C).

5.25.2 Upon contract award or at the request of the A-C and/or the contracting department, the Contractor shall submit a direct deposit authorization request with banking and vendor information, and any other information that the A-C determines is reasonably necessary to process the payment and comply with all accounting, record keeping, and tax reporting requirements.

5.25.3 Any provision of law, grant, or funding agreement requiring a specific form or method of payment other than EFT or direct deposit shall supersede this requirement with respect to those payments.

5.25.4 Upon contract award or at any time during the duration of the agreement/contract, a Contractor may submit a written request for an exemption to this requirement. The A-C, in consultation with the contracting department(s), shall decide whether to approve exemption requests.

5.26 Proposer's Acknowledgement of County's Commitment to Fair Chance Employment Hiring Practices

5.26.1 On May 29, 2018, the Los Angeles County Board of Supervisors approved a Fair Chance Employment Policy in an effort to remove job barriers for individuals with criminal records. The policy requires businesses that contract with the County to comply with fair chance employment hiring practices set forth in California Government Code Section 12952, Employment Discrimination: Conviction History (Section 12952).

5.26.2 Contractors are required to complete Exhibit 18 (Compliance with Fair Chance Employment Hiring Practices Certification) in Appendix D (Required Forms), certifying that they are in full compliance with Section 12952, as indicated in the Sample Contract. Further, Contractors are required to comply with the requirements under Section 12952 for the term of any contract awarded pursuant to this solicitation.

5.27 Prohibition from Participation in Future Solicitation(s)

Neither a Contractor, subsidiary of nor Subcontractor to Contractor, nor a Proposer shall participate, in any way, in any future solicitations conducted by County that includes, or is based upon any services rendered by the Contractor/Proposer under this Agreement. As this prohibition applies to Subcontractors of the Contractor, the Contractor shall notify any Subcontractors providing services under this Agreement of this prohibition before they commence work. Any response to a solicitation submitted by the Contractor/Proposer, or by any subsidiary of or Subcontractor to the Contractor/Proposer in violation of this provision shall be rejected by County. This provision shall survive the expiration, or other termination of this Agreement.

6.0 COUNTY'S PREFERENCE PROGRAMS

6.1 Overview of County's Preference Programs

6.1.1 The County of Los Angeles has three preference programs. The Local Small Business Enterprise (LSBE), Disabled Veterans Business Enterprise (DVBE), and Social Enterprise (SE). The Board of Supervisors encourages business participation in the County's contracting process by continually streamlining and simplifying our selection process and expanding opportunities for these businesses to compete for County opportunities.

6.1.2 The Preference Programs (LSBE, DVBE, and SE) requires that a business must complete certification prior to requesting a preference in a solicitation. This program and how to obtain certification are further explained in Sections 6.2, 6.4, and 6.5 of this solicitation.

6.1.3 In no case shall the Preference Programs (LSBE, DVBE, and SE) price or scoring preference be combined with any other county preference program to exceed fifteen percent (15%) in response to any County solicitation.

6.1.4 Sanctions and financial penalties may apply to a business that knowingly, and with intent to defraud, seeks to obtain or maintain certification as a certified LSBE, DVBE, or SE when not qualified.

6.1.5 The County also has a Policy on Doing Business with Small Business that is stated in Appendix F.

6.2 Local Small Business Enterprise (LSBE) Preference Program

6.2.1 The County will give LSBE preference during the solicitation process to businesses that meet the definition of a LSBE, consistent with Chapter 2.204.030C.2 of the Los Angeles County Code.

- 6.2.2 A business which is certified as small by the federal Small Business Administration (SBA) or maintains an active registration as small in the System for Award Management (SAM) data base may qualify to request the LSBE Preference in a solicitation.
- 6.2.3 To apply for certification as an LSBE, businesses should contact the Department of Consumer and Business Affairs at <http://dcba.lacounty.gov>.
- 6.2.4 Certified LSBEs may only request the preference if the certification process has been completed and certification is affirmed. Businesses must complete and submit Exhibit 7, Request for Preference Program Consideration in Appendix D – Required Forms and submit a letter of certification from the DCBA with their proposal.
- 6.2.5 Information on the Federal small business is available at the System for Award Management website at <http://www.sam.gov>.

6.3 Local Small Business Enterprise (LSBE) Prompt Payment Program

- 6.3.1 It is the intent of the County that Certified LSBEs receive prompt payment for services they provide to County Departments. Prompt payment is defined as 15 calendar days after receipt of an undisputed invoice.

6.4 Social Enterprise (SE) Preference Program

- 6.4.1 The County will give preference during the solicitation process to businesses that meet the definition of a SE, consistent with Chapter 2.205 of the Los Angeles County Code. A SE is defined as:
 - 6.4.1.1 A business that qualifies as a SE and has been in operation for at least one year providing transitional or permanent employment to a Transitional Workforce or providing social, environmental and/or human justice services; and
 - 6.4.1.2 A business certified by the Department of Consumer and Business Affairs (DCBA) as a SE.
- 6.4.2 The DCBA shall certify that a SE meets the criteria set forth in Section 6.4.1.
- 6.4.3 Certified SEs may only request the preference if the certification has been completed and certification is affirmed. Businesses must complete and submit Exhibit 7, Request for Preference Program Consideration in Appendix D – Required Forms and submit a letter of certification from the DCBA with their proposal.
- 6.4.4 Further information on SEs also available on the DCBA's website at: <http://dcba.lacounty.gov>.

6.5 Disabled Veteran Business Enterprise (DVBE) Preference Program

6.5.1 The County will give preference during the solicitation process to businesses that meet the definition of a DVBE, consistent with Chapter 2.211 of the Los Angeles County Code. A DVBE vendor is defined as:

6.5.1.1 A business which is certified by the State of California as a DVBE; or

6.5.1.2 A business which is verified as a service-disabled veteran-owned small business (SDVOSB) by the Veterans Administration.

6.5.1.3 A business certified as DVBE with other certifying agencies pursuant to the Department of Consumer and Business Affairs' (DCBA) inclusion policy that meets the criteria set forth by the agencies in 6.5.1.1 and .2 above.

6.5.2 The DCBA shall certify that a DVBE is currently certified by the State of California, by the U.S. Department of Veteran Affairs, or is determined by the DCBA' inclusion policy that meets the criteria set forth by the agencies in Section 6.5.1.1 or .2 above.

6.5.3 Certified DVBEs may only request the preference if the certification process has been completed and certification is affirmed. Businesses must complete and submit Exhibit 7, Request for Preference Program Consideration in Appendix D – Required Forms and submit a letter of certification from the DCBA with their proposal.

6.5.4 Information about the State's DVBE certification regulations is found in the California Code of Regulations, Title 2, Subchapter 8, Section 1896 et seq., and is also available on the California Department of General Services Office of Disabled Veteran Business Certification and Resources website at <http://www.dgs.ca.gov/pd/Home.aspx>.

6.5.5 Information on the Department of Veteran Affairs SDVOSB certification regulations is found in the Code of Federal Regulations, 38CFR 74 and is also available on the Department of Veterans Affairs Website at: <http://www.vetbiz.gov/>.

7.0 PROPOSAL SUBMISSION REQUIREMENTS

This Section contains key project dates and activities as well as instructions to proposers in how to prepare and submit their proposal.

7.1 Proposal Submission Information

7.1.1 This Section (Proposal Submission Requirements) contains key project dates and activities as well as instructions to proposers in how to prepare and submit their proposal.

7.2 Truth and Accuracy of Representations

7.2.1 False, misleading, incomplete, or deceptively unresponsive statements in connection with a proposal shall be sufficient cause for rejection of the proposal. The evaluation and determination in this area shall be at the Director's sole judgment and his/her judgment shall be final. All proposals shall be firm and final offers and may not be withdrawn for a period of one hundred eighty (180) days following the final proposal submission date.

7.3 RFP Timetable

The timetable for this RFP is as follows:

- Release of RFP on or about 09/24/2020
- Request for a Solicitation Requirements Review Due 10/8/2020
- Proposers Conference 10/15/2020
- Questions and Answers Released 11/2/2020
- **Proposals due by** 12:00 P.M. Pacific Time 12/7/2020

7.4. Solicitation Requirements Review

7.4.1 Any person or entity may seek a Solicitation Requirements Review by submitting Appendix E (Transmittal Form to Request a Solicitation Requirements Review) to the Department conducting the solicitation as described in this Section 7.4 (Solicitation Requirements Review). A request for a Solicitation Requirements Review may be denied, in the Department's sole discretion, if the request does not satisfy all of the following criteria:

1. The request is made within the time frame identified in the solicitation document (generally within ten business days of the issuance of the solicitation document);
2. The request includes documentation (e.g., letterhead, business card, etc.), which identifies the underlying authority of the person or entity to submit a proposal;
3. The request itemizes in appropriate detail, each matter contested and factual reasons for the requested review; and
4. The request asserts either that:

- a. application of the minimum requirements, evaluation criteria and/or business requirements unfairly disadvantages the person or entity; or,
- b. due to unclear instructions, the process may result in the County not receiving the best possible responses from prospective proposers.

The Solicitation Requirements Review shall be completed and the Department's determination shall be provided to the requesting person or entity, in writing, within a reasonable time prior to the proposal due date.

7.5 Proposers' Questions

- 7.5.1 Proposers may submit written questions regarding this RFP at the Proposers Conference. All questions, without identifying the submitting company, will be compiled with the appropriate answers and issued as an addendum to the RFP.

When submitting questions please specify the RFP section number, paragraph number, and page number and quote the language that prompted the question. This will ensure that the question can be quickly found in the RFP. County reserves the right to group similar questions when providing answers.

Questions may address concerns that the application of minimum requirements, evaluation criteria and/or business requirements would unfairly disadvantage proposers or, due to unclear instructions, may result in the County not receiving the best possible responses from proposer.

7.6 Proposers Conference

- 7.6.1 A **Proposers Conference** will be held to discuss the RFP requirements. County staff will respond to questions from potential proposers. The conference is scheduled as follows:

Date: October 15, 2020
2:00 P.M. PST

Due to social distancing rules, the Proposer's Conference will be held virtually. The detailed information regarding the Proposer's Conference will be posted on DCFS and County websites on or about October 8, 2020. It is strongly recommended that all interested potential proposers attend this conference. Proposers will be required to sign in at the beginning of the conference. Questions submitted during the conference will be read and answered by

County. All questions may not be answered at the conference, but will be answered in the Questions and Answers document, scheduled to be released on or about November 2, 2020.

7.7 Preparation of the Proposal

Two (2) separate proposals must be submitted – a Business Proposal and a Cost Proposal. The original Business Proposal and four (4) copies must be securely bound, separately, in a three-ring binder or other protective covering, and submitted in the prescribed format. The original Cost Proposal and two (2) copies must be securely bound, separately, in a three-ring binder or other protective covering and submitted in the prescribed format. Any proposal that deviates from this format may be rejected as non-responsive without review at the County’s sole discretion. Each page must be clearly and consecutively numbered including all attachments.

7.8 Business Proposal Format

7.8.1 The content and sequence of the proposal must be as follows:

7.8.1.1 Transmittal Letter (3-page limit)

7.8.1.2 Proposer’s Organization Questionnaire/Affidavit and Required Support Documents for Corporations and Limited Liability Companies

7.8.1.3 Table of Contents

7.8.1.4 Executive Summary (Section A – 3-page limit)

7.8.1.5 Proposer’s Qualifications (Section B – 12-page limit)

7.8.1.6 Proposer’s Approach to Provide Required Services (Section C – 50-page limit)

7.8.1.7 Proposer’s Quality Control Plan and Green Initiative (Section D – 7-page limit)

7.8.1.8 Terms and Conditions in the Sample Contract, and Requirements of the Statement of Work (SOW): Acceptance of/or Exceptions to (Section E)

7.8.1.9 Business Proposal Required Forms (Section F)

7.8.1.10 Last Page of Business Proposal

7.8.2 Transmittal Letter

The proposal must contain a Transmittal Letter that is no more than three (3) pages, single-sided, and typed/printed in 12 point, Arial font that includes the following in the order listed:

- Title of RFP and date;
- The exact legal business name and legal business status (i.e., partnership, corporation, etc.) of the proposer, as indicated in Proposer's Organization Questionnaire/Affidavit and CBE Information of the RFP;
- A brief introduction of the proposer and its organization;
- Proposer's address, telephone, email address and facsimile (fax) numbers and the number of years' proposer has been in business under the present business name, as well as prior business names;
- The name, address, email, fax and telephone number of the person authorized to act on behalf of the proposer in connection with this RFP; and
- Must bear the signature of the individual(s) authorized to sign on behalf of the proposer (name, title and signature) which binds the applicant in a contract. The person signing this form shall be recognized as the proposer's contact person for any communication between the County and the proposer.

7.8.3 Proposer's Organization Questionnaire/Affidavit and Required Support Documentation

The proposer shall complete, sign and date Exhibit 1 (Proposer's Organization Questionnaire/Affidavit and CBE Information) in Appendix D (Required Forms). The person signing the form must be authorized to sign on behalf of the proposer and to bind the applicant in a Contract.

Taking into account the structure of the proposer's organization, proposer shall determine which of the below referenced supporting documents the County requires. If the proposer's organization does not fit into one of these categories, upon receipt of the proposal or at some later time, the County may, in its discretion, request additional documentation regarding the proposer's business organization and authority of individuals to sign contracts.

If the below referenced documents are not available at the time of proposal submission, proposers must request the appropriate

documents from the California Secretary of State and provide a statement on the status of the request.

7.8.4 Required Support Documents:

Corporations or Limited Liability Company (LLC):

The proposer must submit the following documentation with the proposal:

- 1) A copy of a “Certificate of Good Standing” with the state of incorporation/organization.
- 2) A conformed copy of the most recent “Statement of Information” as filed with the California Secretary of State listing corporate officers or members and managers. Statement of Information must contain the State’s seal.

7.8.5 Limited Partnership:

The proposer must submit a conformed copy of the Certificate of Limited Partnership or Application for Registration of Foreign Limited Partnership as filed with the California Secretary of State, and any amendments.

7.8.6 Table of Contents

List all material included in the proposal. Include a clear definition of the material, identified by sequential page numbers and by section reference numbers.

7.8.7 Executive Summary (Section A)

Condense and highlight the contents of the proposer’s Business Proposal to provide Department of Children and Family Services with a broad understanding of the proposer’s approach, qualifications, experience, and staffing. Section A of your proposal shall not exceed 3 pages.

7.8.8 Proposer’s Qualifications (Section B)

Demonstrate that the proposer’s organization has the experience and financial capability to perform the required services. Section B of your proposal shall not exceed 12 pages not including the required forms listed below. The following sections must be included:

7.8.8.1 Proposer’s Background and Experience (Section B.1)

Provide a summary of relevant background information to demonstrate that the proposer meets the minimum requirement(s) stated in Section 3.0 (Proposer's Minimum Qualifications) of this RFP and has the capability to perform the required services as a corporation or other entity.

7.8.8.2 Proposer's References (Section B.2)

It is the proposer's sole responsibility to ensure that the firm's name, and point of contact's name, title and phone number for each reference is accurate. The same references may be listed on both Exhibit 2 (Prospective Contractor References) and Exhibit 3 (Prospective Contractor List of Contracts) in Appendix D (Required Forms).

1. County may disqualify a proposer as non-responsive and/or non-responsible if:
 - a) references fail to substantiate proposer's description of the services provided; or
 - b) references fail to support that proposer has a continuing pattern of providing capable, productive and skilled personnel; or
 - c) the Department is unable to reach the point of contact with reasonable effort. It is the proposer's responsibility to inform the point of contact of normal working hours.
2. The proposer must complete and include the following Required Forms in Appendix D:
 - a) Exhibit 2 (Prospective Contractor References) in Appendix D (Required Forms). Proposer must provide three (3) references where the same or similar scope of services was provided.
 - b) Exhibit 3 (Prospective Contractor List of Contracts) in Appendix D (Required Forms). Listing must include all Public Entities contracts for the last three (3) years. Use additional sheets if necessary.
 - c) Exhibit 4 (Prospective Contractor List of Terminated Contracts) in Appendix D (Required Forms). Listing must include contracts terminated within the past three (3) years with a reason for termination.

7.8.8.3 Proposer's Financial Capability (Section B.3)

Provide copies of the company's most current and prior two (2) fiscal years (for example 2019 and 2018) financial statements. Statements should include the company's assets, liabilities and net worth and at a minimum should include the Balance Sheet, Statement of Income, and the Statement of Cash Flows. It should be noted that depending on the nature of the entity, i.e., for-profit, non-profit, governmental, the title of these statements may differ. For example, for a non-profit entity the Balance Sheet is referred to as the Statement of Financial Position. If audited statements are available, these should be submitted to meet this requirement. Do not submit Income Tax Returns to meet this requirement. Financial statements will be kept confidential if so stamped on each page subject to the limitations described in Section 5.1, Notice to Proposers Concerning the Public Records Act.

7.8.8.4 Proposer's Pending Litigation and Judgments (Section B.4)

Identify by name, case and court jurisdiction any pending litigation in which proposer is involved, or judgments against proposer in the past five (5) years. Provide a statement describing the size and scope of any pending or threatening litigation against the proposer or principals of the proposer. Proposer must include Exhibit 20 (Proposer's Involvement in Litigation and Contract Compliance Difficulties) Appendix D (Required Forms) with their proposal.

7.8.9 Proposer's Approach to Provide Required Services (Section C)

Present a description of the methodology the proposer will use to meet contract work requirements. Describe in detail how the services will be performed to meet the intent of the SOW and its outcomes. Proposer shall submit a general Resource Family Approval Training Services plan (model) that shall not exceed 50 written pages using 12 point, Arial font, single-sided, single-spaced. The plan shall include, but is not limited to:

7.8.9.1 How your agency will provide access to locations and events for DCFS RFA Trainings as described in the RFP, and disseminate brochures and promotional materials, register and provide training to the prospective families.

- 7.8.9.2 How your agency will provide initial training in the RFAT curriculum to any new training team members as well as, at least annually, regarding the RFAT curriculum to the experienced training team.
- 7.8.9.3 How your agency plans to conduct preparation meetings with the training team no less than seven days before the start of RFA Pre-Approval Training Module One to discuss the training curriculum and their respective training roles throughout the training series.
- 7.8.9.4 How your agency will offer a varied tentative training schedule of the proposed RFA Training modules at multiple locations to accommodate potential resource families which include: sites easily accessible by public transportation; safe and convenient parking accommodating up to 35 adults; set up with tables and chairs in a U-shape or semicircle; and clean and operable restroom facilities.
- 7.8.9.5 How your agency will offer the RFA Pre-Approval Training series with English and Spanish modules; and dates and times during evenings, Monday through Thursday, and on weekends, at least 15 days prior to the anticipated start date of the first training series.
- 7.8.9.6 How your agency will ensure the Master Trainer and Training Teams meet the education and experience requirements and how your agency will ensure the annual training requirements are met?
- 7.8.9.7 How your agency will ensure that the training locations are available in each service area within 30 days of the contract start date.
- 7.8.9.8 How your agency will arrange for Cardio Pulmonary Resuscitation (CPR) certification training and First Aid certification training, which meet the RFA standards for applicants, on a minimum of a quarterly basis in each of the eight SPAs to a minimum of 15 people per class.
- 7.8.9.9 How your agency will facilitate trainings; the types of trainings your agency conducted; and how many years of experience does your agency and its staff have in providing trainings.
- 7.8.9.10 How your agency will develop a training evaluation to gather feedback and measure the effectiveness and the quality of the RFA Training series, trainers, training

facilities, etc., at the last module of the series and incorporate the feedback to improve quality of service and make improvements.

7.8.9.11 How your agency will ensure that participants who are not authorized do not attend or register in the RFA Pre-Approval Training without prior CPM written approval.

7.8.9.12 How your agency will maximize module enrollment and ensure that ensure that 25% of the modules are in Spanish; will the proposer provide translators for participants that speak languages other than English and Spanish.

7.8.10 Proposer's Quality Control Plan (Section D not to exceed 7 pages)

Present a comprehensive Quality Control Plan (QCP) to be utilized by the proposer as a self-monitoring tool and that measures the quality of service to ensure the required services are provided as specified in Appendix B (Statement of Work) and the Performance Requirements Summary Chart in Appendix C (Statement of Work Exhibits).

The following factors may be included in the plan:

7.8.10.1 Activities to be monitored to ensure compliance with all Contract requirements;

7.8.10.2 Monitoring methods to be used;

7.8.10.3 Frequency of monitoring;

7.8.10.4 Samples of forms to be used in monitoring;

7.8.10.5 Title/level and qualifications of personnel performing monitoring functions; and

7.8.10.6 Documentation methods of all monitoring results, including any corrective action taken.

The comprehensive QCP shall include methods to ensure uninterrupted service delivery in the event of staff absences, vacations, illness, work stoppages and/or other emergencies.

7.8.11 Proposer's Green Initiatives

Present a description of proposed plan for complying with the green requirements as described in Section 12.0 of the SOW. Describe your company's current environmental policies and practices and those proposed to be implemented.

7.8.12 Terms and Conditions in the Sample Contract, and Requirements of the Statement of Work (SOW): Acceptance of/or Exceptions to (Section E)

7.8.12.1 It is the duty of every proposer to thoroughly review the Sample Contract and Statement of Work to ensure compliance with all terms, conditions and requirements. It is the County's expectation that in submitting a proposal the proposers will accept, as stated, the County's terms and conditions in the Sample Contract and the County's requirements in the Statement of Work. However, the proposers are provided the opportunity to take exceptions to the County's terms, conditions, and requirements.

7.8.12.2 Section E of proposer's response must include:

1. A statement offering the proposer's acceptance of or exceptions to all terms and conditions listed in Appendix A (Sample Contract).
2. A statement offering the proposer's acceptance of or exceptions to all requirements listed in Appendix B (Statement of Work); and

For each exception, the proposer shall provide:

1. An explanation of the reason(s) for the exception;
2. The proposed alternative language; and
3. A description of the impact, if any, to the proposer's price.

7.8.12.3 Indicate all exceptions to the Sample Contract and/or the Statement of Work by providing a 'red-lined' version of the language in question. The County relies on this procedure and any proposer who fails to make timely exceptions as required herein, may be barred, at the County's sole discretion, from later making such exceptions.

The County reserves the right to determine if proposers' exceptions are material enough to deem the proposal non-responsive and not subject to further evaluation.

The County reserves the right to make changes to the Sample Contract and its appendices and exhibits at its sole discretion.

7.8.13 Business Proposal Required Forms (Section F)

Proposal shall include all completed, signed, and dated forms identified in Appendix D (Required Forms).

- Exhibit 1 Proposer's Organization Questionnaire/Affidavit and CBE Information
- Exhibit 2 Prospective Contractor References
- Exhibit 3 Prospective Contractor List of Contracts
- Exhibit 4 Prospective Contractor List of Terminated Contracts
- Exhibit 5 Certification of No Conflict of Interest
- Exhibit 6 Familiarity with the County Lobbyist Ordinance Certification
- Exhibit 7 Request for Preference Program Consideration
- Exhibit 8 Proposer's EEO Certification
- Exhibit 9 Attestation of Willingness to Consider GAIN/GROW Participants
- Exhibit 10 Contractor Employee Jury Service Program Certification Form and Application for Exception
- Exhibit 11-13 Cost Forms, included in the Cost Proposal
- Exhibit 14 Charitable Contribution Certification
- Exhibit 15 Certification of Compliance with the County's Defaulted Property Tax Reduction Program
- Exhibit 16 Proposer's Acknowledgement of County's Commitment to Zero Tolerance Policy on Human Trafficking
- Exhibit 17 Proposer's Compliance with Encryption Requirements
- Exhibit 18 Compliance with Fair Chance Employment Hiring Practices Certification
- Exhibit 19 Business Structure Registration Documents
- Exhibit 20 List of Current Members of Board of Directors/Other Agencies
- Exhibit 21 Board of Director's Resolution
- Exhibit 22 Proposer's List of Business Partners or Associates

- Exhibit 23 Proposer's Certification of Ownership and Financial Interest
- Exhibit 24 Proposer's Involvement in Litigation and Contract Compliance Difficulties
- Exhibit 25 Organization Chart
- Exhibit 26 Organizational Licenses, Permits and Certification
- Exhibit 27 Proof of Insurability
- Exhibit 28 Revenue Disclosure (non-public proposer)
- Exhibit 29 List of Proposer's Commitments
- Exhibit 30 Audited Financial Statements
- Exhibit 31 Contractor's Certification of Compliance with Child, Spousal, and Family Support Orders
- Exhibit 32 Contractor's Certification of Compliance with all Federal and State Employment Reporting Requirements
- Exhibit 33 Federal Debarment Certification
- Exhibit 34 Proposer's Truth and Warranty Certification
- Exhibit 35 Acknowledgement of RFP Restrictions
- Exhibit 36 Offer to Perform and Acceptance of Terms and Conditions

7.9 Cost Proposal Format

7.9.1 The content and sequence of the proposal must be as follows:

- 7.9.1.1 Cover Page identifying, at a minimum, the RFP and the proposer's name.
- 7.9.1.2 Exhibit 11 (Certification of Independent Price Determination and Acknowledgement of RFP Restrictions) in Appendix D (Required Forms)
- 7.9.1.3 Exhibit 12 (Pricing Schedule) in Appendix D (Required Forms)
- 7.9.1.4 Exhibit 13 (Line Item Budget and Narrative) in Appendix D (Required Forms (5-page limit))

7.10 Firm Offer/Withdrawal of Proposal

7.10.1 Until the proposal submission deadline, errors in proposals may be corrected by a request in writing to withdraw the proposal and by submission of another set of proposals with the mistakes corrected.

Corrections will not be accepted once the deadline for submission of proposals has passed.

7.11 Proposal Submission

7.11.1 The original Business Proposal and four (4) copies shall be enclosed, securely bound, separately, in a three-ring binder or other protective covering, in a sealed envelope or box, plainly marked in the upper left-hand corner with the name and address of the proposer and bear the words:

**"BUSINESS PROPOSAL FOR RESOURCE FAMILY
APPROVAL TRAINING SERVICES"**

The original Cost Proposal and two (2) copies must be submitted, securely bound, separately, in a three-ring binder or other protective covering, in a sealed package, plainly marked in the upper left-hand corner with the name and address of the Proposer and bear the words:

**"COST PROPOSAL FOR RESOURCE FAMILY APPROVAL
TRAINING SERVICES"**

The proposal(s) shall be delivered or mailed to:

Department of Children and Family Services
425 Shatto Place, Room 400
Los Angeles, CA 90020
Attention: Thanh Nguyen, Assistant Manager

The closing date and time for RFP submissions is Monday, December 7, 2020 at 12:00 P.M. All RFPs must be submitted and date stamped in Room 400 by the submission deadline of 12:00 noon on December 7, 2020. RFPs not received in Room 400, and date stamped by 12:00 noon on December 7, 2020, will not be accepted. Ensure that you allow time to find parking and to sign in at the reception desk on the First Floor. Being in the Building or at the Reception Desk at the submission deadline will result in the submission not being accepted. It is the sole responsibility of the submitting proposer to ensure that its proposal is received before the submission deadline. Submitting proposers shall bear all risks associated with delays in delivery by any person or entity, including the U.S. Mail. Any proposals received after the scheduled closing date and time for receipt of proposals, as listed in Section 7.3 (RFP Timetable), will not be accepted and returned to the sender unopened. Timely hand-delivered proposals are acceptable. No facsimile (fax) or electronic mail (e-mail) copies will be accepted.

All proposals shall be firm offers and may not be withdrawn for a period of 365 days following the last day to submit proposals.

8.0 SELECTION PROCESS AND EVALUATION CRITERIA

8.1 Selection Process

The County reserves the sole right to judge the contents of the proposals submitted pursuant to this RFP and to review, evaluate and select the successful proposal(s). The selection process will begin with receipt of the proposal on December 7, 2020.

8.1.1 The selection process will be conducted in four phases.

A. Phase One – Technical Review of Business Proposal and Cost Proposal – The first phase will involve a preliminary review of the proposer's compliance with the minimum mandatory qualifications, including proposer's eligibility and Submission Guidelines. Phase One is a **PASS OR FAIL** determination of:

- Proposer's adherence to proposer's minimum qualifications in Section 3.0, Proposer's Minimum Mandatory Qualifications;
- Adherence to Proposal Format instructions listed in Section 7.7, Preparation of the Proposal;
- Inclusion of Section 7.8, Business Proposal Format; and
- Inclusion of Section 7.9, Cost Proposal Format

8.1.1.A.1 Proposals received by December 7, 2020, at 12:00 P.M., PST, will be reviewed for responsiveness and responsibility of Phase One of the selection process. Proposals received after the deadline are non-responsive and will be returned to the proposer.

8.1.1.A.2 Any proposer who submits a proposal that is incomplete or has incomplete or missing forms may be disqualified and their proposal eliminated from further consideration at the sole discretion of the County. The County may elect to ask proposers for additional or missing documentation prior to completing its review.

8.1.1.A.3 Proposals which fail to satisfy these requirements may be eliminated from the proposal review.

8.1.1.A.4 The County will notify the proposer of a rejection/disqualification that occurs during Phase One of the review process.

8.1.1.A.5 Proposals that pass Phase One of the selection process will then be evaluated by an Evaluation Committee in Phase Two.

8.1.1.A.6 The County reserves the right to waive minor variances in a proposal.

B. Phase Two – Business Proposal Review: The second phase is the evaluation and scoring of the Business Proposals. The Evaluation Committee shall be determined by County. The Evaluation Committee shall evaluate proposals in accordance with the evaluation criteria outlined in this RFP. Each proposal will be read and evaluated by three separate reviewers.

8.1.1.B.1 A review of the Proposer's Qualifications (Section B of proposal), Approach to Provide Required Services (Section C of proposal), and Quality Control Plan (Section D of proposal) of the Business Proposal shall be conducted.

C. Phase Three – Cost Proposal Review: The third phase consists of a review of the proposed price and line-item narrative from the Cost Proposal.

D. Phase Four – Deductions: The last phase of the selection process will be initiated if situation is applicable. Deductions for Contractor Alert Reporting Database (CARD), if applicable, will be applied in Phase Two.

8.1.1.D.1 Points will be deducted for exceptions to the Terms and Conditions of the Sample Contract as described in Sub-Section 8.4.4 of this RFP. Each exception listed in Section E (Acceptance/Exceptions to Terms and Conditions) of your proposal shall be reviewed to determine its impact on the proposed Contract. Deduction shall be applied to each exception submitted.

8.1.2 The County may also, at its option, invite proposers being evaluated to make a verbal presentation or conduct site visits, if appropriate. The evaluation committee may utilize the services of appropriate experts to assist in this evaluation.

8.1.3 The scores of proposals completing all four phases shall be calculated and ranked in numerical sequence in descending order. Proposal with the highest score (total points of Business and Cost Proposals minus any deductions from Phase Four) shall be tentatively awarded a contract.

- 8.1.4 After a prospective Contractor has been selected, the County and the prospective Contractor(s) will negotiate a Contract for submission to the Board of Supervisors for its consideration and possible approval. If a satisfactory Contract cannot be negotiated, the County may, at its sole discretion, begin contract negotiations with the next qualified proposer who submitted a proposal, as determined by the County.
- 8.1.5 The recommendation to award a Contract will not bind the Board of Supervisors to award a Contract to the prospective Contractor.
- 8.1.6 The County retains the right to select a proposal other than the proposal receiving the highest number of points if County determines, in its sole discretion, another proposal is the most overall qualified, cost-effective, responsive, responsible and in the best interests of the County.

8.2 Adherence to Minimum Requirements (Pass/Fail)

- 8.2.1 County shall review Exhibit 1 (Proposer's Organization Questionnaire/Affidavit and CBE Information) in Appendix D (Required Forms) and determine if the proposer meets the minimum requirements as outlined in Section 3.0 (Proposer's Minimum Qualifications) of this RFP.
- 8.2.2 Failure of the proposer to comply with the minimum requirements may eliminate its proposal from any further consideration. The County may elect to waive any informality in a proposal if the sum and substance of the proposal is present.

8.3 Disqualification Review

- 8.3.1 A proposal may be disqualified from consideration because a Department determined it was non-responsive at any time during the review/evaluation process. If a Department determines that a proposal is disqualified due to non-responsiveness, the Department shall notify the proposer in writing.

Upon receipt of the written determination of non-responsiveness, the proposer may submit a written request for a Disqualification Review within the timeframe specified in the written determination.

A request for a Disqualification Review may, in the Department's sole discretion, be denied if the request does not satisfy all of the following criteria:

1. The request for a Disqualification Review is submitted timely (i.e., by the date and time specified in the written determination); and

2. The request for a Disqualification Review asserts that the Department's determination of disqualification due to non-responsiveness was erroneous (e.g. factual errors, etc.) and provides factual support on each ground asserted as well as copies of all documents and other material that support the assertions.

8.3.2 The Disqualification Review shall be completed and the determination shall be provided to the requesting proposer, in writing, prior to the conclusion of the evaluation process.

8.3.3 Proposer can also be disqualified for Section 5.8 (Determination of Proposer Responsibility).

8.4 Business Proposal Evaluation and Criteria (70%)

Any reviews conducted during the evaluation of the proposal may result in a point reduction.

8.4.1 Proposer's Qualifications (15%)

8.4.1.1 Proposer will be evaluated on their experience and capacity as a corporation or other entity to perform the required services based on information provided in Subsection 7.8.8.1 (Proposer's Background and Experience, Section B.1) of the proposal.

8.4.1.2 In addition to the references provided, a review will include the County's Contract Database and Contractor Alert Reporting Database, if applicable, reflecting past performance history on County or other contracts. This review may result in point deductions up to one hundred percent (100%) of the total points awarded in this evaluation category. Additionally, a review of terminated contracts will be conducted which may result in point deductions.

8.4.1.3 A review will be conducted to evaluate the proposer's financial capability as provided in Subsection 7.8.8.3 (Proposer's Financial Capability, Section B.3) of the proposal.

8.4.1.4 A review will be conducted to determine the significance of any litigation or judgments pending against the proposer as provided in Subsection 7.8.8.4 (Proposer's Pending Litigation and Judgment, Section B.4) of the proposal.

8.4.2 Proposer's Approach to Providing Required Services (40%)

The proposer will be evaluated on its description of the methodology to be used to meet the County's requirements based on information provided in Subsection 7.8.9 (Proposer's Approach to Provide Required Services, Section C) of the proposal.

8.4.3 Quality Control Plan (15%)

The proposer will be evaluated on its ability to establish and maintain a complete Quality Control Plan to ensure the requirements of this Contract are provided as specified. Evaluation of the Quality Control Plan shall cover the proposed monitoring system of all services listed on the Performance Requirements Summary (PRS) based on the information provided in Subsection 7.8.10 (Proposer's Quality Control Plan, Section D) of the proposal.

8.4.4 Exceptions to Terms and Conditions of Sample Contract and/or Requirements of the Statement of Work

Proposer will be evaluated on their willingness to accept the Terms and Conditions outlined in Appendix A (Sample Contract) and the Requirements of the Statement of Work outlined in Exhibit A (Statement of Work), as stated in Subsection 7.8.12 (Terms and Conditions in the Sample Contract, and Requirements of the Statement of Work (SOW): Acceptance of/or Exceptions to Section E of the proposal. The County may deduct rating points or disqualify the proposal in its entirety if the exceptions are material enough to deem the proposal non-responsive.

Proposers are further notified that the County may, in its sole determination, disqualify any proposer with whom the County cannot satisfactorily negotiate a Contract.

8.5 Cost Proposal Evaluation Criteria (30%)

Within this Cost Proposal section, the Pricing Schedule is weighted at 75 percent (75%) and the Budget Narrative is weighted at 25 percent (25%).

8.5.1 The maximum number of possible Pricing Schedule points will be awarded to the proposer(s) with the lowest total Pricing Schedule cost proposal. All other proposals will be compared to the lowest cost and points awarded accordingly.

8.5.2 Review of Cost Proposal and Financial Statements will be completed by subject matter experts. Findings will be included in the final score.

8.5.3 Proposal shall include a complete cost breakdown for the use of the funding allocation to provide all service components as described in Appendix B, Statement of Work. This will include:

8.5.3.1 Adequate explanations/descriptions of cost within each category of service.

8.5.3.2 All proposals must have a narrative attached to the budget providing a thorough and clear explanation of all projected line item budget costs. The narrative must follow the same sequence as the line item budget, and include an explanation of the method of allocating costs for any joint or shared budget item. Include adequate explanations/descriptions of costs within each category of service.

8.5.4 However, should one or more of the proposers' request and be granted the preference, the cost component points will be determined as follows:

Fifteen percent (15%) of the lowest cost proposed will be calculated, not to exceed \$150,000, and that amount will be deducted from the cost submitted by all proposers who requested and were granted the preference.

8.5.5 In no case shall any preference be combined to exceed fifteen percent (15%) of the lowest responsible bid meeting specifications.

8.6 Intentionally Omitted

8.7 Department's Proposed Contractor Selection Review

8.7.1 Departmental Debriefing Process

Upon completion of the evaluation, the Department shall notify the remaining proposers in writing that the Department is entering negotiations with another proposer. Upon receipt of the letter, any non-selected proposer may submit a written request for a Debriefing within the timeframe specified in the letter. A request for a Debriefing may, in the Department's sole discretion, be denied if the request is not received within the specified timeframe.

The purpose of the Debriefing is to compare the requesting proposer's response to the solicitation document with the evaluation document. The requesting proposer shall be debriefed only on its response. Because contract negotiations are not yet complete, responses from other proposers shall not be discussed, although the Department may inform the requesting proposer of its relative ranking.

During or following the Debriefing, the Department will instruct the requesting proposer of the manner and timeframe in which the requesting proposer must notify the Department of its intent to

request a Proposed Contractor Selection Review (see Subsection 8.7.2 below), if the requesting proposer is not satisfied with the results of the Debriefing.

8.7.2 Proposed Contractor Selection Review

Any proposer that has timely submitted a notice of its intent to request a Proposed Contractor Selection Review as described in this Subsection 8.7.2 (Proposed Contractor Selection Review) may submit a written request for a Proposed Contractor Selection Review, in the manner and timeframe as shall be specified by the Department.

A request for a Proposed Contractor Selection Review may, in the Department's sole discretion, be denied if the request does not satisfy all of the following criteria:

1. The request for a Proposed Contractor Selection Review is submitted timely (i.e., by the date and time specified by the Department);
2. The person or entity requesting a Proposed Contractor Selection Review asserts in appropriate detail with factual reasons one or more of the following grounds for review:
 - a. The Department materially failed to follow procedures specified in its solicitation document. This includes:
 - i. Failure to correctly apply the standards for reviewing the proposal format requirements.
 - ii. Failure to correctly apply the standards, and/or follow the prescribed methods, for evaluating the proposals as specified in the solicitation document.
 - iii. Use of evaluation criteria that were different from the evaluation criteria disclosed in the solicitation document.
 - b. The Department made identifiable mathematical or other errors in evaluating proposals, resulting in the proposer receiving an incorrect score and not being selected as the recommended contractor.
 - c. A member of the Evaluation Committee demonstrated bias in the conduct of the evaluation.
 - d. Another basis for review as provided by state or federal law; and

3. The request for a Proposed Contractor Selection Review sets forth sufficient detail to demonstrate that, but for the Department's alleged failure, the proposer would have been the lowest cost, responsive and responsible bid or the highest-scored proposal, as the case may be.

Upon completing the Proposed Contractor Selection Review, the Department representative shall issue a written decision to the proposer within a reasonable time following receipt of the request for a Proposed Contractor Selection Review, and always before the date the contract award recommendation is to be heard by the Board. The written decision shall additionally instruct the proposer of the manner and timeframe for requesting a County Independent Review (see Section 8.8 below).

8.8 County Independent Review Process

- 8.8.1 Any proposer that is not satisfied with the results of the Proposed Contractor Selection Review may submit a written request for a County Independent Review in the manner and timeframe specified by the Department in the Department's written decision regarding the Proposed Contractor Selection Review.

A request for County Independent Review may, in the County's sole discretion, be denied if the request does not satisfy all of the following criteria:

1. The request for a County Independent Review is submitted timely (i.e., by the date and time specified by the Department); and
2. The person or entity requesting review by a County Independent Review has limited the request to items raised in the Proposed Contractor Selection Review as listed in Subsection 8.7.2 (Proposed Contractor Selection Review) above.

Upon completion of the County Independent Review, Internal Services Department will forward the report to the Department, which will provide a copy to the proposer.

8.9 Notification of Award

- 8.9.1 All proposers will be notified in writing of the final selection.
- 8.9.2 The winning proposer shall be prepared to enter into a contract with the County, which shall be substantially the same as Appendix A,

Sample Contract; and Appendix B, Statement of Work as included in the RFP.

- 8.9.3 Notwithstanding the above, County reserves the right to change final terms and conditions, deemed to be in the best interest of the County, that do not affect the basis of the contract award.
- 8.9.4 The County will then recommend the contract award to the Board of Supervisors for its consideration and approval.
- 8.9.5 Any recommendation to award a contract shall in no manner bind the Board of Supervisors to award a contract to the proposer(s), which by law must exercise its judgment and discretion concerning the selection of proposals and the terms of any resultant contract.

8.10 Formal Approval of Contract

- 8.10.1 Notwithstanding a recommendation of a department, agency, individual, or other, the Board of Supervisors retains the right to exercise its judgment concerning the selection of a proposal(s) and the terms of any resultant contract, and to determine which proposal(s) best serve(s) the interest of the County.
- 8.10.2 The Board of Supervisors is the ultimate decision making body and makes the final determinations necessary to arrive at a decision to award, or not award, a contract that has been recommended by the Department.
- 8.10.3 Acceptance or recommendation of a proposal(s) does not constitute formation of a contract. A contract can be created only by formal approval by the Board of Supervisors and executed according to law.

8.11 Selection Process Disclaimer

- 8.11.1 County reserves the right to waive, at its sole discretion, any inconsequential disparity or disparities in a submitted proposal.
- 8.11.2 The failure of a proposer to promptly supply information in connection with the selection process may be grounds for disqualification at the County's sole discretion.
- 8.11.3 A proposer may be disqualified if on any previous contract(s) with the County it has either: 1) defaulted on performance; or 2) failed to effectively complete performance on schedule.

SAMPLE CONTRACT



CONTRACT

BY AND BETWEEN

COUNTY OF LOS ANGELES

AND

CONTRACTOR

FOR

RESOURCE FAMILY APPROVAL TRAINING SERVICES

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**CONTRACT BETWEEN
COUNTY OF LOS ANGELES
AND
NAME OF AGENCY
FOR
RESOURCE FAMILY APPROVAL TRAINING SERVICES**

This Contract ("Contract") made and entered into this ____ day of _____, 20__ by and between the County of Los Angeles, hereinafter referred to as County and _____, hereinafter referred to as "Contractor".

RECITALS

WHEREAS, pursuant to Government Code Sections 26227, 31000 and 53703, County is permitted to contract for services; and

WHEREAS, County has determined that the services to be provided under this Contract are of an extraordinary, professional nature; and

WHEREAS, Contractor warrants that it possesses the competence, expertise and personnel necessary to provide such services; and

NOW THEREFORE, in consideration of the mutual covenants contained herein, and for good and valuable consideration, the parties agree to the following:

1.0 APPLICABLE DOCUMENTS

Exhibits A, A-1 through A-14, B, C-1, C-2, C-3, D, E, F, G, H, I, J, K, L, M, N, and O are attached to and form a part of this Contract. In the event of any conflict or inconsistency in the definition or interpretation of any word, responsibility, schedule, or the contents or description of any task, deliverable, goods, service, or other work, or otherwise between the base Contract and the Exhibits, or between Exhibits, such conflict or inconsistency shall be resolved by giving precedence first to the terms and conditions of the Contract and then to the Exhibits according to the following priority.

Standard Exhibits:

- 1.1 Exhibit A Statement of Work
Exhibit A-1 through A-14, SOW Exhibits
- 1.2 Exhibit B Contractor’s EEO Certification
- 1.3 Exhibit C-1 Contractor Acknowledgment
- 1.4 Exhibit C-2 Contractor Employee Acknowledgment
- 1.5 Exhibit C-3 Contractor Non-Employee Acknowledgment
- 1.6 Exhibit D Auditor-Controller Handbook
- 1.7 Exhibit E County’s Administration
- 1.8 Exhibit F Contractor’s Administration
- 1.9 Exhibit G Intentionally Omitted
- 1.10 Exhibit H Jury Service Ordinance
- 1.11 Exhibit I Safely Surrendered Baby Law
- 1.12 Exhibit J Charitable Contributions Certification
- 1.13 Exhibit K County’s Property Tax Reduction Program
- 1.14 Exhibit L Internal Revenue Service Notice 1015
- 1.15 Exhibit M Zero Tolerance Policy on Human Trafficking Certification
- 1.16 Exhibit N Compliance with Encryption Requirements
- 1.17 Exhibit O Fair Chance Employment Hiring Practices Certification

This Contract constitutes the complete and exclusive statement of understanding between the parties, and supersedes all previous contracts, written and oral, and all communications between the parties relating to the subject matter of this Contract. No change to this Contract shall be valid unless prepared pursuant to Section 8.1 (Amendments) and signed by both parties.

2.0 DEFINITIONS

- 2.1 The headings herein contained are for convenience and reference only and are not intended to define the scope of any provision thereof. The following

words as used herein shall be construed to have the following meaning, unless otherwise apparent from the context in which they are used.

- 2.1.1 **Contract** – an agreement executed between County and Contractor. Included are all supplemental agreements amending or extending the service to be performed. The Contract sets forth the terms and conditions for the issuance and performance of all tasks, deliverables, services and other work.
- 2.1.2 **Contractor** – the person or persons, sole proprietor, partnership, joint venture, corporation or other legal entity who has entered into an agreement with the County to perform or execute the work covered by this contract.
- 2.1.3 **Contractor Project Manager** – the individual designated by the Contractor to administer the Contract operations after the Contract award.
- 2.1.4 **County** – the County of Los Angeles and includes the Department of Children and Family Services.
- 2.1.5 **County’s Board of Supervisors** – the governing body of the County of Los Angeles.
- 2.1.6 **County Contract Program Monitor** – the person with responsibility to oversee the day to day activities of this Contract. Responsibility for inspections of any and all tasks, deliverables, goods, services and other work provided by the Contractor.
- 2.1.7 **County Program Manager** – the County representative responsible for daily management of contract operation and the oversight of monitoring activities, compliance with the requirements of the Contract, and the delivery of services.
- 2.1.8 **County Program Director** – the person designated by County with authority for County on contractual or administrative matters relating to this Contract that cannot be resolved by the County’s Program Manager.
- 2.1.9 **Day or Days** – whether singular or plural, whether with initial letter capitalized or not, shall mean calendar days and not business days, unless otherwise specifically stated.
- 2.1.10 **Fiscal Year** – the 12-month period beginning July 1st and ending the following June 30th.
- 2.1.11 **Maximum Contract Sum** – the maximum amount payable under this contract, including the sum of all annual maximum contract amounts,

for services rendered during the entire contract term, if the initial contract period and all options to extend the contract are exercised.

2.1.12 **Program** – work to be performed by Contractor as defined in Exhibit A, Statement of Work.

2.1.13 **Statement of Work** – directions, provisions, and requirements provided herein and special provisions pertaining to the method, frequency, manner and place of performing the contract services.

3.0 WORK

3.1 Pursuant to the provisions of this Contract, the Contractor shall fully perform, complete and deliver on time, all tasks, deliverables, services and other work as set forth herein.

3.2 Contractor shall abide by all terms and conditions imposed and required by this Contract and shall comply with all subsequent revisions, modifications, and administrative and statutory changes made by the State, and all applicable provisions of State and Federal regulations. Failure by Contractor to comply with provisions, requirements or conditions of this Contract, including but not limited to performance documentation, reporting and evaluation requirements, shall be a material breach of this Contract and may result in one or more of the following: suspension of payments, withholding of payments, financial penalties, or Contract termination.

3.3 If the Contractor provides any tasks, deliverables, goods, services, or other work, other than as specified in this Contract, the same shall be deemed to be a gratuitous effort on the part of the Contractor, and the Contractor shall have no claim whatsoever against the County.

4.0 TERM OF CONTRACT

4.1 The term of this Contract shall be for one year commencing after execution by County's Board of Supervisors, unless sooner terminated or extended, in whole or in part, as provided in this Contract.

4.2 The County shall have the sole option to extend the Contract term for up to two additional one-year periods and six month-to-month extensions if such additional time is necessary to complete the negotiation or solicitation of a new contract, for a maximum total Contract term of three years and six months. Each such extension option may be exercised at the sole discretion of the DCFS Director or designee, by written notice or amendment to the Contract, provided that approval of County Counsel is obtained prior to any such extension.

The County maintains databases that track/monitor contractor performance history. Information entered into such databases may be used for a variety

of purposes, including determining whether the County will exercise a contract term extension option.

- 4.3 The County will issue a written start work notice to Contractor indicating when services under this Contract can begin. Contractor shall not begin any services under this Contract without such written start work notice from the County. County has the right to issue a written stop work order whenever the County deems that it is in its best interest to do so, and Contractor shall stop work immediately upon receipt of such written stop work notice.
- 4.4 The Contractor shall notify County when this Contract is within six months of the expiration of the term as provided for hereinabove. Upon occurrence of this event, the Contractor shall send written notification to the County at the address herein provided in Exhibit E, County's Administration.

5.0 CONTRACT SUM

5.1 Total Contract Sum

5.1.1 The Maximum Annual Contract Sum is \$_____ for the contract period. The Maximum Contract Sum for this Contract is \$_____.

5.1.2 County and Contractor agree that this is a firm-fixed price Contract not to exceed the Maximum Contract Sum. During the term of this Contract, County shall compensate Contractor as specified in Exhibit A-2, Pricing Schedule for the services set forth in Exhibit A, Statement of Work, in accordance with Section 5.6 of this Contract.

5.2 Written Approval for Reimbursement

5.2.1 The Contractor shall not be entitled to payment or reimbursement for any tasks or services performed, nor for any incidental or administrative expenses whatsoever incurred in or incidental to performance hereunder, except as specified herein. Assumption or takeover of any of the Contractor's duties, responsibilities, or obligations, or performance of same by any person or entity other than the Contractor, whether through assignment, subcontract, delegation, merger, buyout, or any other mechanism, with or without consideration for any reason whatsoever, shall not occur except with the County's express prior written approval.

5.3 Notification of 75% of Total Contract Sum

5.3.1 The Contractor shall maintain a system of record keeping that will allow the Contractor to determine when it has incurred seventy-five percent (75%) of the total contract sum under this Contract. Upon

occurrence of this event, the Contractor shall send written notification to County at the address herein provided in Exhibit E, County's Administration.

5.4 Contractor's budget is attached hereto and incorporated by reference herein as Exhibit A-3, Line Item Budget herein referred to as "Budget." The line items shall provide sufficient detail to determine the quality and quantity of services to be delivered. Contractor represents and warrants that the budget is true and correct in all respects, and shall deliver services in accordance with the Budget. In the event of a change in the Maximum Contract Sum, or a reallocation of the Budget, or a material change to the scope of work, Contractor shall amend the Budget consistent with any changes and submit the Budget to the County Program Manager for approval.

5.5 **No Payment for Services Provided Following Expiration/ Termination of Contract**

5.5.1 The Contractor shall have no claim against County for payment of any money or reimbursement, of any kind whatsoever, for any service provided by the Contractor after the expiration or other termination of this Contract. Should the Contractor receive any such payment it shall immediately notify County and shall immediately repay all such funds to County. Payment by County for services rendered after expiration/termination of this Contract shall not constitute a waiver of County's right to recover such payment from the Contractor. This provision shall survive the expiration or other termination of this Contract.

5.6 **Invoices and Payments**

5.6.1 For work performed in accordance with the terms of this Contract and Statement of Work, and as determined by County, Contractor shall invoice County monthly in arrears at the rate of compensation specified in the Exhibit A-2, Pricing Schedule, as supported by Exhibit A-3, Line Item Budget, and in the format prescribed by the County. Contractor shall be paid only for the work performed as specified in the Contract and any amendments thereto.

5.6.2 Contractor without prior approval of County may reallocate up to a maximum of five percent (5%) of the Maximum Annual Contract Sum for each year of the Contract between categories (i.e., personnel, employee benefits, supplies and expenses, equipment, travel and indirect costs) of Contractor's approved Budget. Contractor shall request County's approval in writing for line item budget reallocations above the five percent (5%) maximum. In any event, such revisions shall not result in any increase in the Maximum Contract Sum. Such

requests to County shall be addressed to the County Program Manager.

- 5.6.3 Contractor shall submit an invoice in arrears for services rendered in the previous month. Contractor shall make its best efforts to submit all invoices within 30 days of the last day of the month in which the service was rendered. Any invoice submitted more than 30 days after the last day of the month in which the services were rendered shall constitute a “past due invoice.” Past due invoices shall be submitted no later than 60 days after the last day of the month in which the services were rendered. Notwithstanding any other provision of this Contract, Contractor and County agree that the County shall have no obligation whatsoever to pay any past due invoices which are submitted more than 60 days after the last day of the month in which the services were rendered. County may, in its sole discretion, pay some or all of a past due invoice which Contractor has submitted more than 60 days after the last day of the month in which services were rendered provided sufficient funds remain available under this Contract. These same time frames shall also apply to the submission of the Contractor’s final invoice.
- 5.6.4 Whether or not federal dollars will be used to pay for services under this Contract, expenditures made by Contractor in the operation of this Contract shall be in compliance and in conformity with the Office of Management and Budget (OMB) 2 CFR Chapter I, and Chapter II, Parts 200, 215, 220, 225, and 230 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Contractor is responsible for obtaining the most recent version of the OMB Circulars which are available online via the Internet at <http://www.whitehouse.gov/omb/circulars/index.html> and at <http://www.gpo.gov/fdsys/pkg/FR-2013-12-26/pdf/2013-30465.pdf>.
- 5.6.5 Contractor shall submit the original monthly invoice to the DCFS Accounting Services and one copy to the County Program Manager for review and approval, as follows:

County of Los Angeles
Department of Children and Family Services
Attn: Accounting Services, Contract Accounting Section
425 Shatto Place, Room 204
Los Angeles, CA 90020

And a duplicate copy of the invoices to:

County of Los Angeles
Department of Children and Family Services
Attn: Bedrae Davis, Program Manager
5555 Ferguson Drive
Commerce, CA 90022

- 5.6.6 Payment to Contractor will be made in arrears on a monthly basis for services performed, provided that the Contractor is not in default under any provision of this Contract. County has no obligation to pay for any work except those services expressly authorized by this Contract.
- 5.6.7 In compliance with Internal Revenue Service (IRS) requirements, Contractor shall provide Contractor's Tax Identification Number. Furthermore, the Tax Identification Number is necessary for processing payment, as required by the County Auditor-Controller.
- 5.6.8 The Contractor is responsible for the accuracy of invoices submitted to County. Further, it is the responsibility of Contractor to reconcile or otherwise correct inaccuracies or inconsistencies in the invoices submitted by Contractor and to notify County of any overpayments received by Contractor. Any overpayment received by Contractor, as determined by County Program Manager, or designee, shall be returned to County by Contractor within 30 days of receiving notification of such overpayment from the County, or may be set off at County's election against future payments due Contractor. Notwithstanding any other provision of this Contract, Contractor shall return to County any and all payments, which exceed the Maximum Contract Sum. Furthermore, Contractor shall return said payments within 30 days of receiving notification of overpayment from the County or immediately upon discovering such overpayment, whichever date is earlier.
- 5.6.9 The Contractor shall not be paid for expenditures beyond the Maximum Contract Sum, and Contractor agrees that County has no obligation, whatsoever, to pay for any expenditures by Contractor that exceed the Maximum Contract Sum.
- 5.6.10 Suspension and withholding of payment: In addition to other remedies, County reserves the right to suspend or withhold all payments to Contractor if required reports are not provided to County on a timely basis; if there are continuing deficiencies in Contractor's reporting, record keeping or invoicing requirements; or if Contractor's performance of the work is not adequately evidenced or performed.

5.6.10.1 County has the right to delay payment or not make payment, per Manual of Policies and Procedures (MPP) 45-303.2 through .5, inclusive, and condition Contractor's payments on timely submittal of invoices and the provision of requested information, by a certain date. Delay in providing this information as set forth, may result in delay of payment, not to exceed fifteen days from the date after the information is submitted to County, including relevant verifications, upon County request. The failure to provide required confirmation may result in County not making payment.

5.6.11 County and Contractor agree that the payment rate referenced in this Contract is based on the established rate set by the County. During the term of this Contract, County shall compensate the Contractor for services based on the set rate for each type of service.

5.6.12 Any preparatory services rendered by the Contractor prior to receipt of referrals shall be the responsibility of the Contractor.

5.7 Funding Adjustments and Reallocations

5.7.1 If sufficient monies are available from Federal, State, or County funding sources, and upon Director's or authorized designee's specific written approval, County may require additional services and pass on to Contractor an increase to the Maximum Contract Sum as payment for such services, as determined by County. If monies are reduced by Federal, State, or County funding sources, County may also decrease the applicable Maximum Contract Sum as determined by County. Such funding changes will not be retroactive, but will apply to future services following the provision of written notice from Director to Contractor. If such increase or decrease does not exceed ten percent (10%) of the applicable Maximum Contract Sum, Director may approve such funding changes. Director shall provide prior written notice of such funding changes to Contractor and to County's Chief Executive Officer. If the increase or decrease exceeds ten percent (10%) of the applicable Maximum Contract Sum, approval by County's Board of Supervisors shall be required. Any such change in any Maximum Contract Sum shall be effected by an amendment to this Contract pursuant to Section 8.1, Amendments.

5.7.2 County and Contractor shall review Contractor's expenditures and commitments to utilize any funds, which are specified in this Contract for the services hereunder and which are subject to time limitations as determined by the Director, midway through each County fiscal year during the term of this Contract, midway through the applicable time limitation period for such funds if such period is less than a

County fiscal year, and/or at any other time or times during each County fiscal year as determined by Director. At least fifteen days prior to each such review, Contractor shall provide Director with a current update of all of Contractor's expenditures and commitments of such funds during such County fiscal year or other applicable time period.

- 5.7.3 If County determines from reviewing Contractor's records of service delivery and billings to County that a significant underutilization of funds provided under this Contract will occur over its term, Director or County's Board of Supervisors may reduce the applicable Maximum Contract Sum for services provided hereunder and reallocate such funds to other providers. Director may reallocate a maximum of ten percent (10%) of the applicable Maximum Contract Sum. Director shall provide written notice of such reallocation to Contractor and to County's Chief Executive Officer. Reallocation of funds in excess of the aforementioned amounts shall be approved by County's Board of Supervisors. Any change in any Maximum Contract Sum shall be effected by an amendment to this Contract pursuant to Section 8.1, Amendments.

5.8 Default Method of Payment: Direct Deposit or Electronic Funds Transfer

- 5.8.1 The County, at its sole discretion, has determined that the most efficient and secure default form of payment for goods and/or services provided under an agreement/contract with the County shall be Electronic Funds Transfer (EFT) or direct deposit, unless an alternative method of payment is deemed appropriate by the Auditor-Controller (A-C).
- 5.8.2 The Contractor shall submit a direct deposit authorization request via the website <http://directdeposit.lacounty.gov> with banking and vendor information, and any other information that the A-C determines is reasonably necessary to process the payment and comply with all accounting, record keeping, and tax reporting requirements.
- 5.8.3 Any provision of law, grant, or funding agreement requiring a specific form or method of payment other than EFT or direct deposit shall supersede this requirement with respect to those payments.
- 5.8.4 At any time during the duration of the agreement/contract, a Contractor may submit a written request for an exemption to this requirement. Such request must be based on specific legal, business or operational needs and explain why the payment method designated by the A-C is not feasible and an alternative is necessary.

The A-C, in consultation with the contracting department(s), shall decide whether to approve exemption request.

6.0 ADMINISTRATION OF CONTRACT – COUNTY

6.1 County Administration

6.1.1 A listing of all County Administration referenced in the following sections is designated in Exhibit E (County's Administration). The County will notify the Contractor in writing of any change in the names or addresses shown.

6.2 County's Program Director

6.2.1 The role of the County's Program Director may include:

6.2.1.1 Coordinating with Contractor and ensuring Contractor's performance of the Contract; however, in no event shall Contractor's obligation to fully satisfy all of the requirements of this Contract be relieved, excused or limited thereby; and

6.2.1.2 Upon request of the Contractor, providing direction to the Contractor, as appropriate in areas relating to County policy, information requirements, and procedural requirements; however, in no event, shall Contractor's obligation to fully satisfy all of the requirements of this Contract be relieved, excused or limited thereby.

6.3 County's Program Manager

6.3.1 The role of the County's Program Manager is authorized to include:

6.3.1.1 Meeting with the Contractor's Project Manager on a regular basis; and

6.3.1.2 Inspecting any and all tasks, deliverables, goods, services, or other work provided by or on behalf of the Contractor; however, in no event shall Contractor's obligation to fully satisfy all of the requirements of this Contract be relieved, excused or limited thereby.

6.3.2 The County's Program Manager is not authorized to make any changes in any of the terms and conditions of this Contract and is not authorized to further obligate County in any respect whatsoever.

6.4 County's Contract Program Monitor

6.4.1 The role of the County's Program Monitor is to oversee the day-to-day administration of this Contract; however, in no event shall Contractor's obligation to fully satisfy all of the requirements of this Contract be relieved, excused or limited thereby. The Program Monitor reports to the County's Program Manager.

7.0 ADMINISTRATION OF CONTRACT – CONTRACTOR

7.1 Contractor's Administration

A listing of all of Contractor's Administration referenced in the following sections is designated in Exhibit F (Contractor's Administration). The Contractor will notify the County in writing of any change in the names or addresses shown.

7.2 Contractor's Project Manager

7.2.1 The Contractor's Project Manager is designated in Exhibit F – Contractor's Administration. The Contractor shall notify the County in writing of any change in the name or address of the Contractor's Project Manager.

7.2.2 The Contractor's Project Manager shall be responsible for the Contractor's day-to-day activities as related to this Contract and shall meet and coordinate with County's Program Managers and County's Contract Program Monitor on a regular basis.

7.3 Approval of Contractor's Staff

7.3.1 County has the absolute right to approve or disapprove all of the Contractor's staff performing work hereunder and any proposed changes in the Contractor's staff, including, but not limited to, the Contractor's Project Manager.

7.4 Contractor's Staff Identification

Contractor shall provide, at Contractor's expense, all staff providing services under this Contract with a photo identification badge.

7.5 Background and Security Investigations

7.5.1 Each of Contractor's staff performing services under this Contract, who is in a designated sensitive position, as determined by County in County's sole discretion, shall undergo and pass a background investigation to the satisfaction of County as a condition of beginning and continuing to perform services under this Contract. Such

background investigation must be obtained through fingerprints submitted to the California Department of Justice to include State, local, and federal-level review, which may include, but shall not be limited to, criminal conviction information. The fees associated with the background investigation shall be at the expense of the Contractor regardless of whether the member of Contractor's staff passes or fails the background investigation.

If a member of Contractor's staff does not pass the background investigation, County may request that the member of Contractor's staff be immediately removed from performing services under the Contract. Contractor shall comply with County's request at any time during the term of the Contract. County will not provide to Contractor or to Contractor's staff any information obtained through the County's background investigation.

- 7.5.2 County, in its sole discretion, may immediately deny or terminate facility access to any member of Contractor's staff that does not pass such investigation to the satisfaction of the County or whose background or conduct is incompatible with County facility access.
- 7.5.3 Disqualification of any member of Contractor's staff pursuant to this Section 7.5 shall not relieve Contractor of its obligation to complete all work in accordance with the terms and conditions of this Contract.
- 7.5.4 Contractor shall immediately notify County of any arrest and/or subsequent conviction, other than for minor traffic offenses, of any employee, independent contractor, volunteer staff or subcontractor who may come in contact with children while providing services under this Contract when such information becomes known to Contractor.
- 7.5.5 Contractor agrees not to engage or continue to engage the services of any person convicted of any crime involving harm to children, or any crime involving conduct inimical to the health, morals, welfare or safety of others, including but not limited to the offenses specified in Health and Safety Code, Section 11590 (offenses requiring registration as a controlled substance offender) and those crimes listed in the Penal Code which involve murder, rape, kidnap, abduction, assault and lewd and lascivious acts.

7.6 Confidentiality

- 7.6.1 Contractor shall maintain the confidentiality of all records and information in accordance with all applicable Federal, State and local laws, rules, regulations, ordinances, directives, guidelines, policies and procedures relating to confidentiality, including, without

limitation, County policies concerning information technology security and the protection of confidential records and information.

- 7.6.2 Contractor shall inform all of its officers, employees, agents and subcontractors providing services hereunder of the confidentiality provisions of this Contract.
- 7.6.3 Contractor shall sign and adhere to the provisions of the "Contractor Acknowledgment and Confidentiality Agreement," Exhibit C-1.
- 7.6.4 Contractor shall cause each employee performing services covered by this Contract to sign and adhere to the provisions of the "Contractor Employee Acknowledgment and Confidentiality Agreement," Exhibit C-2. Contractor shall maintain in its files copies of such executed agreements.
- 7.6.5 Contractor shall cause each non-employee performing services covered by this Contract to sign and adhere to the provisions of the "Contractor Non-Employee Acknowledgment and Confidentiality Agreement," Exhibit C-3. Contractor shall maintain in its files copies of such executed agreements.
- 7.6.6 Contractor shall notify County of any attempt to obtain confidential records through the legal process.
- 7.6.7 Contractor agrees to notify County in writing within 24 hours of any actual or suspected misuse, misappropriation, unauthorized disclosure of, or unauthorized access to Confidential Information that may come to Contractor's attention, and that includes unauthorized access to Contractor's computer or computers (including those of any subcontractor involved in the Relationship) containing Contractor's or County's Confidential Information related to this Contract, including names and information of referred clients. Unauthorized access may include a virus or worm that penetrates and gains access to a computer and places a back door or keystroke logger on it, or a directed hack/crack that gains access to and some control over a computer.
- 7.6.8 Contractor shall comply with all applicable laws pertaining to confidentiality. This shall include, but is not limited to, the confidentiality provisions of Section 827 and Section 10850 of the California Welfare and Institutions Code and MPP Division 19.
- 7.6.9 Contractor shall indemnify, defend and hold harmless County indemnities from and against any and all loss, damage, liability and expense, including defense costs and legal, accounting and other expert, consulting or professional fees, arising from any disclosure of records and information by Contractor, its officers, employees, or

agents, except for any disclosure authorized by law. County shall have the right to participate in any such defense, except that in the event Contractor fails to provide County with a full and adequate defense, as County determines, County shall be entitled to retain its own counsel, including County Counsel, and reimbursement from Contractor for all such costs and expenses incurred by County in doing so. Contractor shall not have the right to enter into any settlement, agree to any injunction, or make any admission, in each case, on behalf of County without County's prior approval.

7.6.10 With respect to any identifiable information concerning any Participant that is obtained by Contractor or any other records and information, Contractor shall: 1) not use any such records or information for any purpose whatsoever other than carrying out the express terms of this Contract; 2) promptly transmit to County all requests for disclosure of any such records or information; 3) not disclose, except as otherwise specifically permitted by this Contract, any such records or information to any person or organization other than County without County's prior written authorization that the records are, or information is, releasable; and 4) at the expiration or termination of this Contract, return all such records and information to County or maintain such records and information according to the written procedures sent to Contractor by County for this purpose.

8.0 STANDARD TERMS AND CONDITIONS

8.1 AMENDMENTS

- 8.1.1 County reserves the right to change any portion of the work required under this Contract, or amend such other terms and conditions, as may become necessary. Any such revision shall be accomplished as set forth in this Section 8.1.
- 8.1.2 Except as provided in this Section, 8.1, for any change which affects the scope of work, term, contract sum, payments, or any term or condition included under this Contract, an amendment to the Contract shall be prepared and executed by the Contractor and by the Director or his designee. Approval of County Counsel must be obtained for any changes which affect the scope of work.
- 8.1.3 The County's Board of Supervisors or Chief Executive Officer or designee may require the addition and/or change of certain terms and conditions in the Contract during the term of this Contract. The County reserves the right to add and/or change such provisions as required by the County's Board of Supervisors or Chief Executive Officer. To implement such changes, an Amendment to the Contract shall be prepared and executed by the Contractor and by the DCFS Director.

- 8.1.4 The Director or his designee, may at his sole discretion, authorize extensions of time as defined in Section 4.0 – Term of Contract. The Contractor agrees that such extensions of time shall not change any other term or condition of this Contract during the period of such extensions. To implement an extension of time, a written notice to extend the Contract shall be prepared and executed by the Director.
- 8.1.5 The Director may sign an Amendment to this Contract without further action by the Board of Supervisors only under the following conditions as applicable:
 - 8.1.5.1 The amendment shall be in compliance with applicable County, State and Federal regulations; and
 - 8.1.5.2 The Board of Supervisors has appropriated sufficient funds in County's budget; and
 - 8.1.5.3 The amendment is for a decrease, or an increase of not more than 10 percent (10%) correlated to an increase or a decrease in the number of units of service, of the original Maximum Contract Sum; and
 - 8.1.5.4 Prior County Counsel approval is obtained.

8.2 Americans with Disabilities Act

- 8.2.1 The Contractor agrees to abide by all applicable Federal, State and local laws including the Americans with Disabilities Act (ADA) and its requirement to provide reasonable accommodations and auxiliary aids or services, unless compliance with the ADA would place an undue financial burden on, or would fundamentally alter the nature of, the Contractor's program.

8.3 Assignment and Delegation/Mergers or Acquisitions

- 8.3.1 The Contractor shall notify the County of any pending acquisitions/mergers of its company unless otherwise legally prohibited from doing so. If the Contractor is restricted from legally notifying the County of pending acquisitions/mergers, then it should notify the County of the actual acquisitions/mergers as soon as the law allows and provide to the County the legal framework that restricted it from notifying the County prior to the actual acquisitions/mergers.
- 8.3.2 The Contractor shall not assign its rights or delegate its duties under this Contract, or both, whether in whole or in part, without the prior written consent of County, in its discretion, and any attempted assignment or delegation without such consent shall be null and void.

For purposes of this section, County consent shall require a written amendment to the Contract, which is formally approved and executed by the parties. Any payments by the County to any approved delegate or assignee on any claim under this Contract shall be deductible, at County's sole discretion, against the claims, which the Contractor may have against the County.

8.3.3 Shareholders, partners, members, or other equity holders of Contractor may transfer, sell, exchange, assign, or divest themselves of any interest they may have therein. However, in the event any such sale, transfer, exchange, assignment, or divestment is effected in such a way as to give majority control of Contractor to any person(s), corporation, partnership, or legal entity other than the majority controlling interest therein at the time of execution of the Contract, such disposition is an assignment requiring the prior written consent of County in accordance with applicable provisions of this Contract.

8.3.4 Any assumption, assignment, delegation, or takeover of any of the Contractor's duties, responsibilities, obligations, or performance of same by any person or entity other than the Contractor, whether through assignment, subcontract, delegation, merger, buyout, or any other mechanism, with or without consideration for any reason whatsoever without County's express prior written approval, shall be a material breach of the Contract which may result in the termination of this Contract. In the event of such termination, County shall be entitled to pursue the same remedies against Contractor as it could pursue in the event of default by Contractor.

8.4 Authorization Warranty

8.4.1 The Contractor represents and warrants that the person executing this Contract for the Contractor is an authorized agent who has actual authority to bind the Contractor to each and every term, condition, and obligation of this Contract and that all requirements of the Contractor have been fulfilled to provide such actual authority.

8.5 Budget Reductions

8.5.1 In the event that the County's Board of Supervisors adopts, in any fiscal year, a County Budget which provides for reductions in the salaries and benefits paid to the majority of County employees and imposes similar reductions with respect to County contracts, the County reserves the right to reduce its payment obligation under this Contract correspondingly for that fiscal year and any subsequent fiscal year during the term of this Contract (including any extensions), and the services to be provided by the Contractor under this Contract shall also be reduced correspondingly. The County's notice to the

Contractor regarding said reduction in payment obligation shall be provided within thirty (30) calendar days of the Board's approval of such actions. Except as set forth in the preceding sentence, the Contractor shall continue to provide all of the services set forth in this Contract.

8.6 Child Abuse Prevention Reporting

8.6.1 Contractor agrees that the safety of the child will always be the first priority. To ensure the safety of children, Contractor will immediately notify County and the Child Abuse Hotline whenever Contractor reasonably suspects that a child has been a victim of abuse and/or is in danger of future abuse. The Contractor will remain with the child if imminent risk is present.

8.6.2 Contractor shall ensure that all known or suspected instances of child abuse are reported to a child protection agency as defined in Section 11164, et. Seq. of the Penal Code. This responsibility shall include:

8.6.2.1 A requirement that all employees, consultants, or agents performing services under this Contract, who are required by the California Penal Code to report child abuse, sign a statement that he or she knows of the reporting requirements and will comply with them.

8.6.2.2 The establishment of procedures to ensure reporting even when employees, consultants or agents who are not required to report child abuse under the California Penal Code gain knowledge of, or reasonably suspect that a child has been a victim of abuse or neglect.

8.6.2.3 The assurance that all employees of Contractor understand that the safety of the child is always the first priority.

8.7 Complaints

8.7.1 The Contractor shall develop, maintain and operate procedures for receiving, investigating and responding to complaints.

8.7.2 Complaint Procedures

8.7.2.1 Within five business days after the Contract effective date, the Contractor shall provide the County with the Contractor's policy for receiving, investigating and responding to user complaints.

- 8.7.2.2 The County will review the Contractor's policy and provide the Contractor with approval of said plan or with requested changes.
- 8.7.2.3 If the County requests changes in the Contractor's policy, the Contractor shall make such changes and resubmit the plan within five business days for County approval.
- 8.7.2.4 If, at any time, the Contractor wishes to change the Contractor's policy, the Contractor shall submit proposed changes to the County for approval before implementation.
- 8.7.2.5 The Contractor shall preliminarily investigate all complaints and notify the County's Program Manager of the status of the investigation within five business days of receiving the complaint.
- 8.7.2.6 When complaints cannot be resolved informally, a system of follow-through shall be instituted which adheres to formal plans for specific actions and strict time deadlines.
- 8.7.2.7 Copies of all written responses shall be sent to the County's Program Manager within three business days of mailing to the complainant.

8.8 Compliance with Applicable Law

- 8.8.1 In the performance of this Contract, Contractor shall comply with all applicable Federal, State and local laws, rules, regulations, ordinances, directives, guidelines, policies and procedures, and all provisions required thereby to be included in this Contract are hereby incorporated herein by reference.
 - 8.8.1.1 Contractor acknowledges that this Contract may be funded, in part, with federal funds; therefore, Contractor agrees that it shall comply with all applicable federal laws and regulations pertaining to such federal funding. Said federal laws and regulations include, but are not limited to, 45 CFR Section 92.36, et seq.
 - 8.8.1.2 For Contract over \$10,000, Contractor agrees to comply fully with the terms of Executive Order 11246, entitled Equal Employment Opportunity as amended by Executive Order 11375, and as supplemented by Department of Labor Regulations (41 CFR Part 60).

- 8.8.2 Failure by Contractor to comply with such laws and regulations shall be a material breach of this Contract and may result in termination of this Contract.
- 8.8.3 Contractor shall indemnify, defend, and hold harmless County, its officers, employees, and agents, from and against any and all claims, demands, damages, liabilities, losses, costs, and expenses, including, without limitation, defense costs and legal, accounting and other expert, consulting or professional fees, arising from, connected with, or related to any failure by Contractor, its officers, employees, agents, or subcontractors, to comply with any such laws, rules, regulations, ordinances, directives, guidelines, policies, or procedures, as determined by County in its sole judgment. Any legal defense pursuant to Contractor's indemnification obligations under this Section 8.8 (Compliance with Applicable Law) shall be conducted by Contractor and performed by counsel selected by Contractor and approved by County. Notwithstanding the preceding sentence, County shall have the right to participate in any such defense at its sole cost and expense, except that in the event Contractor fails to provide County with a full and adequate defense, as determined by County in its sole judgment, County shall be entitled to retain its own counsel, including, without limitation, County Counsel, and to reimbursement from Contractor for all such costs and expenses incurred by County in doing so. Contractor shall not have the right to enter into any settlement, agree to any injunction or other equitable relief, or make any admission, in each case, on behalf of County without County's prior written approval.

8.9 Compliance with Civil Rights Laws

- 8.9.1 The Contractor hereby assures that it will comply with Subchapter VI of the Civil Rights Act of 1964, 42 USC Sections 2000 (e) (1) through 2000 (e) (17), to the end that no person shall, on the grounds of race, creed, color, sex, religion, ancestry, age, condition of physical handicap, marital status, political affiliation, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Contract or under any project, program, or activity supported by this Contract. The Contractor shall comply with Exhibit B – Contractor's EEO Certification.

8.10 Compliance with the County's Jury Service Program

8.10.1 Jury Service Program:

This Contract is subject to the provisions of the County's ordinance entitled Contractor Employee Jury Service ("Jury Service Program")

as codified in Sections 2.203.010 through 2.203.090 of the Los Angeles County Code, a copy of which is attached as Exhibit H and incorporated by reference into and made a part of this Contract.

8.10.2 Written Employee Jury Service Policy

1. Unless the Contractor has demonstrated to the County's satisfaction either that the Contractor is not a "Contractor" as defined under the Jury Service Program (Section 2.203.020 of the County Code) or that the Contractor qualifies for an exception to the Jury Service Program (Section 2.203.070 of the County Code), the Contractor shall have and adhere to a written policy that provides that its Employees shall receive from the Contractor, on an annual basis, no less than five days of regular pay for actual jury service. The policy may provide that Employees deposit any fees received for such jury service with the Contractor or that the Contractor deduct from the Employee's regular pay the fees received for jury service.
2. For purposes of this subsection, "Contractor" means a person, partnership, corporation or other entity which has a contract with the County or a subcontract with a County Contractor and has received or will receive an aggregate sum of fifty thousand dollars (\$50,000) or more in any 12-month period under one or more County contracts or subcontracts. "Employee" means any California resident who is a full-time employee of the Contractor. "Full-time" means 40 hours or more worked per week, or a lesser number of hours if: 1) the lesser number is a recognized industry standard as determined by the County, or 2) Contractor has a long-standing practice that defines the lesser number of hours as full-time. Full-time employees providing short-term, temporary services of 90 days or less within a 12-month period are not considered full-time for purposes of the Jury Service Program. If the Contractor uses any subcontractor to perform services for the County under the Contract, the subcontractor shall also be subject to the provisions of this subsection. The provisions of this subsection shall be inserted into any such subcontract agreement and a copy of the Jury Service Program shall be attached to the agreement.
3. If the Contractor is not required to comply with the Jury Service Program when the Contract commences, the Contractor shall have a continuing obligation to review the applicability of its "exception status" from the Jury Service Program, and the Contractor shall immediately notify the County if the Contractor at any time either comes within the Jury Service Program's definition of "Contractor" or if the Contractor no longer qualifies

for an exception to the Jury Service Program. In either event, the Contractor shall immediately implement a written policy consistent with the Jury Service Program. The County may also require, at any time during the Contract and at its sole discretion, that the Contractor demonstrate, to the County's satisfaction that the Contractor either continues to remain outside of the Jury Service Program's definition of "Contractor" and/or that the Contractor continues to qualify for an exception to the Program.

4. Contractor's violation of this subsection of the Contract may constitute a material breach of the Contract. In the event of such material breach, County may, in its sole discretion, terminate the Contract and/or bar the Contractor from the award of future County contracts for a period of time consistent with the seriousness of the breach.

8.11 Conduct of Program

8.11.1 Contractor shall abide by all terms and conditions imposed and required by the Contract and shall comply with all subsequent revisions, modifications, and administrative and statutory changes made by the State, and all applicable provisions of State and federal regulations. Failure by Contractor to comply with provisions, requirements or conditions of this Contract, including, but not limited to, performance documentation, reporting and evaluation requirements, shall be a material breach of this Contract and may result in the withholding of payments, financial penalties, and/or termination as stated herein.

8.12 Conflict of Interest

8.12.1 No County employee whose position with the County enables such employee to influence the award of this Contract or any competing Contract, and no spouse or economic dependent of such employee, shall be employed in any capacity by the Contractor or have any other direct or indirect financial interest in this Contract. No officer or employee of the Contractor who may financially benefit from the performance of work hereunder shall in any way participate in the County's approval, or ongoing evaluation, of such work, or in any way attempt to unlawfully influence the County's approval or ongoing evaluation of such work.

8.12.2 The Contractor shall comply with all conflict of interest laws, ordinances, and regulations now in effect or hereafter to be enacted during the term of this Contract. The Contractor warrants that it is not now aware of any facts that create a conflict of interest. If the Contractor hereafter becomes aware of any facts that might

reasonably be expected to create a conflict of interest, it shall immediately make full written disclosure of such facts to the County. Full written disclosure shall include, but is not limited to, identification of all persons implicated and a complete description of all relevant circumstances. Failure to comply with the provisions of this section shall be a material breach of this Contract.

8.13 Consideration of Hiring County Employees Targeted for Layoff or Re-Employment List

8.13.1 Should the Contractor require additional or replacement personnel after the effective date of this Contract to perform the services set forth herein, the Contractor shall give first consideration for such employment openings to qualified, permanent County employees who are targeted for layoff or qualified, former County employees who are on a re-employment list during the life of this Contract.

8.14 Consideration of Hiring GAIN/GROW Participants

8.14.1 Should the Contractor require additional or replacement personnel after the effective date of this Contract, the Contractor shall give consideration for any such employment openings to participants in the County's Department of Public Social Services Greater Avenues for Independence (GAIN) Program or General Relief Opportunity for Work (GROW) Program who meet the Contractor's minimum qualifications for the open position. For this purpose, consideration shall mean that the Contractor will interview qualified candidates. The County will refer GAIN/GROW participants by job category to the Contractor. Contractors shall report all job openings with job requirements to: GAINGROW@dpss.lacounty.gov and BSERVICES@WDACS.lacounty.gov and DPSS will refer qualified GAIN/GROW job candidates.

8.14.2 In the event that both laid-off County employees and GAIN/GROW participants are available for hiring, County employees shall be given first priority.

8.15 Contract Accounting and Financial Reporting

8.15.1 Contractor shall establish and maintain an accounting system including internal controls and financial reporting, which shall meet the minimum requirements for Contract Accounting as described in Exhibit D, Auditor-Controller Contract Accounting and Administration Handbook.

8.15.2 Contractor shall maintain supporting documentation for all accruals reported. Accruals which are not properly supported may be disallowed upon audit.

8.16 Contractor Responsibility and Debarment

8.16.1 Responsible Contractor

A responsible Contractor is a Contractor who has demonstrated the attribute of trustworthiness, as well as quality, fitness, capacity and experience to satisfactorily perform the Contract. It is the County's policy to conduct business only with responsible Contractors.

8.16.2 Chapter 2.202 of the County Code

The Contractor is hereby notified that, in accordance with Chapter 2.202 of the County Code, if the County acquires information concerning the performance of the Contractor on this or other contracts which indicates that the Contractor is not responsible, the County may, in addition to other remedies provided in the Contract, debar the Contractor from bidding or proposing on, or being awarded, and/or performing work on County contracts for a specified period of time, which generally will not exceed five years but may exceed five years or be permanent if warranted by the circumstances, and terminate any or all existing contracts the Contractor may have with the County.

8.16.3 Non-responsible Contractor

The County may debar a Contractor if the Board of Supervisors finds, in its discretion, that the Contractor has done any of the following: (1) violated a term of a contract with the County or a nonprofit corporation created by the County, (2) committed an act or omission which negatively reflects on the Contractor's quality, fitness or capacity to perform a contract with the County, any other public entity, or a nonprofit corporation created by the County, or engaged in a pattern or practice which negatively reflects on same, (3) committed an act or offense which indicates a lack of business integrity or business honesty, or (4) made or submitted a false claim against the County or any other public entity.

8.16.4 Contractor Hearing Board

8.16.4.1 If there is evidence that the Contractor may be subject to debarment, the Department will notify the Contractor in writing of the evidence which is the basis for the proposed debarment and will advise the Contractor of the scheduled date for a debarment hearing before the Contractor Hearing Board.

8.16.4.2 The Contractor Hearing Board will conduct a hearing where evidence on the proposed debarment is presented.

The Contractor and/or the Contractor's representative shall be given an opportunity to submit evidence at that hearing. After the hearing, the Contractor Hearing Board shall prepare a tentative proposed decision, which shall contain a recommendation regarding whether the Contractor should be debarred, and, if so, the appropriate length of time of the debarment. The Contractor and the Department shall be provided an opportunity to object to the tentative proposed decision prior to its presentation to the Board of Supervisors.

- 8.16.4.3 After consideration of any objections, or if no objections are submitted, a record of the hearing, the proposed decision, and any other recommendation of the Contractor Hearing Board shall be presented to the Board of Supervisors. The Board of Supervisors shall have the right to modify, deny, or adopt the proposed decision and recommendation of the Contractor Hearing Board.
- 8.16.4.4 If a Contractor has been debarred for a period longer than five (5) years, that Contractor may after the debarment has been in effect for at least five (5) years, submit a written request for review of the debarment determination to reduce the period of debarment or terminate the debarment. The County may, in its discretion, reduce the period of debarment or terminate the debarment if it finds that the Contractor has adequately demonstrated one or more of the following: (1) elimination of the grounds for which the debarment was imposed; (2) a bona fide change in ownership or management; (3) material evidence discovered after debarment was imposed; or (4) any other reason that is in the best interests of the County.
- 8.16.4.5 The Contractor Hearing Board will consider a request for review of a debarment determination only where 1) the Contractor has been debarred for a period longer than five (5) years; 2) the debarment has been in effect for at least five (5) years; and 3) the request is in writing, states one or more of the grounds for reduction of the debarment period or termination of the debarment, and includes supporting documentation. Upon receiving an appropriate request, the Contractor Hearing Board will provide notice of the hearing on the request. At the hearing, the Contractor Hearing Board shall conduct a hearing where evidence on the proposed reduction of debarment period or termination of debarment is presented. This hearing shall be conducted and the request for review decided by

the Contractor Hearing Board pursuant to the same procedures as for a debarment hearing.

8.16.4.6 The Contractor Hearing Board's proposed decision shall contain a recommendation on the request to reduce the period of debarment or terminate the debarment. The Contractor Hearing Board shall present its proposed decision and recommendation to the Board of Supervisors. The Board of Supervisors shall have the right to modify, deny, or adopt the proposed decision and recommendation of the Contractor Hearing Board.

8.16.5 Subcontractors of Contractor

These terms shall also apply to subcontractors of County Contractors.

8.16.6 A registry of Debarred Contractors for Los Angeles County, State and federal agencies may be obtained by going to the following websites:

- County: http://lacounty.info/doing_business/DebarmentList.htm
- State: <http://www.dir.ca.gov/dise/debar.html>
- Federal: <http://www.sam.gov/portal/SAM/#1>

8.17 Contractor's Acknowledgement of County's Commitment to the Safely Surrendered Baby Law

8.17.1 The Contractor acknowledges that the County places a high priority on the implementation of the Safely Surrendered Baby Law. The Contractor understands that it is the County's policy to encourage all County Contractors to voluntarily post the County's "Safely Surrendered Baby Law" poster, Exhibit H, in a prominent position at the Contractor's place of business. The Contractor will also encourage its subcontractors, if any, to post this poster in a prominent position in the subcontractor's place of business. Information and posters for printing are available at www.babysafela.org.

8.18 Contractor's Warranty of Adherence to County's Child Support Compliance Program

8.18.1 The Contractor acknowledges that the County has established a goal of ensuring that all individuals who benefit financially from the County through contracts are in compliance with their court-ordered child, family and spousal support obligations in order to mitigate the economic burden otherwise imposed upon the County and its taxpayers.

8.18.2 As required by the County's Child Support Compliance Program (County Code Chapter 2.200) and without limiting the Contractor's duty under this Contract to comply with all applicable provisions of law, the Contractor warrants that it is now in compliance and shall during the term of this Contract maintain in compliance with employment and wage reporting requirements as required by the Federal Social Security Act (42 USC Section 653a) and California Unemployment Insurance Code Section 1088.5, and shall implement all lawfully served Wage and Earnings Withholding Orders or Child Support Services Department Notices of Wage and Earnings Assignment for Child, Family or Spousal Support, pursuant to Code of Civil Procedure Section 706.031 and Family Code Section 5246(b).

8.19 County's Quality Assurance Plan

8.19.1 The County or its agent(s) will monitor the Contractor's performance under this Contract on not less than an annual basis. Such monitoring will include assessing the Contractor's compliance with all Contract terms and conditions and performance standards. Contractor deficiencies which the County determines are significant or continuing and that may place performance of the Contract in jeopardy if not corrected will be reported to the Board of Supervisors and listed in the appropriate contractor performance database. The report to the Board will include improvement/corrective action measures taken by the County and the Contractor. If improvement does not occur consistent with the corrective action measures, the County may terminate this Contract or impose other penalties as specified in this Contract.

8.20 Damage to County Facilities, Buildings or Grounds

8.20.1 The Contractor shall repair, or cause to be repaired, at its own cost, any and all damage to County facilities, buildings, or grounds caused by the Contractor or employees or agents of the Contractor. Such repairs shall be made immediately after the Contractor has become aware of such damage, but in no event later than thirty (30) days after the occurrence.

8.20.2 If the Contractor fails to make timely repairs, County may make any necessary repairs. All costs incurred by County, as determined by County, for such repairs shall be repaid by the Contractor by cash payment upon demand.

8.21 Employee Benefits and Taxes

8.21.1 Contractor shall be solely responsible for providing to, or on behalf of its employees, all legally required salaries, wages, benefits, or other compensation.

8.21.2 County shall have no liability or responsibility for any taxes, including, without limitation, sales, income, employee withholding and/or property taxes which may be imposed in connection with or resulting from this Contract or Contractor's performance hereunder.

8.22 Employment Eligibility Verification

8.22.1 The Contractor warrants that it fully complies with all Federal and State statutes and regulations regarding the employment of aliens and others and that all its employees performing work under this Contract meet the citizenship or alien status requirements set forth in Federal and State statutes and regulations. The Contractor shall obtain, from all employees performing work hereunder, all verification and other documentation of employment eligibility status required by Federal and State statutes and regulations including, but not limited to, the Immigration Reform and Control Act of 1986, (P.L. 99-603), or as they currently exist and as they may be hereafter amended. The Contractor shall retain all such documentation for all covered employees for the period prescribed by law.

8.22.2 The Contractor shall indemnify, defend, and hold harmless, the County, its agents, officers, and employees from employer sanctions and any other liability which may be assessed against the Contractor or the County or both in connection with any alleged violation of any Federal or State statutes or regulations pertaining to the eligibility for employment of any persons performing work under this Contract.

8.23 Fair Labor Standards

8.23.1 The Contractor shall comply with all applicable provisions of the Federal Fair Labor Standards Act and shall indemnify, defend, and hold harmless the County and its agents, officers, and employees from any and all liability, including, but not limited to, wages, overtime pay, liquidated damages, penalties, court costs, and attorneys' fees arising under any wage and hour law, including, but not limited to, the Federal Fair Labor Standards Act, for work performed by the Contractor's employees for which the County may be found jointly or solely liable.

8.24 Fixed Assets

8.24.1 Title to all fixed assets purchased with County funds designated by the County for that purpose under this Contract shall remain with

County. A "Fixed Asset" is defined hereunder as any equipment costing five thousand dollars (\$5,000) or more, with a useful life of more than one year. Such assets shall be maintained and repaired by Contractor during the term of this Contract. Contractor shall provide an accounting of such assets at the termination or expiration of this Contract and shall deliver same to County upon County's written request. Contractor shall have the option upon the expiration or termination of the contract to acquire such assets at a price to be mutually agreed upon by County and Contractor.

8.25 Force Majeure

- 8.25.1 Neither party shall be liable for such party's failure to perform its obligations under and in accordance with this Contract, if such failure arises out of fires, floods, epidemics, quarantine restrictions, other natural occurrences, strikes, lockouts (other than a lockout by such party or any of such party's subcontractors), freight embargoes, or other similar events to those described above, but in every such case the failure to perform must be totally beyond the control and without any fault or negligence of such party (such events are referred to in this paragraph as "force majeure events").
- 8.25.2 Notwithstanding the foregoing, a default by a subcontractor of Contractor shall not constitute a force majeure event, unless such default arises out of causes beyond the control of both Contractor and such subcontractor, and without any fault or negligence of either of them. In such case, Contractor shall not be liable for failure to perform, unless the goods or services to be furnished by the subcontractor were obtainable from other sources in sufficient time to permit Contractor to meet the required performance schedule. As used in this subsection, the term "subcontractor" and "subcontractors" mean subcontractors at any tier.
- 8.25.3 In the event Contractor's failure to perform arises out of a force majeure event, Contractor agrees to use commercially reasonable best efforts to obtain goods or services from other sources, if applicable, and to otherwise mitigate the damages and reduce the delay caused by such force majeure event.

8.26 Former Foster Youth Consideration

- 8.26.1 Should Contractor require additional or replacement personnel after the effective date of this Contract to perform services set forth herein, Contractor shall give consideration (after County employees, and GAIN/GROW participants as described in Section 8.13 and 8.14, respectively) for any such position(s) to qualified former foster youth. Contractor shall notify County of any new or vacant position(s) within

Contractor's firm by sending via U.S. mail or facsimile, a list denoting any position(s) for which hiring is anticipated to:

County of Los Angeles
Department of Children and Family Services
Attn: Division Chief Youth Development Services Division
3530 Wilshire Boulevard, Suite 400
Los Angeles, CA 90010
Fax: (213) 637-0036

8.26.2 The notice sent by Contractor must indicate the position(s)/title(s) for vacant or new employment opportunity, description of same, requirements/qualifications for position(s), anticipated pay rate or salary schedule, the location where application(s)/Requests for application(s) may be sent, final date of acceptance for applications, and any special circumstances relevant to the hiring procedure for said position(s).

8.27 Governing Law, Jurisdiction, and Venue

8.27.1 This Contract shall be governed by, and construed in accordance with, the laws of the State of California. The Contractor agrees and consents to the exclusive jurisdiction of the courts of the State of California for all purposes regarding this Contract and further agrees and consents that venue of any action brought hereunder shall be exclusively in the County of Los Angeles.

8.28 Independent Contractor Status

8.28.1 This Contract is by and between the County and the Contractor and is not intended, and shall not be construed, to create the relationship of agent, servant, employee, partnership, joint venture, or association, as between the County and the Contractor. The employees and agents of one party shall not be, or be construed to be, the employees or agents of the other party for any purpose whatsoever.

8.28.2 The Contractor shall be solely liable and responsible for providing to, or on behalf of, all persons performing work pursuant to this Contract all compensation and benefits. The County shall have no liability or responsibility for the payment of any salaries, wages, unemployment benefits, disability benefits, Federal, State, or local taxes, or other compensation, benefits, or taxes for any personnel provided by or on behalf of the Contractor.

8.28.3 The Contractor understands and agrees that all persons performing work pursuant to this Contract are, for purposes of Workers' Compensation liability, solely employees of the Contractor and not

employees of the County. The Contractor shall be solely liable and responsible for furnishing any and all Workers' Compensation benefits to any person as a result of any injuries arising from or connected with any work performed by or on behalf of the Contractor pursuant to this Contract.

8.28.4 The Contractor shall adhere to the provisions stated in Section 7.6 (Confidentiality).

8.29 Indemnification

8.29.1 The Contractor shall indemnify, defend and hold harmless the County, its Special Districts, elected and appointed officers, employees, agents and volunteers (County Indemnitees) from and against any and all liability, including but not limited to demands, claims, actions, fees, costs and expenses (including attorney and expert witness fees), arising from and/or relating to this Contract, except for such loss or damage arising from the sole negligence or willful misconduct of the County Indemnitees.

8.30 General Provision for all Insurance Coverage

8.30.1 Without limiting Contractor's indemnification of County, and in the performance of this Contract and until all of its obligations pursuant to this Contract have been met, Contractor shall provide and maintain at its own expense insurance coverage satisfying the requirements specified in Sections 8.30 and 8.31 of this Contract. These minimum insurance coverage terms, types and limits (the "Required Insurance") also are in addition to and separate from any other contractual obligation imposed upon Contractor pursuant to this Contract. The County in no way warrants that the Required Insurance is sufficient to protect the Contractor for liabilities which may arise from or relate to this Contract.

8.30.2 Evidence of Coverage and Notice to County

8.30.2.1 Certificate(s) of insurance coverage (Certificate) satisfactory to County, and a copy of an Additional Insured endorsement confirming County and its Agents (defined below) has been given Insured status under the Contractor's General Liability policy, shall be delivered to County at the address shown below and provided prior to commencing services under this Contract.

8.30.2.2 Renewal Certificates shall be provided to County not less than 10 days prior to Contractor's policy expiration dates. The County reserves the right to obtain complete, certified

copies of any required Contractor and/or subcontractor insurance policies at any time.

8.30.2.3 Certificates shall identify all Required Insurance coverage types and limits specified herein, reference this Contract by name or number, and be signed by an authorized representative of the insurer(s). The Insured party named on the Certificate shall match the name of the Contractor identified as the contracting party in this Contract. Certificates shall provide the full name of each insurer providing coverage, its NAIC (National Association of Insurance Commissioners) identification number, its financial rating, the amounts of any policy deductibles or self-insured retentions exceeding fifty thousand dollars (\$50,000.00), and list any County required endorsement forms.

8.30.2.4 Neither the County's failure to obtain, nor the County's receipt of, or failure to object to a non-complying insurance certificate or endorsement, or any other insurance documentation or information provided by the Contractor, its insurance broker(s) and/or insurer(s), shall be construed as a waiver of any of the Required Insurance provisions.

8.30.2.5 Certificates and copies of any required endorsements shall be sent to:

County of Los Angeles
Department of Children and Family Services
Attention: Contract Manager, RFAT Program
425 Shatto Place, Room 400
Los Angeles, CA 90020

8.30.2.6 Contractor also shall promptly report to County any injury or property damage accident or incident, including any injury to a Contractor employee occurring on County property, and any loss, disappearance, destruction, misuse, or theft of County property, monies or securities entrusted to Contractor. Contractor also shall promptly notify County of any third party claim or suit filed against Contractor or any of its subcontractors which arises from or relates to this Contract, and could result in the filing of a claim or lawsuit against Contractor and/or County.

8.30.3 Additional Insured Status and Scope of Coverage

The County of Los Angeles, its Special Districts, Elected Officials, Officers, Agents, employees and volunteers (collectively County and its Agents) shall be provided additional insured status under Contractor's General Liability policy with respect to liability arising out of Contractor's ongoing and completed operations performed on behalf of the County. County and its Agents additional insured status shall apply with respect to liability and defense of suits arising out of the Contractor's acts or omissions, whether such liability is attributable to the Contractor or to the County. The full policy limits and scope of protection also shall apply to the County and its Agents as an additional insured, even if they exceed the County's minimum Required Insurance specifications herein. Use of an automatic additional insured endorsement form is acceptable providing it satisfies the Required Insurance provisions herein.

8.30.4 Cancellation of or Changes in Insurance

Contractor shall provide County with, or Contractor's insurance policies shall contain a provision that County shall receive, written notice of cancellation or any change in Required Insurance, including insurer, limits of coverage, term of coverage or policy period. The written notice shall be provided to County at least ten (10) days in advance of cancellation for non-payment of premium and thirty (30) days in advance for any other cancellation or policy change. Failure to provide written notice of cancellation or any change in Required Insurance may constitute a material breach of the Contract, in the sole discretion of the County, upon which the County may suspend or terminate this Contract.

8.30.5 Failure to Maintain Insurance

Contractor's failure to maintain or to provide acceptable evidence that it maintains the Required Insurance shall constitute a material breach of the Contract, upon which County immediately may withhold payments due to Contractor, and/or suspend or terminate this Contract. County, at its sole discretion, may obtain damages from Contractor resulting from said breach. Alternatively, the County may purchase the Required Insurance, and without further notice to Contractor, deduct the premium cost from sums due to Contractor or pursue Contractor reimbursement.

8.30.6 Insurer Financial Ratings

Coverage shall be placed with insurers acceptable to the County with A.M. Best ratings of not less than A: VII unless otherwise approved by County.

8.30.7 Contractor's Insurance Shall Be Primary

Contractor's insurance policies, with respect to any claims related to this Contract, shall be primary with respect to all other sources of coverage available to Contractor. Any County maintained insurance or self-insurance coverage shall be in excess of and not contribute to any Contractor coverage.

8.30.8 Waivers of Subrogation

To the fullest extent permitted by law, the Contractor hereby waives its rights and its insurer(s)' rights of recovery against County under all the Required Insurance for any loss arising from or relating to this Contract. The Contractor shall require its insurers to execute any waiver of subrogation endorsements which may be necessary to effect such waiver.

8.30.9 Subcontractor Insurance Coverage Requirements

Contractor shall include all subcontractors as insureds under Contractor's own policies, or shall provide County with each subcontractor's separate evidence of insurance coverage. Contractor shall be responsible for verifying each subcontractor complies with the Required Insurance provisions herein, and shall require that each subcontractor name the County and Contractor as additional insureds on the subcontractor's General Liability policy. Contractor shall obtain County's prior review and approval of any subcontractor request for modification of the Required Insurance.

8.30.10 Deductibles and Self-Insured Retentions (SIRs)

Contractor's policies shall not obligate the County to pay any portion of any Contractor deductible or SIR. The County retains the right to require Contractor to reduce or eliminate policy deductibles and SIRs as respects the County, or to provide a bond guaranteeing Contractor's payment of all deductibles and SIRs, including all related claims investigation, administration and defense expenses. Such bond shall be executed by a corporate surety licensed to transact business in the State of California.

8.30.11 Claims Made Coverage

If any part of the Required Insurance is written on a claims made basis, any policy retroactive date shall precede the effective date of this Contract. Contractor understands and agrees it shall maintain such coverage for a period of not less than three (3) years following Contract expiration, termination or cancellation.

8.30.12 **Application of Excess Liability Coverage**

Contractors may use a combination of primary and excess insurance policies which provide coverage as broad as (“follow form” over) the underlying primary policies, to satisfy the Required Insurance provisions.

8.30.13 **Separation of Insureds**

All liability policies shall provide cross-liability coverage as would be afforded by the standard ISO (Insurance Services Office, Inc.) separation of insureds provision with no insured versus insured exclusions or limitations.

8.30.14 **Alternative Risk Financing Programs**

The County reserves the right to review, and then approve, Contractor use of self-insurance, risk retention groups, risk purchasing groups, pooling arrangements and captive insurance to satisfy the Required Insurance provisions. The County and its Agents shall be designated as an Additional Covered Party under any approved program.

8.30.15 **County Review and Approval of Insurance Requirements**

The County reserves the right to review and adjust the Required Insurance provisions, conditioned upon County’s determination of changes in risk exposures.

8.31 **Insurance Coverage**

8.31.1 **Commercial General Liability** insurance (providing scope of coverage equivalent to ISO policy form CG 00 01), naming County and its Agents as an additional insured, with limits of not less than:

General Aggregate:	\$2 million
Products/Completed Operations Aggregate:	\$1 million
Personal and Advertising Injury:	\$1 million
Each Occurrence:	\$1 million

8.31.2 **Automobile Liability** insurance (providing scope of coverage equivalent to ISO policy form CA 00 01) with limits of not less than \$1 million for bodily injury and property damage, in combined or equivalent split limits, for each single accident. Insurance shall cover liability arising out of Contractor’s use of autos pursuant to this Contract, including owned, leased, hired, and/or non-owned autos, as each may be applicable.

8.31.3 **Workers Compensation and Employers' Liability** insurance or qualified self-insurance satisfying statutory requirements, which includes Employers' Liability coverage with limits of not less than \$1 million per accident. If Contractor will provide leased employees, or, is an employee leasing or temporary staffing firm or a professional employer organization (PEO), coverage also shall include an Alternate Employer Endorsement (providing scope of coverage equivalent to ISO policy form WC 00 03 01 A) naming the County as the Alternate Employer, and the endorsement form shall be modified to provide that County will receive not less than thirty (30) days advance written notice of cancellation of this coverage provision. If applicable to Contractor's operations, coverage also shall be arranged to satisfy the requirements of any federal workers or workmen's compensation law or any federal occupational disease law.

8.32 Liquidated Damages

8.32.1 If, in the judgment of the Department Head, or his/her designee, the Contractor is deemed to be non-compliant with the terms and obligations assumed hereby, the Department Head, or his/her designee, at his/her option, in addition to, or in lieu of, other remedies provided herein, may withhold the entire monthly payment or deduct pro rata from the Contractor's invoice for work not performed. A description of the work not performed and the amount to be withheld or deducted from payments to the Contractor from the County, will be forwarded to the Contractor by the Department Head, or his/her designee, in a written notice describing the reasons for said action.

8.32.2 If the Department Head, or his/her designee, determines that there are deficiencies in the performance of this Contract that the Department Head, or his/her designee, deems are correctable by the Contractor over a certain time span, the Department Head, or his/her designee, will provide a written notice to the Contractor to correct the deficiency within specified time frames. Should the Contractor fail to correct deficiencies within said time frame, the Department Head, or his/her designee, may: (a) Deduct from the Contractor's payment, pro rata, those applicable portions of the Monthly Contract Sum; and/or (b) Deduct liquidated damages. The parties agree that it will be impracticable or extremely difficult to fix the extent of actual damages resulting from the failure of the Contractor to correct a deficiency within the specified time frame. The parties hereby agree that under the current circumstances a reasonable estimate of such damages is one hundred dollars (\$100) per day per infraction, or as specified in Exhibit A-1, Performance Requirements Summary (PRS) Chart, Appendix C

(Statement of Work Exhibits) hereunder, and that the Contractor shall be liable to the County for liquidated damages in said amount. Said amount shall be deducted from the County's payment to the Contractor; and/or (c) Upon giving five (5) days' notice to the Contractor for failure to correct the deficiencies, the County may correct any and all deficiencies and the total costs incurred by the County for completion of the work by an alternate source, whether it be County forces or separate private contractor, will be deducted and forfeited from the payment to the Contractor from the County, as determined by the County.

8.32.3 The action noted in subsection 8.32.2 shall not be construed as a penalty, but as adjustment of payment to the Contractor to recover the County cost due to the failure of the Contractor to complete or comply with the provisions of this Contract.

8.32.4 This subsection shall not, in any manner, restrict or limit the County's right to damages for any breach of this Contract provided by law or as specified in the PRS or subsection 8.32.2, and shall not, in any manner, restrict or limit the County's right to terminate this Contract as agreed to herein.

8.33 Mandatory Requirement to Register on County's WebVen

8.33.1 Contractor represents and warrants that it has registered in the County's WebVen. Prior to a contract award, all potential contractors must register in the County's WebVen. The WebVen contains the vendor's business profile and identifies the goods/services the business provides. Registration can be accomplished online via the Internet by accessing the County's home page at http://lacounty.info/doing_business/main_db.htm. (There are underscores in the address between the words 'doing business' and 'main db'.) Contractor shall remain registered and ensure that current information is maintained on WebVen.

8.34 Most Favored Public Entity

8.34.1 If the Contractor's prices decline, or should the Contractor at any time during the term of this Contract provide the same goods or services under similar quantity and delivery conditions to the State of California or any county, municipality, or district of the State at prices below those set forth in this Contract, then such lower prices shall be immediately extended to the County.

8.35 Nondiscrimination and Affirmative Action

8.35.1 The Contractor certifies and agrees that all persons employed by it, its affiliates, subsidiaries, or holding companies are and shall be

treated equally without regard to or because of race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation, in compliance with all applicable Federal and State anti-discrimination laws and regulations.

- 8.35.2 The Contractor shall certify to, and comply with, the provisions of Exhibit B – Contractor’s EEO Certification.
- 8.35.3 The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation, in compliance with all applicable Federal and State anti-discrimination laws and regulations. Such action shall include, but is not limited to: employment, upgrading, demotion, transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship.
- 8.35.4 The Contractor certifies and agrees that it will deal with its subcontractors, bidders, or vendors without regard to or because of race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation.
- 8.35.5 The Contractor certifies and agrees that it, its affiliates, subsidiaries, or holding companies shall comply with all applicable Federal and State laws and regulations to the end that no person shall, on the grounds of race, color, religion, ancestry, national origin, sex, age, physical or mental disability, marital status, or political affiliation, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Contract or under any project, program, or activity supported by this Contract.
- 8.35.6 The Contractor shall allow County representatives access to the Contractor’s employment records during regular business hours to verify compliance with the provisions of this Section 8.35 (Nondiscrimination and Affirmative Action) when so requested by the County.
- 8.35.7 If the County finds that any provisions of this Section 8.35 (Nondiscrimination and Affirmative Action) have been violated, such violation shall constitute a material breach of this Contract upon which the County may terminate or suspend this Contract. While the County reserves the right to determine independently that the anti-discrimination provisions of this Contract have been violated, in addition, a determination by the California Fair

Employment and Housing Commission or the Federal Equal Employment Opportunity Commission that the Contractor has violated Federal or State anti-discrimination laws or regulations shall constitute a finding by the County that the Contractor has violated the anti-discrimination provisions of this Contract.

8.35.8 The parties agree that in the event the Contractor violates any of the anti-discrimination provisions of this Contract, the County shall, at its sole option, be entitled to the sum of five hundred dollars (\$500) for each such violation pursuant to California Civil Code Section 1671 as liquidated damages in lieu of terminating or suspending this Contract.

8.36 Non-Exclusivity

8.36.1 Nothing herein is intended nor shall be construed as creating any exclusive arrangement with the Contractor. This Contract shall not restrict County from acquiring similar, equal or like goods and/or services from other entities or sources.

8.37 Notice of Delays

8.37.1 Except as otherwise provided under this Contract, when either party has knowledge that any actual or potential situation is delaying or threatens to delay the timely performance of this Contract, that party shall, within one (1) business day, give notice thereof, including all relevant information with respect thereto, to the other party.

8.38 Notice of Disputes

8.38.1 The Contractor shall bring to the attention of the County's Program Manager and/or County's Program Director any dispute between the County and the Contractor regarding the performance of services as stated in this Contract. If the County's Program Manager or County's Program Director is not able to resolve the dispute, the Director of DCFS or his/her designee shall resolve it.

8.39 Notice to Employees Regarding the Federal Earned Income Credit

8.39.1 The Contractor shall notify its employees, and shall require each subcontractor to notify its employees, that they may be eligible for the Federal Earned Income Credit under the federal income tax laws. Such notice shall be provided in accordance with the requirements set forth in Internal Revenue Service Notice No. 1015 (Appendix I).

8.40 Notice to Employees Regarding the Safely Surrendered Baby Law

8.40.1 The Contractor shall notify and provide to its employees, and shall require each subcontractor to notify and provide to its employees, information regarding the Safely Surrendered Baby Law, its implementation in Los Angeles County, and where and how to safely surrender a baby. The information is set forth in Exhibit I (Safely Surrendered Baby Law) of this Contract. Additional information is available at www.babysafela.org.

8.41 Notices

8.41.1 All notices or demands required or permitted to be given or made under this Contract shall be in writing and shall be hand delivered with signed receipt or mailed by first-class registered or certified mail, postage prepaid, addressed to the parties as identified in Exhibits E – County’s Administration and F – Contractor’s Administration. Addresses may be changed by either party giving ten (10) days prior written notice thereof to the other party. The Director of DCFS, or his/her designee, shall have the authority to issue all notices or demands required or permitted by the County under this Contract.

8.42 Prohibition Against Inducement or Persuasion

8.42.1 Notwithstanding the above, the Contractor and the County agree that, during the term of this Contract and for a period of one year thereafter, neither party shall in any way intentionally induce or persuade any employee of one party to become an employee or agent of the other party. No bar exists against any hiring action initiated through a public announcement.

8.43 Public Records Act

8.43.1 Any documents submitted by the Contractor; all information obtained in connection with the County’s right to audit and inspect the Contractor’s documents, books, and accounting records pursuant to Section 8.45 (Record Retention and Inspection/Audit Settlement) of this Contract; as well as those documents which were required to be submitted in response to the Request for Proposals (RFP) used in the solicitation process for this Contract, become the exclusive property of the County. All such documents become a matter of public record and shall be regarded as public records. Exceptions will be those elements in the California Government Code Section 6250 et seq. (Public Records Act) and which are marked “trade secret,” “confidential,” or “proprietary”. The County shall not in any way be liable or responsible for the disclosure of any

such records including, without limitation, those so marked, if disclosure is required by law, or by an order issued by a court of competent jurisdiction.

- 8.43.2 In the event the County is required to defend an action on a Public Records Act request for any of the aforementioned documents, information, books, records, and/or contents of a proposal marked “trade secret,” “confidential,” or “proprietary”, the Contractor agrees to defend and indemnify the County from all costs and expenses, including reasonable attorney’s fees, in action or liability arising under the Public Records Act.

8.44 Publicity

- 8.44.1 The Contractor shall not disclose any details in connection with this Contract to any person or entity except as may be otherwise provided hereunder or required by law. However, in recognizing the Contractor’s need to identify its services and related clients to sustain itself, the County shall not inhibit the Contractor from publishing its role under this Contract within the following conditions:

8.44.1.1 The Contractor shall develop all publicity material in a professional manner; and

8.44.1.2 During the term of this Contract, the Contractor shall not, and shall not authorize another to, publish or disseminate any commercial advertisements, press releases, feature articles, or other materials using the name of the County without the prior written consent of the County’s Program Director. The County shall not unreasonably withhold written consent.

- 8.44.2 The Contractor may, without the prior written consent of County, indicate in its proposals and sales materials that it has been awarded this Contract with the County of Los Angeles, provided that the requirements of this Section 8.44 (Publicity) shall apply.

8.45 Record Retention and Inspection/Audit Settlement

- 8.45.1 The Contractor shall maintain accurate and complete financial records of its activities and operations relating to this Contract in accordance with generally accepted accounting principles. The Contractor shall also maintain accurate and complete employment and other records relating to its performance of this Contract. The Contractor agrees that the County, or its authorized representatives, shall have access to and the right to examine, audit, excerpt, copy, or transcribe any pertinent transaction, activity, or record relating to this Contract. All such material, including, but not limited to, all

financial records, bank statements, cancelled checks or other proof of payment, timecards, sign-in/sign-out sheets and other time and employment records, and proprietary data and information, shall be kept and maintained by the Contractor and shall be made available to the County during the term of this Contract and for a period of five (5) years thereafter unless the County's written permission is given to dispose of any such material prior to such time. All such material shall be maintained by the Contractor at a location in Los Angeles County, provided that if any such material is located outside Los Angeles County, then, at the County's option, the Contractor shall pay the County for travel, per diem, and other costs incurred by the County to examine, audit, excerpt, copy, or transcribe such material at such other location.

- 8.45.2 In the event that an audit of the Contractor is conducted specifically regarding this Contract by any Federal or State auditor, or by any auditor or accountant employed by the Contractor or otherwise, then the Contractor shall file a copy of such audit report with the County's Auditor-Controller within thirty (30) days of the Contractor's receipt thereof, unless otherwise provided by applicable Federal or State law or under this Contract. Subject to applicable law, the County shall make a reasonable effort to maintain the confidentiality of such audit report(s).
- 8.45.3 Failure on the part of the Contractor to comply with any of the provisions of this subsection 8.45 shall constitute a material breach of this Contract upon which the County may terminate or suspend this Contract.
- 8.45.4 If, at any time during the term of this Contract or within five (5) years after the expiration or termination of this Contract, representatives of the County conduct an audit of the Contractor regarding the work performed under this Contract, and if such audit finds that the County's dollar liability for any such work is less than payments made by the County to the Contractor, then the difference shall be either: a) repaid by the Contractor to the County by cash payment upon demand or b) at the sole option of the County's Auditor-Controller, deducted from any amounts due to the Contractor from the County, whether under this Contract or otherwise. If such audit finds that the County's dollar liability for such work is more than the payments made by the County to the Contractor, then the difference shall be paid to the Contractor by the County by cash payment, provided that in no event shall the County's maximum obligation for this Contract exceed the funds appropriated by the County for the purpose of this Contract.

8.46 Recycled Bond Paper

8.46.1 Consistent with the Board of Supervisors' policy to reduce the amount of solid waste deposited at the County landfills, the Contractor agrees to use recycled-content paper to the maximum extent possible on this Contract.

8.47 Shred Document

8.47.1 Contractor shall ensure that all confidential documents and papers, as defined under state law (including, but not limited to Welfare and Institutions Code Section 10850) relating to this Contract must be shredded and not put in trash containers when Contractor disposes of these documents and papers. All documents and papers to be shredded are to be placed in a locked or secured container/bin/box and labeled "shred" until they are destroyed. No confidential documents and papers are to be recycled.

8.47.2 Documents for record and retention purposes in accordance with Section 8.45 (Record Retention and Inspection/Audit Settlement), of this Contract are to be maintained for a period of five years.

8.48 Subcontracting

8.48.1 The requirements of this Contract may not be subcontracted by the Contractor **without the advance approval of the County**. Any attempt by the Contractor to subcontract without the prior consent of the County may be deemed a material breach of this Contract.

8.48.2 If the Contractor desires to subcontract, the Contractor shall provide the following information promptly at the County's request:

8.48.2.1 A description of the work to be performed by the subcontractor;

8.48.2.2 A draft copy of the proposed subcontract; and

8.48.2.3 Other pertinent information and/or certifications requested by the County.

8.48.3 The Contractor shall indemnify, defend, and hold the County harmless with respect to the activities of each and every subcontractor in the same manner and to the same degree as if such subcontractor(s) were the Contractor employees.

8.48.4 The Contractor shall remain fully responsible for all performances required of it under this Contract, including those that the Contractor

has determined to subcontract, notwithstanding the County's approval of the Contractor's proposed subcontract.

- 8.48.5 The County's consent to subcontract shall not waive the County's right to prior and continuing approval of any and all personnel, including subcontractor employees, providing services under this Contract. The Contractor is responsible to notify its subcontractors of this County right.
- 8.48.6 The County's Program Director is authorized to act for and on behalf of the County with respect to approval of any subcontract and subcontractor employees. After approval of the subcontract by the County, Contractor shall forward a fully executed subcontract to the County for their files.
- 8.48.7 The Contractor shall be solely liable and responsible for all payments or other compensation to all subcontractors and their officers, employees, agents, and successors in interest arising through services performed hereunder, notwithstanding the County's consent to subcontract.
- 8.48.8 The Contractor shall obtain certificates of insurance, which establish that the subcontractor maintains all the programs of insurance required by the County from each approved subcontractor. The Contractor shall ensure delivery of all such documents to County Program Manager before any subcontractor employee may perform any work hereunder.

8.49 Termination for Breach of Warranty to Maintain Compliance with County's Child Support Compliance Program

- 8.49.1 Failure of the Contractor to maintain compliance with the requirements set forth in Section 8.18, Contractor's Warranty of Adherence to County's Child Support Compliance Program, shall constitute default under this Contract. Without limiting the rights and remedies available to the County under any other provision of this Contract, failure of the Contractor to cure such default within ninety (90) calendar days of written notice shall be grounds upon which the County may terminate this Contract pursuant to Section 8.51, Termination for Default and pursue debarment of the Contractor, pursuant to County Code Chapter 2.202.

8.50 Termination for Convenience

- 8.50.1 This Contract may be terminated, in whole or in part, from time to time, when such action is deemed by the County, in its sole discretion, to be in its best interest. Termination of work hereunder shall be effected by notice of termination to the Contractor specifying

the extent to which performance of work is terminated and the date upon which such termination becomes effective. The date upon which such termination becomes effective shall be no less than ten (10) days after the notice is sent.

8.50.2 After receipt of a notice of termination and except as otherwise directed by the County, the Contractor shall:

8.50.2.1 Stop work under this Contract on the date and to the extent specified in such notice, and

8.50.2.2 Complete performance of such part of the work as shall not have been terminated by such notice.

8.50.3 All material including books, records, documents, or other evidence bearing on the costs and expenses of the Contractor under this Contract shall be maintained by the Contractor in accordance with Section 8.45, Record Retention and Inspection/Audit Settlement.

8.51 Termination for Default

8.51.1 The County may, by written notice to the Contractor, terminate the whole or any part of this Contract, if, in the judgment of County's Program Director:

8.51.1.1 Contractor has materially breached this Contract; or

8.51.1.2 Contractor fails to timely provide and/or satisfactorily perform any task, deliverable, service, or other work required either under this Contract; or

8.51.1.3 Contractor fails to demonstrate a high probability of timely fulfillment of performance requirements under this Contract, or of any obligations of this Contract and in either case, fails to demonstrate convincing progress toward a cure within five (5) working days (or such longer period as the County may authorize in writing) after receipt of written notice from the County specifying such failure.

8.51.2 In the event that the County terminates this Contract in whole or in part as provided in subsection 8.51.1, the County may procure, upon such terms and in such manner as the County may deem appropriate, goods and services similar to those so terminated. The Contractor shall be liable to the County for any and all excess costs incurred by the County, as determined by the County, for such similar goods and services. The Contractor shall continue the

performance of this Contract to the extent not terminated under the provisions of this subsection.

- 8.51.3 Except with respect to defaults of any subcontractor, the Contractor shall not be liable for any such excess costs of the type identified in subsection 8.51.2 if its failure to perform this Contract arises out of causes beyond the control and without the fault or negligence of the Contractor. Such causes may include, but are not limited to: acts of God or of the public enemy, acts of the County in either its sovereign or contractual capacity, acts of Federal or State governments in their sovereign capacities, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, and unusually severe weather; but in every case, the failure to perform must be beyond the control and without the fault or negligence of the Contractor. If the failure to perform is caused by the default of a subcontractor, and if such default arises out of causes beyond the control of both the Contractor and subcontractor, and without the fault or negligence of either of them, the Contractor shall not be liable for any such excess costs for failure to perform, unless the goods or services to be furnished by the subcontractor were obtainable from other sources in sufficient time to permit the Contractor to meet the required performance schedule. As used in this subsection, the term "subcontractor(s)" means subcontractor(s) at any tier.
- 8.51.4 If, after the County has given notice of termination under the provisions of this Section 8.51 (Termination for Default) it is determined by the County that the Contractor was not in default under the provisions of this Section 8.51 (Termination for Default) or that the default was excusable under the provisions of subsection 8.51.3, the rights and obligations of the parties shall be the same as if the notice of termination had been issued pursuant to Section 8.50 (Termination for Convenience).
- 8.51.5 The rights and remedies of the County provided in this Section 8.51 (Termination for Default) shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.

8.52 Termination for Improper Consideration

- 8.52.1 The County may, by written notice to the Contractor, immediately terminate the right of the Contractor to proceed under this Contract if it is found that consideration, in any form, was offered or given by the Contractor, either directly or through an intermediary, to any County officer, employee, or agent with the intent of securing this Contract or securing favorable treatment with respect to the award, amendment, or extension of this Contract or the making of any

determinations with respect to the Contractor's performance pursuant to this Contract. In the event of such termination, the County shall be entitled to pursue the same remedies against the Contractor as it could pursue in the event of default by the Contractor.

8.52.2 The Contractor shall immediately report any attempt by a County officer or employee to solicit such improper consideration. The report shall be made either to the County manager charged with the supervision of the employee or to the County Auditor-Controller's Employee Fraud Hotline at (800) 544-6861.

8.52.3 Among other items, such improper consideration may take the form of cash, discounts, services, the provision of travel or entertainment, or tangible gifts.

8.53 Termination for Insolvency

8.53.1 The County may terminate this Contract forthwith in the event of the occurrence of any of the following:

8.53.1.1 Insolvency of the Contractor. The Contractor shall be deemed to be insolvent if it has ceased to pay its debts for at least sixty (60) days in the ordinary course of business or cannot pay its debts as they become due, whether or not a petition has been filed under the Federal Bankruptcy Code and whether or not the Contractor is insolvent within the meaning of the Federal Bankruptcy Code;

8.53.1.2 The filing of a voluntary or involuntary petition regarding the Contractor under the Federal Bankruptcy Code;

8.53.1.3 The appointment of a Receiver or Trustee for the Contractor; or

8.53.1.4 The execution by the Contractor of a general assignment for the benefit of creditors.

8.53.2 The rights and remedies of the County provided in this Section 8.53 (Termination for Insolvency) shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.

8.54 Termination for Non-Adherence of County Lobbyist Ordinance

8.54.1 The Contractor, and each County Lobbyist or County Lobbying firm as defined in County Code Section 2.160.010 retained by the Contractor, shall fully comply with the County's Lobbyist Ordinance,

County Code Chapter 2.160. Failure on the part of the Contractor or any County Lobbyist or County Lobbying firm retained by the Contractor to fully comply with the County's Lobbyist Ordinance shall constitute a material breach of this Contract, upon which the County may in its sole discretion, immediately terminate or suspend this Contract.

8.55 Termination for Non-Appropriation of Funds

8.55.1 Notwithstanding any other provision of this Contract, the County shall not be obligated for the Contractor's performance hereunder or by any provision of this Contract during any of the County's future fiscal years unless and until the County's Board of Supervisors appropriates funds for this Contract in the County's Budget for each such future fiscal year. In the event that funds are not appropriated for this Contract, then this Contract shall terminate as of June 30 of the last fiscal year for which funds were appropriated. The County shall notify the Contractor in writing of any such non-allocation of funds at the earliest possible date.

8.56 Use of Funds

8.56.1 Contractor's cost allocation plan shall be developed in accordance with the principles included in OMB Title 2 of the CFR or any publication that supercedes the OMB circulars, and the Auditor Controller Contract Accounting and Administration Handbook (Exhibit D).

8.56.2 All uses of funds paid to Contractor and other financial transactions related to Contractor's provision of services under this Contract are subject to review and/or audit by DCFS, County's Auditor-Controller or its designee, and the State of California. In the event this Contract is subject to audit exceptions, Contractor shall pay to County the full amount of Contractor's liability for such audit exceptions, as determined by DCFS, upon demand by County.

8.57 Validity

8.57.1 If any provision of this Contract or the application thereof to any person or circumstance is held invalid, the remainder of this Contract and the application of such provision to other persons or circumstances shall not be affected thereby.

8.58 Waiver

8.58.1 No waiver by the County of any breach of any provision of this Contract shall constitute a waiver of any other breach or of such provision. Failure of the County to enforce at any time, or from time

to time, any provision of this Contract shall not be construed as a waiver thereof. The rights and remedies set forth in this Section 8.58 shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.

8.59 Warranty Against Contingent Fees

- 8.59.1 The Contractor warrants that no person or selling agency has been employed or retained to solicit or secure this Contract upon any Contract or understanding for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees or bona fide established commercial or selling agencies maintained by the Contractor for the purpose of securing business.
- 8.59.2 For breach of this warranty, the County shall have the right to terminate this Contract and, at its sole discretion, deduct from the Contract price or consideration, or otherwise recover, the full amount of such commission, percentage, brokerage, or contingent fee.

8.60 Warranty Against Exclusion, Debarment or Suspension

- 8.60.1 The Contractor certifies that neither it nor its principals are presently debarred, excluded, suspended, or proposed for debarment, or otherwise declared ineligible from participation in this Contract by any governmental department or agency. The Contractor must notify the County Program Manager within 30 days if debarred, excluded

8.61 Warranty of Compliance with County's Defaulted Property Tax Reduction Program

- 8.61.1 Contractor acknowledges that County has established a goal of ensuring that all individuals and businesses that benefit financially from County through contract are current in paying their property tax obligations (secured and unsecured roll) in order to mitigate the economic burden otherwise imposed upon County and its taxpayers.
- 8.61.2 Unless Contractor qualifies for an exemption or exclusion, Contractor warrants and certifies that to the best of its knowledge it is now in compliance, and during the term of this contract will maintain compliance, with Los Angeles County Code Chapter 2.206.

8.62 Termination for Breach of Warranty to Maintain Compliance with County's Defaulted Property Tax Reduction Program

8.62.1 Failure of Contractor to maintain compliance with the requirements set forth in Section 8.61, "Warranty of Compliance with County's Defaulted Property Tax Reduction Program" shall constitute default under this contract. Without limiting the rights and remedies available to County under any other provision of this contract, failure of Contractor to cure such default within 10 days of notice shall be grounds upon which County may terminate this contract and/or pursue debarment of Contractor, pursuant to County Code Chapter 2.206.

8.63 Time Off for Voting

8.63.1 The Contractor shall notify its employees, and shall require each subcontractor to notify and provide to its employees, information regarding the time off for voting law (Elections Code Section 14000). Not less than 10 days before every statewide election, every Contractor and subcontractors shall keep posted conspicuously at the place of work, if practicable, or elsewhere where it can be seen as employees come or go to their place of work, a notice setting forth the provisions of Section 14000.

8.64 Compliance with County's Zero Tolerance Policy on Human Trafficking

8.64.1 Contractor acknowledges that the County has established a Zero Tolerance Policy on Human Trafficking prohibiting contractors from engaging in human trafficking.

8.64.2 If a Contractor or member of Contractor's staff is convicted of a human trafficking offense, the County shall require that the Contractor or member of Contractor's staff be removed immediately from performing services under the Contract. County will not be under any obligation to disclose confidential information regarding the offenses other than those required by law.

8.64.3 Disqualification of any member of Contractor's staff pursuant to this paragraph shall not relieve Contractor of its obligation to complete all work in accordance with the terms and conditions of this Contract.

8.65 Compliance with Fair Chance Employment Practices

8.65.1 Contractor shall comply with fair chance employment hiring practices set forth in California Government Code Section 12952, Employment Discrimination: Conviction History. Contractor's

violation of this paragraph of the Contract may constitute a material breach of the Contract. In the event of such material breach, County may, in its sole discretion, terminate the Contract.

8.66 Compliance with the County Policy of Equity

8.66.1 The Contractor acknowledges that the County takes its commitment to preserving the dignity and professionalism of the workplace very seriously, as set forth in the County Policy of Equity (CPOE) (<http://ceop.lacounty.gov/>). The Contractor further acknowledges that the County strives to provide a workplace free from discrimination, harassment, retaliation and inappropriate conduct based on a protected characteristic, and which may violate the CPOE. The Contractor, its employees and subcontractors acknowledge and certify receipt and understanding of the CPOE. Failure of the Contractor, its employees or its subcontractors to uphold the County's expectations of a workplace free from harassment and discrimination, including inappropriate conduct based on a protected characteristic, may subject the Contractor to termination of contractual agreements as well as civil liability.

8.67 Prohibition from Participation in Future Solicitation(s)

A Proposer, or a Contractor or its subsidiary or Subcontractor ("Proposer/Contractor"), is prohibited from submitting a bid or proposal in a County solicitation if the Proposer/Contractor has provided advice or consultation for the solicitation. A Proposer/Contractor is also prohibited from submitting a bid or proposal in a County solicitation if the Proposer/Contractor has developed or prepared any of the solicitation materials on behalf of the County. A violation of this provision shall result in the disqualification of the Contractor/Proposer from participation in the County solicitation or the termination or cancellation of any resultant County contract. This provision shall survive the expiration, or other termination of this Agreement.

9.0 UNIQUE TERMS AND CONDITIONS

9.1 Ownership of Materials, Software and Copyright

- 9.1.1 County shall be the sole owner of all right, title and interest, including copyright, in and to all software, plans, diagrams, facilities, and tools (hereafter "materials") which are originated or created through the Contractor's work pursuant to this Contract. The Contractor, for valuable consideration herein provided, shall execute all documents necessary to assign and transfer to, and vest in the County all of the Contractor's right, title and interest in and to such original materials, including any copyright, patent and trade secret rights which arise pursuant to the Contractor's work under this Contract.
- 9.1.2 During the term of this Contract and for five (5) years thereafter, the Contractor shall maintain and provide security for all of the Contractor's working papers prepared under this Contract. County shall have the right to inspect, copy and use at any time during and subsequent to the term of this Contract, any and all such working papers and all information contained therein.
- 9.1.3 Any and all materials, software and tools which are developed or were originally acquired by the Contractor outside the scope of this Contract, which the Contractor desires to use hereunder, and which the Contractor considers to be proprietary or confidential, must be specifically identified by the Contractor to the County's Program Manager as proprietary or confidential, and shall be plainly and prominently marked by the Contractor as "Proprietary" or "Confidential" on each appropriate page of any document containing such material.
- 9.1.4 The County will use reasonable means to ensure that the Contractor's proprietary and/or confidential items are safeguarded and held in confidence. The County agrees not to reproduce, distribute or disclose to non-County entities any such proprietary and/or confidential items without the prior written consent of the Contractor.
- 9.1.5 Notwithstanding any other provision of this Contract, the County will not be obligated to the Contractor in any way under subsection 9.1.4 for any of the Contractor's proprietary and/or confidential items which are not plainly and prominently marked with restrictive legends as required by subsection 9.1.3 or for any disclosure which the County is required to make under any state or federal law or order of court.

9.1.6 All the rights and obligations of this Section 9.1 shall survive the expiration or termination of this Contract.

9.2 Patent, Copyright and Trade Secret Indemnification

9.2.1 The Contractor shall indemnify, hold harmless and defend County from and against any and all liability, damages, costs, and expenses, including, but not limited to, defense costs and attorneys' fees, for or by reason of any actual or alleged infringement of any third party's patent or copyright, or any actual or alleged unauthorized trade secret disclosure, arising from or related to the operation and utilization of the Contractor's work under this Contract. County shall inform the Contractor as soon as practicable of any claim or action alleging such infringement or unauthorized disclosure, and shall support the Contractor's defense and settlement thereof.

9.2.2 In the event any equipment, part thereof, or software product becomes the subject of any complaint, claim, or proceeding alleging infringement or unauthorized disclosure, such that County's continued use of such item is formally restrained, enjoined, or subjected to a risk of damages, the Contractor, at its sole expense, and providing that County's continued use of the system is not materially impeded, shall either:

9.2.2.1 Procure for County all rights to continued use of the questioned equipment, part, or software product; or

9.2.2.2 Replace the questioned equipment, part, or software product with a non-questioned item; or

9.2.2.3 Modify the questioned equipment, part, or software so that it is free of claims.

9.2.3 The Contractor shall have no liability if the alleged infringement or unauthorized disclosure is based upon a use of the questioned product, either alone or in combination with other items not supplied by the Contractor, in a manner for which the questioned product was not designed nor intended.

9.3 Contractor's Charitable Activities Compliance

9.3.1 The Supervision of Trustees and Fundraisers for Charitable Purposes Act regulates entities receiving or raising charitable contributions. The "Nonprofit Integrity Act of 2004" (SB 1262, Chapter 919) increased Charitable Purposes Act requirements. By requiring Contractors to complete the Charitable Contributions Certification, Exhibit J, the County seeks to ensure that all County contractors which receive or raise charitable contributions comply

with California law in order to protect the County and its taxpayers. A Contractor which receives or raises charitable contributions without complying with its obligations under California law commits a material breach subjecting it to either contract termination or debarment proceedings or both. (County Code Chapter 2.202)

9.4 Data Encryption

Contractor and subcontractors that electronically transmit or store personal information (PI), protected health information (PHI) and/or medical information (MI) shall comply with the encryption standards set forth below. PI is defined in California Civil Code Section 1798.29(g). PHI is defined in Health Insurance Portability and Accountability Act of 1996 (HIPAA), and implementing regulations. MI is defined in California Civil Code Section 56.05(j).

9.4.1 Stored Data

Contractors' and Subcontractors' workstations and portable devices (e.g., mobile, wearables, tablets, thumb drives, external hard drives) require encryption (i.e. software and/or hardware) in accordance with: (a) Federal Information Processing Standard Publication (FIPS) 140-2; (b) National Institute of Standards and Technology (NIST) Special Publication 800-57 Recommendation for Key Management – Part 1: General (Revision 3); (c) NIST Special Publication 800-57 Recommendation for Key Management – Part 2: Best Practices for Key Management Organization; and (d) NIST Special Publication 800-111 Guide to Storage Encryption Technologies for End User Devices. Advanced Encryption Standard (AES) with cipher strength of 256-bit is minimally required.

9.4.2 Transmitted Data

All transmitted (e.g. network) County PI, PHI and/or MI require encryption in accordance with: (a) NIST Special Publication 800-52 Guidelines for the Selection and Use of Transport Layer Security Implementations; and (b) NIST Special Publication 800-57 Recommendation for Key Management – Part 3: Application-Specific Key Management Guidance. Secure Sockets Layer (SSL) is minimally required with minimum cipher strength of 128-bit.

9.4.3 Certification

The County must receive within ten (10) business days of its request, a certification from Contractor (for itself and any subcontractors) that certifies and validates compliance with the encryption standards set forth above. In addition, Contractor shall maintain a copy of any

validation/attestation reports that its data encryption product(s) generate and such reports shall be subject to audit in accordance with the Contract. Failure on the part of the Contractor to comply with any of the provisions of this subsection 9.4 (Data Encryption) shall constitute a material breach of this Contract upon which the County may terminate or suspend this Contract.

IN WITNESS WHEREOF, the Board of Supervisors of the County of Los Angeles has caused this Contract to be subscribed on its behalf by the Director of the Department of Children and Family Services, and the Contractor has subscribed the same through its authorized officers, as of the day, month and year first above written. The persons signing on behalf of the Contractor warrant under penalty of perjury that they are authorized to bind the Contractor.

COUNTY OF LOS ANGELES

CONTRACTOR

By: _____
Bobby D. Cagle, Director
Department of Children and
Family Services

By: _____

Name: _____

Title: _____

By: _____

Name: _____

Title: _____

Tax Identification Number

APPROVED AS TO FORM:

MARY C. WICKHAM
County Counsel

By _____
David Beaudet, Senior Deputy County Counsel

**CONTRACT FOR
RESOURCE FAMILY APPROVAL TRAINING SERVICES**

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STATEMENT OF WORK

EXHIBIT A TO SAMPLE CONTRACT

CONTRACTOR'S EEO CERTIFICATION

 Contractor Name

 Address

 Internal Revenue Service Employer Identification Number

GENERAL CERTIFICATION

In accordance with Section 4.32.010 of the Code of the County of Los Angeles, the contractor, supplier, or vendor certifies and agrees that all persons employed by such firm, its affiliates, subsidiaries, or holding companies are and will be treated equally by the firm without regard to or because of race, religion, ancestry, national origin, or sex and in compliance with all anti-discrimination laws of the United States of America and the State of California.

CONTRACTOR'S SPECIFIC CERTIFICATIONS

- | | | |
|--|------------------------------|-----------------------------|
| 1. The Contractor has a written policy statement prohibiting discrimination in all phases of employment. | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| 2. The Contractor periodically conducts a self-analysis or utilization analysis of its work force. | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| 3. The Contractor has a system for determining if its employment practices are discriminatory against protected groups. | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| 4. Where problem areas are identified in employment practices, the Contractor has a system for taking reasonable corrective action, to include establishment of goals or timetables. | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

 Authorized Official's Printed Name and Title

 Authorized Official's Signature

 Date

CONTRACTOR ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT

CONTRACTOR NAME _____ Contract No. _____

GENERAL INFORMATION:

The Contractor referenced above has entered into a contract with the County of Los Angeles to provide certain services to the County. The County requires the Corporation to sign this Contractor Acknowledgement and Confidentiality Agreement.

CONTRACTOR ACKNOWLEDGEMENT:

Contractor understands and agrees that the Contractor employees, consultants, Outsourced Vendors and independent contractors (Contractor's Staff) that will provide services in the above referenced agreement are Contractor's sole responsibility. Contractor understands and agrees that Contractor's Staff must rely exclusively upon Contractor for payment of salary and any and all other benefits payable by virtue of Contractor's Staff's performance of work under the above-referenced contract.

Contractor understands and agrees that Contractor's Staff are not employees of the County of Los Angeles for any purpose whatsoever and that Contractor's Staff do not have and will not acquire any rights or benefits of any kind from the County of Los Angeles by virtue of my performance of work under the above-referenced contract. Contractor understands and agrees that Contractor's Staff will not acquire any rights or benefits from the County of Los Angeles pursuant to any agreement between any person or entity and the County of Los Angeles.

CONFIDENTIALITY AGREEMENT:

Contractor and Contractor's Staff may be involved with work pertaining to services provided by the County of Los Angeles and, if so, Contractor and Contractor's Staff may have access to confidential data and information pertaining to persons and/or entities receiving services from the County. In addition, Contractor and Contractor's Staff may also have access to proprietary information supplied by other vendors doing business with the County of Los Angeles. The County has a legal obligation to protect all such confidential data and information in its possession, especially data and information concerning health, criminal, and welfare recipient records. Contractor and Contractor's Staff understand that if they are involved in County work, the County must ensure that Contractor and Contractor's Staff, will protect the confidentiality of such data and information. Consequently, Contractor must sign this Confidentiality Agreement as a condition of work to be provided by Contractor's Staff for the County.

Contractor and Contractor's Staff hereby agrees that they will not divulge to any unauthorized person any data or information obtained while performing work pursuant to the above-referenced contract between Contractor and the County of Los Angeles. Contractor and Contractor's Staff agree to forward all requests for the release of any data or information received to County's Project Manager.

Contractor and Contractor's Staff agree to keep confidential all health, criminal, and welfare recipient records and all data and information pertaining to persons and/or entities receiving services from the County, design concepts, algorithms, programs, formats, documentation, Contractor proprietary information and all other original materials produced, created, or provided to Contractor and Contractor's Staff under the above-referenced contract. Contractor and Contractor's Staff agree to protect these confidential materials against disclosure to other than Contractor or County employees who have a need to know the information. Contractor and Contractor's Staff agree that if proprietary information supplied by other County vendors is provided to me during this employment, Contractor and Contractor's Staff shall keep such information confidential.

Contractor and Contractor's Staff agree to report any and all violations of this agreement by Contractor and Contractor's Staff and/or by any other person of whom Contractor and Contractor's Staff become aware.

Contractor and Contractor's Staff acknowledge that violation of this agreement may subject Contractor and Contractor's Staff to civil and/or criminal action and that the County of Los Angeles may seek all possible legal redress.

SIGNATURE: _____

DATE: ____/____/____

PRINTED NAME: _____

POSITION: _____

CONTRACTOR EMPLOYEE ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT

Contractor shall ensure that this certification is executed and kept in employee’s personnel file and must be provided to the County upon request. (Work by the employee cannot begin on the Contract until this document is executed.)

Contractor Name _____ Contract No. _____

Employee Name _____

GENERAL INFORMATION:

Your employer referenced above has entered into a contract with the County of Los Angeles to provide certain services to the County. The County requires your signature on this Contractor Employee Acknowledgement and Confidentiality Agreement.

EMPLOYEE ACKNOWLEDGEMENT:

I understand and agree that the Contractor referenced above is my sole employer for purposes of the above-referenced contract. I understand and agree that I must rely exclusively upon my employer for payment of salary and any and all other benefits payable to me or on my behalf by virtue of my performance of work under the above-referenced contract.

I understand and agree that I am not an employee of the County of Los Angeles for any purpose whatsoever and that I do not have and will not acquire any rights or benefits of any kind from the County of Los Angeles by virtue of my performance of work under the above-referenced contract. I understand and agree that I do not have and will not acquire any rights or benefits from the County of Los Angeles pursuant to any agreement between any person or entity and the County of Los Angeles.

I understand and agree that I may be required to undergo a background and security investigation(s). I understand and agree that my continued performance of work under the above-referenced contract is contingent upon my passing, to the satisfaction of the County, any and all such investigations. I understand and agree that my failure to pass, to the satisfaction of the County, any such investigation shall result in my immediate release from performance under this and/or any future contract.

CONFIDENTIALITY AGREEMENT:

I may be involved with work pertaining to services provided by the County of Los Angeles and, if so, I may have access to confidential data and information pertaining to persons and/or entities receiving services from the County. In addition, I may also have access to proprietary information supplied by other vendors doing business with the County of Los Angeles. The County has a legal obligation to protect all such confidential data and information in its possession, especially data and information concerning health, criminal, and welfare recipient records. I understand that if I am involved in County work, the County must ensure that I, too, will protect the confidentiality of such data and information. Consequently, I understand that I must sign this agreement as a condition of my work to be provided by my employer for the County. I have read this agreement and have taken due time to consider it prior to signing.

I hereby agree that I will not divulge to any unauthorized person any data or information obtained while performing work pursuant to the above-referenced contract between my employer and the County of Los Angeles. I agree to forward all requests for the release of any data or information received by me to my immediate supervisor.

I agree to keep confidential all health, criminal, and welfare recipient records and all data and information pertaining to persons and/or entities receiving services from the County, design concepts, algorithms, programs, formats, documentation, Contractor proprietary information and all other original materials produced, created, or provided to or by me under the above-referenced contract. I agree to protect these confidential materials against disclosure to other than my employer or County employees who have a need to know the information. I agree that if proprietary information supplied by other County vendors is provided to me during this employment, I shall keep such information confidential.

I agree to report to my immediate supervisor any and all violations of this agreement by myself and/or by any other person of whom I become aware. I agree to return all confidential materials to my immediate supervisor upon completion of this contract or termination of my employment with my employer, whichever occurs first.

SIGNATURE: _____

DATE: ____/____/____

PRINTED NAME: _____

POSITION: _____

CONTRACTOR NON-EMPLOYEE ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT

Contractor shall ensure that this certification is executed and kept in non-employee’s file and must be provided to the County upon request. (Work by the non-employee cannot begin on the Contract until this document is executed.)

Contractor Name _____ Contract No. _____

Non-Employee Name _____

GENERAL INFORMATION:

The Contractor referenced above has entered into a contract with the County of Los Angeles to provide certain services to the County. The County requires your signature on this Contractor Non-Employee Acknowledgement and Confidentiality Agreement.

NON-EMPLOYEE ACKNOWLEDGEMENT:

I understand and agree that the Contractor referenced above has exclusive control for purposes of the above-referenced contract. I understand and agree that I must rely exclusively upon the Contractor referenced above for payment of salary and any and all other benefits payable to me or on my behalf by virtue of my performance of work under the above-referenced contract.

I understand and agree that I am not an employee of the County of Los Angeles for any purpose whatsoever and that I do not have and will not acquire any rights or benefits of any kind from the County of Los Angeles by virtue of my performance of work under the above-referenced contract. I understand and agree that I do not have and will not acquire any rights or benefits from the County of Los Angeles pursuant to any agreement between any person or entity and the County of Los Angeles.

I understand and agree that I may be required to undergo a background and security investigation(s). I understand and agree that my continued performance of work under the above-referenced contract is contingent upon my passing, to the satisfaction of the County, any and all such investigations. I understand and agree that my failure to pass, to the satisfaction of the County, any such investigation shall result in my immediate release from performance under this and/or any future contract.

CONFIDENTIALITY AGREEMENT:

I may be involved with work pertaining to services provided by the County of Los Angeles and, if so, I may have access to confidential data and information pertaining to persons and/or entities receiving services from the County. In addition, I may also have access to proprietary information supplied by other vendors doing business with the County of Los Angeles. The County has a legal obligation to protect all such confidential data and information in its possession, especially data and information concerning health, criminal, and welfare recipient records. I understand that if I am involved in County work, the County must ensure that I, too, will protect the confidentiality of such data and information. Consequently, I understand that I must sign this agreement as a condition of my work to be provided by the above-referenced Contractor for the County. I have read this agreement and have taken due time to consider it prior to signing.

I hereby agree that I will not divulge to any unauthorized person any data or information obtained while performing work pursuant to the above-referenced contract between the above-referenced Contractor and the County of Los Angeles. I agree to forward all requests for the release of any data or information received by me to the above-referenced Contractor.

I agree to keep confidential all health, criminal, and welfare recipient records and all data and information pertaining to persons and/or entities receiving services from the County, design concepts, algorithms, programs, formats, documentation, Contractor proprietary information, and all other original materials produced, created, or provided to or by me under the above-referenced contract. I agree to protect these confidential materials against disclosure to other than the above-referenced Contractor or County employees who have a need to know the information. I agree that if proprietary information supplied by other County vendors is provided to me, I shall keep such information confidential.

I agree to report to the above-referenced Contractor any and all violations of this agreement by myself and/or by any other person of whom I become aware. I agree to return all confidential materials to the above-referenced Contractor upon completion of this contract or termination of my services hereunder, whichever occurs first.

SIGNATURE: _____

DATE: ____/____/____

PRINTED NAME: _____

POSITION: _____

**DEPARTMENT OF AUDITOR-CONTROLLER
CONTRACT ACCOUNTING AND ADMINISTRATION HANDBOOK**

The purpose of the handbook is to establish accounting, internal control, financial reporting, and contract administration standards for organizations (contractors) that contract with the County.

AUDITOR-CONTROLLER CONTRACT ACCOUNTING AND ADMINISTRATION HANDBOOK

The purpose of this Handbook is to establish required accounting, financial reporting, and internal control standards for entities (CONTRACTOR) which contract with Los Angeles County (COUNTY).

The accounting, financial reporting and internal control standards described in this Handbook are fundamental. These standards are not intended to be all inclusive or replace acceptable existing procedures or preclude the use of more sophisticated methods. Instead, this Handbook represents the minimum required procedures and controls that must be incorporated into a CONTRACTOR'S accounting and financial reporting system. The internal control standards described apply to organizations with adequate staffing. Organizations with insufficient staff to implement the internal controls as described herein must adopt alternative controls (e.g., use of appropriate alternative staff or Board Officers, etc.) to comply with the intent of the standards to ensure effective internal control systems are in place within the organization. The CONTRACTOR'S subcontractors must also follow these standards unless otherwise stated in the Agreement.

A. ACCOUNTING AND FINANCIAL REPORTING

1.0 Basis of Accounting

Unless otherwise specified by the funding source, CONTRACTORS may elect to use either the cash basis or accrual basis of accounting during the year for recording financial transactions. Monthly invoices must be prepared on the same basis that is used for recording financial transactions.

The COUNTY recommends the use of the accrual basis for recording financial transactions.

Accrual Basis

Under the accrual basis for recording financial transactions, revenues are recorded in the accounting period in which they are earned (rather than when cash is received). Expenditures are recorded in the accounting period in which they are incurred (rather than when cash is disbursed).

Accruals

Accruals shall be recorded observing the following:

- Recorded accruals must be reversed in the subsequent accounting period.

1.1 If a CONTRACTOR elects to use the cash basis for recording financial transactions during the year:

- Necessary adjustments must be made to record the accruals at the beginning and the end of each year of the contract and at the end of the contract.
- All computations, supporting records, and explanatory notes used in converting from the cash basis to the accrual basis must be retained.

1.2 Prepaid Expenses

Prepaid expenses (e.g., insurance, service agreements, lease agreements, etc.) should only be expensed during a given Agreement year to the extent goods and services are received, or are applicable to that Agreement year.

2.0 Accounting System

Each CONTRACTOR shall maintain a ***double entry accounting system*** (utilizing debits and credits) with a General Journal, a Cash Receipts Journal, a General Ledger, and a Cash Disbursements Journal. The COUNTY requires that a Payroll Register (see Section 2.6) also be maintained. Postings to the General Ledger and Journals shall be made at least on a monthly basis. The CONTRACTOR shall maintain a separate Cost Center(s), which clearly identifies funds received and expended on services provided under the attached Agreement.

2.1 General Journal

A General Journal shall be maintained for recording adjusting entries, reversing entries, closing entries, and other financial transactions not normally recorded in the Cash Receipts Journal or Cash Disbursements Journal. Entries in the General Journal must be adequately documented, and entered in chronological order with sufficient explanatory notations.

Example:

	<u>Debit</u>	<u>Credit</u>
Rent Expense	100	
Rent Payable		100

To record accrued rent to March 31, 20XX

2.2 Cash Receipts Journal

A Cash Receipts Journal shall be maintained for recording all cash receipts (e.g., COUNTY warrants, contributions, interest income, etc.). The Cash Receipts Journal shall contain (minimum requirements) the following column headings:

- Date
- Receipt Number
- Cash Debit Columns
- Income Credit Columns (for the following accounts):
 - COUNTY payments (one per funding source)
 - Contributions
 - Other Income (Grants, sales of supplies/services, rental income, miscellaneous revenue, fees, etc.)
 - Description (entries in the description column must specify the source of cash receipts.)

2.3 Cash Disbursements Journal

A Cash Disbursements Journal shall be maintained for recording all cash disbursements (e.g., rent, utilities, maintenance, etc.)

The Cash Disbursements Journal shall contain (minimum requirements) the following column headings:

- Date
- Check Number
- Cash (Credit) Column
- Expense Account Name
- Description

Note (1) Separate cost columns are required for salary expense and other recurring cost classifications for each program.

Note (2) Entries in the description column must specify the nature of the cost and the corresponding cost classification if not included in the column heading.

Note (3) Checks should not be written to employees (other than payroll, mileage, travel, and petty cash custodian checks).

A **Check Register** may be substituted for the Cash Disbursements Journal, but this is not recommended. If used, the Check Register must contain the same cost classifications and description information required when a Cash Disbursements Journal is used.

Disbursements without supporting documentation will be disallowed upon audit. Cancelled checks and credit card statements (VISA, AMEX, department store, etc.) will not constitute acceptable support. See Sections A.3.2 and B.2.4 for additional guidance on expense documentation requirements.

2.4 General Ledger

A General Ledger shall be maintained with accounts for all assets, liabilities, fund balances, expenditures, and revenues. Separate accounts must be maintained for the expenses and revenues of each of the CONTRACTOR'S programs (both COUNTY and non-COUNTY programs).

2.5 Chart of Accounts

A Chart of Accounts shall be maintained:

- The COUNTY recommends that CONTRACTORS use the expense account titles on the monthly invoice submitted to the COUNTY.
- If the CONTRACTOR uses account titles which differ from the account titles on the monthly invoice, each account title must clearly identify the nature of the transaction(s) posted to the account.
- CONTRACTOR must consistently post transactions that are of a similar nature to the same account. For example, all expenses for travel shall be posted to the account titled "travel" or "travel expense" and not intermixed with other expense accounts.

2.6 Payroll Register

The COUNTY recommends that a Payroll Register be maintained for recording all payroll transactions. The Register should contain the following:

- Name
- Position
- Social Security Number (at a minimum last four digits of the SSN)
- Salary (hourly wage)
- Payment Record including:
 - Accrual Period
 - Gross Pay
 - Itemized Payroll Deductions
 - Net Pay Amount
 - Check Number

If a Payroll Register is not used, the information discussed above must be recorded in the cash disbursements journal.

CONTRACTOR will ensure compliance with all applicable federal and State requirements for withholding payroll taxes (e.g., FIT, FICA, FUTA, SIT, SIU, etc.), reporting, filing (e.g., 941, DE-7, W-2, W-4 and 1099s), and all applicable tax deposits.

CONTRACTOR will ensure compliance with Internal Revenue Service guidelines in properly classifying employees and independent contractors.

2.7 CONTRACTOR Invoices

Each CONTRACTOR shall present an invoice to the COUNTY each calendar month to report the program(s) financial activity of the month. In addition, if advanced funding is involved, an invoice shall be presented at the beginning of the contract period. An invoice/billing submission shall be provided to the COUNTY as required in the applicable COUNTY contract.

3.0 Records

Adequate care shall be exercised to safeguard the accounting records and supporting documentation. Any destruction or theft of the CONTRACTOR'S accounting records or supporting documentation shall be immediately reported to the COUNTY. CONTRACTOR shall report, to the local law enforcement agency having jurisdiction, any act(s), which may reasonably be thought to constitute a crime, and/or which appear to have resulted in the destruction, damage or alteration of any record subject to the provisions of this Handbook. CONTRACTOR shall make their report to the local law enforcement agency not more than twenty-four hours after becoming aware of the acts which have resulted in the destruction, damage, or alteration of the record.

A copy of the resulting crime/incident report must be retained by the agency for a period of time under which the underlying records were

destroyed, or damaged were required to be retained plus an additional four years, and shall be retained for a longer period in the case of unresolved litigation, or audit.

To the extent automated accounting records contain confidential information including but not limited to the names and addresses of individuals, Social Security Numbers, etc. The computer files containing this information must be adequately encrypted using the most current encryption standards to prevent unauthorized access and use.

3.1 Retention

All accounting records (e.g., journals, ledgers, etc.), financial records and supporting documentation (e.g., invoices, receipts, checks, etc.) must be retained for a minimum of five years after the termination of the CONTRACTOR'S Agreement, unless a longer retention period is prescribed by the Agreement, or by applicable laws and regulations, in which case the CONTRACTOR shall comply with the longer retention period and all other retention requirements set forth in the Agreement or the applicable laws and regulations.

3.2 Supporting Documentation

All revenues and expenditures shall be supported by original vouchers, invoices, receipts, or other documentation and shall be maintained in the manner described herein.

Invoices, receipts, canceled checks and other documentation, including electronic documentation clearly establishing the nature of the expenditure and its relevance to the COUNTY program being contracted for shall be required to support an outlay of funds. Unsupported disbursements will be disallowed upon audit. CONTRACTOR will be required to repay COUNTY for all dollar for dollar disallowed costs. ***Photocopies (including scanned images) of invoices or receipts, any internally generated documents (e.g., vouchers, request for check forms, requisitions, canceled checks, etc.), and account statements do not constitute supporting documentation for purchases. To the extent the source for electronic documentation is an original hardcopy document (e.g., PDF scans of original vendor invoices) CONTRACTOR shall retain the original source document for inspection by COUNTY. County at its sole discretion may accept photocopies of supporting documentation in preference to the original documents.***

Supporting documentation is required for various types of expenditures. CONTRACTORS shall provide acceptable supporting documentation for all expenditures, and, with regard to the following categories of

expenditures, acceptable supporting documentation shall consist solely of the documentation listed for each expenditure type. Another form of documentation may be used, in lieu of the listed types of acceptable supporting documentation, provided the CONTRACTOR obtains the prior written approval of the COUNTY to use a specific type of alternative documentation.

Payroll – timecards and attendance records signed by the employee and approved in writing by the supervisor, time distribution records by program accounting for total work time on a daily basis for all employees, records showing actual expenditures for Social Security and unemployment insurance, State and federal quarterly tax returns, federal W-2 forms, and federal W-4 forms. Personnel records shall also be maintained documenting employee pay rates. Personnel records shall also contain documentation confirming that educational and practical experience requirements of an employee's position have been met. Where licensure is a requirement of an employee's position, CONTRACTOR'S personnel file shall contain proof that employees have the required licenses/certifications.

Consultant Services – contracts detailing the nature and scope of services to be provided, time and attendance records (where applicable, as determined by COUNTY), billing rates, travel vouchers detailing purpose, time and location of travel, purchase orders and invoices for supplies and invoices or other supporting documentation detailing the nature of services provided. CONTRACTOR shall also maintain copies of all completed federal form 1099s, establishing that all payments to all consultants were reported in a timely fashion to federal and State taxing agencies.

Travel – travel policies of the CONTRACTOR (written); travel expense vouchers showing location, date and time of travel, purpose of trip, and rates claimed; vehicle mileage logs showing dates, destination and headquarters, purpose of trip, and beginning and ending odometer readings and the resulting mileage. Vehicle mileage logs must clearly identify business versus non-business, or personal travel. For travel related to conferences, CONTRACTOR shall at a minimum retain conference literature, including but not necessarily limited to agendas and handouts detailing the purpose of the conference, as part of the CONTRACTOR'S documentation of the propriety of the travel expenditure. Reimbursement rates for mileage shall not exceed applicable federal guidelines.

Reimbursement for actual receipts or per diem rates for meal expenses shall not exceed the maximum COUNTY'S reimbursement rate for employees.

Receipts shall be required for lodging for approved out-of-town travel. Maximum reimbursable lodging amount is the COUNTY'S maximum reimbursement rate for employees for a single occupancy hotel accommodation. Receipts shall also be required for airfare, car rentals, ground transportation and parking.

Operating Expenses (e.g., utilities, office supplies, equipment rentals, etc.)

– bona fide contracts or lease agreements, if any, and invoices and receipts detailing the cost and items purchased will constitute the primary supporting documentation. For internal control purposes, the CONTRACTOR shall maintain vouchers, purchase orders, requisitions, stock received reports, bills of lading, etc. The contractor shall also maintain documentation acknowledging the receipt of the specific goods and services for the expenditure (e.g., stock received reports, packing slip signed by the receiving employee, etc.). For internal control purposes, the CONTRACTOR may also maintain vouchers, purchase orders, requisitions, etc.

Vehicle Expenses - A vehicle mileage log must be maintained which establishes the extent to which company owned vehicles are used for business, versus non-business purposes. For all business related trips, the log shall identify trip dates, the origin and destination of the trip along with beginning and ending odometer readings and the resulting mileage. For other vehicle expenses such as gasoline and maintenance, invoices/receipts must be maintained which reflect the vehicle license number, or vehicle identification number of the vehicle being serviced or fueled. The record maintenance requirements for company-owned vehicles, also applies to personal vehicles used for business purposes.

Outside Meals - receipts and/or invoices for all meals, a record of the nature and business purpose of each meal, and identification of the participants.

Loans from Employees/Related Parties – Loans to the CONTRACTOR by employees and/or related parties shall be supported by a written loan agreement and records documenting that the lent funds were deposited into a CONTRACTOR bank account. CONTRACTOR shall also maintain documentation showing that the loan proceeds were actually used for County programs. To the extent that the loan agreement provides for the payment of interest, the interest may not be an allowable expense under the Agreement. If the payment of interest is allowable, interest shall not be accrued at a rate which exceeds the most current available County Treasury Rate plus one percent.

3.3 Payments to Affiliated Organizations or Persons

Prior to making payments to affiliated organizations or persons (i.e., related party transactions), CONTRACTOR shall complete a disclosure statement identifying the nature of the affiliated, or related organization /persons.

CONTRACTOR shall not make payments to affiliated organizations or persons for program expenses (e.g., salaries, services, rent, etc.) that exceed the lesser of actual cost or the reasonable cost for such expenses. A reasonable cost shall be the price that would be paid by one party to another when the parties are dealing at arm's length (fair market price).

Organizations or persons (related parties) related to the CONTRACTOR or its members by blood, marriage, or through a legal organization (corporation, partnership, association, etc.) will be considered affiliated for purposes of this Agreement. COUNTY shall be solely responsible for determining affiliation unless otherwise allowed and approved by the State or federal agencies.

Payments to affiliated organizations or persons will be disallowed upon audit to the extent the payments exceed the lower of actual costs or the reasonable costs (fair market value) for such items.

3.4 Filing

All relevant supporting documentation for reported program expenditures and revenues shall be filed in a systematic and consistent manner. It is recommended that supporting documents be filed as follows:

- Checks – Numerically
- Invoices – Vendor name and date
- Vouchers – Numerically
- Receipts – Chronologically
- Timecards – Pay period and alphabetically

3.5 Referencing

Accounting transactions posted to the CONTRACTOR'S books shall be appropriately cross-referenced to supporting documentation. It is recommended that expenditure transactions on the CONTRACTOR'S books be cross-referenced to the supporting documentation as follows:

- Invoices – Vendor name and date
- Checks – Number
- Vouchers –Number
- Revenue – Receipt number

Supporting documentation for non-payroll expenditures (i.e., operating expenditures) should be cross-referenced to the corresponding check issued for payment. If multiple invoices are paid with one check, all related invoices should be bound together and cross-referenced to the check issued for payment.

4.0 Donations and Other Sources of Revenue

Restricted donations and other sources of revenue, earmarked specifically for the Contract, must be utilized on allowable contract expenditures. Similarly, income from investments (e.g., interest or dividends), where the source of the amount invested is COUNTY program funds, shall be deemed restricted revenue that must be utilized on allowable expenditures, or returned to the COUNTY as specified under the attached Agreement.

5.0 Audits

For routine audits and inspections, CONTRACTOR will make available to COUNTY representatives, upon request, during working hours, during the duration of the contract and for a period of five years thereafter (unless a longer period is specified under the Agreement, or by applicable laws and regulations), all of its books and records, including but not limited to those which relate to its operation of each project or business activity which is funded in whole or part with governmental monies, whether or not such monies are received through the COUNTY. All such books and records shall be maintained at a location within Los Angeles County.

In general, audits will normally be performed during normal business hours, Monday through Friday. However, COUNTY retains the right to inspect and conduct investigations of CONTRACTOR'S program/fiscal operations and contract compliance at any time, without prior notice to CONTRACTOR seven days a week, when the COUNTY has information which it, in its sole discretion, deems justifies such an unannounced visit, inspection, audit or investigations.

6.0 Single Audit Requirements

OMB Circular 133, "Audits of State, Local Governments and Non Profit Organizations" requires that certain organizations receiving federal

awards, including pass-through awards, have annual audits. Details are contained in the Circular.

A copy of any Single Audit report shall be filed with the COUNTY within the timeframes prescribed by the Circular 133, or under the attached Agreement.

7.0 Subcontracts

CONTRACTOR shall not subcontract services without the prior written consent of the COUNTY.

CONTRACTOR shall provide COUNTY with copies of all executed subcontracts and shall be responsible for the performance of their subcontractors. At the sole discretion of COUNTY, CONTRACTOR may submit an electronic copy of executed subcontracts in preference to a hardcopy.

B. INTERNAL CONTROLS

Internal controls safeguard the CONTRACTOR'S assets from misappropriations, misstatements or misuse. Each CONTRACTOR shall prepare necessary written procedures establishing internal controls for its personnel's use. The CONTRACTOR shall instruct all of its personnel in these procedures and continuously monitor operations to ensure compliance with them.

1.0 Cash Receipts

1.1. Separate Fund or Cost Center

All contract revenues shall be maintained in a bank account. If revenues from other sources are maintained in the same bank account, revenues for each source must be clearly identifiable on the accounting records through the use of cost centers or separate accounts.

1.2 Deposits

When collections are received by mail, two employees should be assigned to open the mail and list all collections received on a check remittance log.

All checks shall be restrictively endorsed upon receipt.

Cash received shall be recorded on pre-numbered receipts and the receipts/check remittance log shall be reconciled to the amount being deposited.

Voided receipts shall be retained and the sequence of receipts issued/voided shall be periodically accounted for.

Cash receipts (i.e., cash and checks) totaling \$500 or more shall be deposited within one day of receipt. Collections of less than \$500 may be held and secured and deposited weekly or when the total reaches \$500, whichever occurs first. If CONTRACTOR can establish that a larger limit is warranted, CONTRACTOR may request authorization from COUNTY to increase the limit to an amount greater than \$500.

Duplicate deposit slips shall be retained and filed chronologically, and shall contain sufficient reference information for comparison to the Cash Receipts Journal and individual receipts, if applicable. A recommended best practice is to retain photocopies of the COUNTY warrants reflected on each deposit slip, or record the individual warrant numbers onto the deposit slip.

1.3 Separation of Duties

An employee who does not handle cash shall record all cash or check receipts in the CONTRACTOR'S accounting records.

1.4 Bank Reconciliations

Bank statements should be received and reconciled by someone with no cash handling, or check writing responsibilities.

Monthly bank reconciliations should be prepared within 30 days of the bank statement date and reviewed by management for appropriateness and accuracy. The bank reconciliations should be signed and dated by both the preparer and the reviewer. Reconciling items should be resolved timely.

2.0 Disbursements

2.1 General

All disbursements (other than those made for petty cash purchases), shall be made using an Agency check, electronic funds transfer, or debit/credit card.

Blank check stock shall be secured and accounted for to preclude unauthorized use.

Checks shall not be payable to "cash" or signed in advance. Similarly, electronic debits to "cash" shall not be made. Checks written to employees

for reimbursement of out-of-pocket costs must be supported by receipts and invoices.

A second signature is recommended on all checks over \$500, unless otherwise specified in the contract. In instances where the payee is also a signor on the check, the disbursement shall be reviewed and approved by a higher level employee, or Board member who shall also sign the check.

If the bookkeeper signs checks, a second signature shall be required on the checks, regardless of limits specified in the contract.

Voided checks shall be marked void with the signature block cut out. The voided checks must be filed with the cancelled checks.

Unclaimed or undelivered checks shall be cancelled periodically.

All supporting documentation shall be referenced to check numbers and marked "paid" or otherwise canceled to prevent duplicate payments or reuse.

Disbursements without adequate supporting documentation will be disallowed upon audit.

2.2. Approvals and Separation of Duties

Employees responsible for approving cash disbursements and/or signing checks shall examine all supporting documentation at the time the checks are approved and signed.

All disbursements, excluding petty cash purchases, shall be approved by persons independent of check preparation and bookkeeping activities.

2.3 Petty Cash

A petty cash fund up to \$500 may be maintained for payment of small incidental expenses incurred by the CONTRACTOR (e.g., postage due, small purchases of office supply items, etc.). The CONTRACTOR must obtain written approval from the COUNTY to establish a petty cash fund greater than \$500.

Petty cash disbursements must be supported by original invoices, store receipts or other external authenticating documents indicating the item purchased and the employee making the purchase. In the event that outside (external) supporting documentation is not obtainable for minor disbursements (under \$10), such as parking meters, fees, etc., then some written documentation shall be maintained and approved by a supervisory employee not associated with the transaction. **Petty cash**

disbursements should not be used as a substitute for normal purchasing and disbursement practices (i.e., payment by check).

The petty cash fund shall be maintained on an imprest basis. A check should be drawn to set up the fund and to make periodic reimbursements. Receipts, vouchers, etc., supporting each fund replenishment must be bound together, filed chronologically and cross referenced to the reimbursement check.

2.4 Credit Cards

The use of credit cards, both CONTRACTOR-issued credit cards and an employee's personal credit card used on behalf of the CONTRACTOR, should be limited to purchases where established purchasing and disbursement practices are not suitable.

Credit cards issued in the CONTRACTOR'S name must be adequately safeguarded and usage monitored to ensure that only authorized and necessary items are purchased.

Credit card purchases should be pre-approved by CONTRACTOR management to ensure that they are reasonable and necessary.

All credit card disbursements must be supported by original invoices, store receipts or other external authenticating documents indicating the item purchased, the employee making the purchase, and the justification for the purchase. ***Credit card statements are not sufficient support for credit card purchases.***

3.0 Timekeeping

3.1 Timecards

Timecards or time reports must be prepared for each pay period. Timecards or time reports must indicate total hours worked each day by program and total hours charged to each of the CONTRACTOR'S programs. Time estimates do not qualify as support for payroll expenditures and will be disallowed upon audit.

All timecards and time reports must be signed in ink by the employee and the employee's supervisor to certify the accuracy of the reported time. To the extent CONTRACTOR utilizes electronic timecards and time reports, CONTRACTOR must ensure that both the employee and supervisor certify time reported using electronic signatures. Where electronic timecards and time reports are used, CONTRACTOR'S reporting system must be able to electronically record the date/time the timecard was prepared/reviewed. CONTRACTOR'S electronic time reporting system

must also have sufficient controls to prevent unauthorized alteration/changes to electronic time records and reports.

3.2 Personnel and Payroll Records

Adequate security must be maintained over personnel and payroll records with access restricted to authorized individuals. Any automated personnel and payroll records which contain confidential information such as employee addresses, medical condition information, etc. should be adequately encrypted to prevent unauthorized access and use using the latest encryption standards.

Personnel and payroll records shall include, but are not limited to, the following:

- Employee's authorized salary rate
- Employee information sheet (e.g., employee contact information, emergency contact information, etc.)
- Resume and/or application
- Proof of qualifications for the position, if required (e.g., notarized copy or original diploma, license(s), etc.)
- Performance evaluations
- Criminal record clearance (if required)
- Citizenship Status
- Benefit balances (e.g., sick time, vacation, etc.)
- Health Clearances (if required)

Benefit Balances

Employee benefit balances (e.g., sick time, vacation, personal time, etc.) should be maintained on at least a monthly basis. Benefit balances should be increased when benefit hours are earned and decreased as hours are used.

3.3 Limitations on Positions and Salaries

The CONTRACTOR shall not pay any salaries higher than those authorized in the contract, or the attachments thereto.

If an employee serves in the same, or dual capacities under more than one agreement or program, time charged to the contracts or programs taken as a whole may not exceed 100% of the employee's actual time worked.

Salaried employees shall be paid a salary that corresponds with the employee's work schedule. For example, a ½-time salaried employee

performing the same or similar work should be paid proportionately less than a full-time salaried employee.

The salary expense of salaried employees working on more than one agreement or program shall be allocated to each program based on the ratio of the number of hours worked on each program during the pay period to the total number hours worked during the pay period.

The CONTRACTOR shall not make retroactive salary adjustments for any employee without written approval from the COUNTY.

Separation of Duties

- Payroll checks should be distributed by persons not involved in timekeeping, preparing of payroll transactions, or reconciling bank accounts.
- All employee hires and terminations, or pay rate changes, shall be approved in writing by authorized persons independent of payroll responsibilities.

4.0 Capital Assets

Capital assets are tangible assets of significant value having a useful life that extends beyond the current year and are broadly classified as land, buildings and improvements, and equipment.

Land cannot be depreciated. All other capital assets with an acquisition cost of \$5,000 or more shall be capitalized.

Acquisition cost means the net invoice unit price of an item, including shipping costs and sales taxes, the cost of any modifications, attachments, accessories, or auxiliary apparatus necessary to make it usable for the purpose for which it was acquired.

Capital asset purchases shall be approved by the CONTRACTOR'S Board of Directors or their authorized representative.

Capital assets shall not be ordered, or purchased during the last three months of the term of the CONTRACTOR'S Agreement with the COUNTY, unless the acquisition is pre-approved by the COUNTY.

4.1 Acquisition

As specified in the contract, CONTRACTOR shall submit a purchase versus lease analysis to COUNTY and obtain written authorization before

making any capital asset purchase where the acquisition cost is \$25,000 or more, and all, or a portion of the cost of the capital asset will be charged to the COUNTY's contract.

Non-Capital Asset Equipment

Non-capital asset equipment is defined as equipment with a unit cost less than \$5,000, a useful life over one year, and can generally be easily carried or moved; especially by hand (e.g., personal computers, related peripherals, typewriters, fax machines and other portable assets).

4.2 Asset Identification and Inventory

All fixed assets including capital and non-capital asset equipment, purchased with Contract funds are to be used solely for the benefit of the Contract and should be appropriately tagged.

Each CONTRACTOR shall maintain a current listing of fixed assets, including the item description, serial number, date of purchase, acquisition cost and source(s) of funding.

An inventory of all fixed assets should be conducted at least once each year to ensure that all fixed assets are accounted for and maintained in proper working order.

4.3 Depreciation and Use Allowance

Unless otherwise approved by the COUNTY, compensation for the use of buildings and other capital improvements may be made through depreciation, or a use allowance:

- The computation of depreciation/use allowance is based on the acquisition cost of the asset(s).
- The computation should exclude the cost of land, buildings, and equipment donated by federal, State or COUNTY governments and the cost of buildings and land contributed by the CONTRACTOR to satisfy funding matching requirements.
- For depreciation, an appropriate useful life must be established for the asset(s) which considers factors such as the nature of the asset used, susceptibility to technological obsolescence, etc.
- Appendix B to IRS Publication 946, "How to Depreciate Property", contains guidelines for establishing an asset's useful life.

- A use allowance is computed as an annual rate that may not exceed an annual rate of two-percent of the acquisition cost if the asset is a building or improvement. A use allowance in excess of the ceiling percentage must be justified by the CONTRACTOR.

4.4 Rental Costs of Buildings and Equipment

- Allowable to the extent that the rates are reasonable considering rental costs of comparable property, market conditions in the area, condition of the property being leased, etc.
- Under a “sale and leaseback” arrangement, rental costs would be allowable up to the amount that would be allowed if the CONTRACTOR had continued to own the property.
- Under a “less than arms length” lease, costs are only allowable up to the amount that would be allowable had title to the property vested in the CONTRACTOR.

4.5 Security

Physical security should be adequately maintained over fixed assets to prevent misuse or theft of COUNTY property.

4.6 Property Management

The CONTRACTOR shall assume responsibility and accountability for the maintenance of all fixed assets purchased, leased, or rented with Contract funds.

The CONTRACTOR shall report promptly, in writing, to the COUNTY all cases of theft, loss, damage, or destruction of fixed assets purchased with COUNTY funds. The report shall contain at a minimum, item identification, recorded value, facts relating to loss, and, where appropriate, a copy of the law enforcement report. In cases where the loss resulted from suspected criminal activity (e.g., theft, vandalism, arson, etc.) the incident must be reported to the local law enforcement agency with jurisdiction over the location of the suspected crime. A copy of the resulting crime/incident report must be retained by the agency for a period of time under which the underlying records were destroyed, or damaged were required to be retained plus an additional four years, and shall be retained for a longer period in the case of unresolved litigation, or audit.

CONTRACTOR shall dispose of or return to the COUNTY all fixed assets in accordance with the Contract.

- 5.0 Bonding – All officers, employees, and contractors who handle cash or have access to the contractor's funds (e.g., prepare checks, etc.) shall be bonded.
- 6.0 Investments – COUNTY program funds may not be utilized for investments where there is a risk of loss.

C. COST PRINCIPLES**1.0 Policy**

It is the intent of the COUNTY to provide funds for the purpose of CONTRACTOR to provide the services required by the Agreement. CONTRACTOR shall use these funds on actual expenses in an economical and efficient manner and ensure they are reasonable, proper and necessary costs of providing services and are allowable in accordance with the applicable OMB Circular.

1.1. Limitations on Expenditures of Program Funds

CONTRACTOR shall comply with the Agreement and applicable OMB Circular(s). The Circular defines direct and indirect costs, discusses allowable cost allocation procedures and the development of Indirect Cost Rates, and specifically addresses the allowability of a variety of different costs.

If a CONTRACTOR is unsure of the allowability of any particular type of cost or individual cost, the CONTRACTOR should request advance written approval from the COUNTY prior to incurring the cost.

1.2 Expenses Incurred Outside the Agreement Period

Expenses charged against program funds may not be incurred prior to the effective date of the Agreement or subsequent to the Agreement termination, or expiration date. Similarly, current period expenses related to events or activities that occurred prior to the effective date of the Agreement may not be allowable. For example, legal costs incurred prosecuting or defending a lawsuit stemming from events which occurred during a period not covered by a valid Agreement between CONTRACTOR and COUNTY are not allowable.

1.3 Budget Limitation

Expenses may not exceed the maximum limits shown on the contract budget.

1.4 Unspent Funds

CONTRACTOR shall return any unspent program funds to the COUNTY, unless otherwise permitted by the contract. In addition, the COUNTY will determine the disposition of unspent program funds upon termination of the Agreement.

1.5 Necessary, Proper and Reasonable

Only those expenditures that are necessary, proper and reasonable to carry out the purposes and activities of the Program are allowable.

2.0 Allocable Expenses

For CONTRACTORS that operate programs or provide services in addition to the services required under contract, the CONTRACTOR shall allocate expenditures that benefit programs or funding sources on an equitable basis.

In accordance with the applicable OMB Circular(s), agencies shall define their allocable expenses as either direct or indirect costs (as defined below) and allocate each cost using the basis most appropriate and feasible.

The CONTRACTOR shall maintain documentation for allocated expenses (e.g., timecards, time summaries, square footage measurements, number of employees, etc.).

Under no circumstances shall allocated expenses be charged to an extent greater than 100% of actual expenses or the same expense be charged both directly and indirectly.

2.1 Direct Costs

Unless otherwise set forth in this contract, or required by the funding source(s), direct costs are defined as those costs that can be identified specifically with a particular final cost objective (e.g., a particular program, service, or other direct activity of an organization). Examples of direct costs include salaries and benefits of employees working on the program, supplies and other items purchased specifically for the program, costs related to space used by employees working on the program, etc.

For all employees, other than general and administrative, the hours spent on each program (activity) should be recorded on the employees' timecards and the payroll expenses should be treated as direct charges and distributed on the basis of recorded hours spent on each program.

Joint costs (i.e., costs that benefit more than one program or activity) which can be distributed in reasonable proportion to the benefits received may also be direct costs.

Examples of bases for allocating joint costs as direct costs:

- Number of direct hours spent on each program
- Number of employees in each program
- Square footage occupied by each program
- Other relevant and equitable methods of allocation

2.2 Indirect Costs

Indirect costs are those costs that have been incurred for common or joint purposes and cannot be readily identified with a particular final cost objective. Examples of indirect costs include salaries, employee benefits, supplies, and other costs related to general administration of the organization, depreciation and use allowances, and the salaries and expenses of executive officers, personnel administration, and accounting.

Examples of bases for allocating indirect costs:

- Total direct salaries and wages
- Total direct costs (excluding capital expenditures and other distorting items such as significant one-time expenses, or subcontractor payments)

2.3 Acceptable Indirect Cost Allocation Methods

OMB Circulars (i.e., A-87 and A-122) describe the following allowable methods for allocating indirect costs:

- Simplified allocation method
- Direct allocation method
- Multiple allocation base method
- Negotiated indirect cost rate

Simplified Allocation Method

This method can be used when an organization's major functions benefit from its indirect costs to approximately the same degree. Using this method, all allocable costs are considered indirect costs and an indirect cost rate is determined by dividing total allowable indirect costs by an equitable distribution base.

Example:

Agency-wide indirect costs	\$250,000
Less: Capital Expenditures	<u>10,000</u>
Allocable indirect costs	240,000
Total Agency-wide direct salaries	\$1,000,000
Indirect cost rate (\$240,000/\$1,000,000)	24%
Program direct salaries	\$100,000
Program indirect costs (24% x \$100,000)	<u>\$24,000</u>

Direct Allocation Method

This method can also be used when an organization's major functions benefit from its indirect costs to approximately the same degree. Using this method, all costs except general administration and general expenses are treated as direct costs. Joint costs for depreciation, rentals, facilities maintenance, telephone, and other similar expenses are prorated individually to each direct activity on a basis appropriate for that type of cost.

The remaining costs, which consist exclusively of general administration and general expenses, are then allocated using the simplified allocation method previously discussed.

Multiple Base Allocation Method

This method can be used when an organization's major functions benefit from its indirect costs in varying degrees. Using this method, indirect costs are grouped to permit allocation of each grouping on the basis of the benefits provided to the major functions. Each grouping is then allocated individually using the basis most appropriate for the grouping being allocated.

2.4 Cost Allocation Plan

If the CONTRACTOR has a negotiated indirect cost rate approved by a federal agency, it shall submit a copy of the approval letter when requested by COUNTY.

If the CONTRACTOR does not have a negotiated indirect cost rate, CONTRACTOR shall submit an annual Agency-wide Cost Allocation Plan when requested by COUNTY. The Cost Allocation Plan shall be prepared in accordance with COUNTY instructions and the applicable OMB Circular and include the following information:

1. CONTRACTOR general accounting policies:
 - Basis of accounting
 - Fiscal year
 - Method for allocating indirect costs (simplified, direct, multiple, negotiated rate)
 - indirect cost rate allocation base
2. Identify the CONTRACTOR'S direct and indirect costs (by category) and describe the cost allocation methodology for each category.
3. Signature of CONTRACTOR management certifying the accuracy of the plan.

Negotiated Indirect Cost Rates

Agencies have the option of negotiating an indirect cost rate or rates for use on all their federal programs. The CONTRACTOR must submit a cost allocation plan to the federal agency providing the most funds to the organization. The approved indirect cost rate is then applied to the total approved direct cost base.

If CONTRACTOR has a federally approved indirect cost rate, CONTRACTOR shall submit a copy of the approval letter to COUNTY upon request.

D. UNALLOWABLE COSTS

OMB Circulars address the allowability of a variety of different costs. For all costs, there are certain restrictions and limitations; however, the following costs are not allowable under any circumstances:

- Bad debts
- Contingency provisions
- Contributions and donations
- Fines and penalties (e.g., Including but not limited to NSF Check Fees, Traffic Citation Fees)
- Fundraising activities
- Interest expense (unless expressly allowed by federal guidelines)
- Losses on other awards

E. OVERPAYMENTS

If upon audit, or at any time during the Agreement year, it is determined that invoices submitted to the COUNTY which were used as a basis for payments to the CONTRACTOR were inaccurate, COUNTY shall determine the total overpayment and require the CONTRACTOR to repay COUNTY. The COUNTY may withhold payments from CONTRACTOR'S future payments for any amounts not returned to the COUNTY or credited to the Contract unless otherwise prohibited by State or federal regulations.

F. GOVERNANCE**OVERVIEW**

Large numbers of nonprofit corporations, organized for public benefit, receive public funds through contracts with Los Angeles County. Many County service contracts support key public initiatives, including protecting children, providing health care and foster employment, and reducing the effects of mental impairments and substance abuse.

Nonprofit organizations doing business with Los Angeles County must conduct their work in a manner consistent with their charitable mission and the public purposes embodied in County contracts. This demands that nonprofit agency governing boards be conscious of their fiduciary responsibilities in providing oversight and making decisions.

Directors, officers, and employees of nonprofit corporations with which Los Angeles County contracts shall not:

- permit or benefit from self-dealing transactions (unless permitted by law), or unreasonable compensation
- misuse or dissipate scarce public resources

1.0 Independence

It is recommend that Nonprofit agencies doing business with the County of Los Angeles have a governing board of at least 5 directors (however, under no circumstances shall a governing board have less than 3 directors), a majority of whom (1) have not been employed by it within 5 years before their election, (2) have no direct or indirect material financial interest in the organization, or any other relationship that could create a conflict of interest on the part of the director(s). A financial interest may exist for reasons of business, investment, or family relationship (including a director's brother, sister, ancestor, descendant, spouse, brother-in-law, sister-in-law, son-in-law, daughter-in-law, mother-in-law, or father-in-law).

"Financial interest" means an actual or potential ownership, investment, or compensation arrangement in or with any entity or individual with which the organization has, or is negotiating, a transaction or arrangement. The term "independent", when used to describe Directors who serve on the oversight committees described in paragraph 3.0 refers to persons meeting the requirements of this paragraph.

2.0 Oversight Mechanisms

An organization's governing board shall provide for its governance in accordance with the following:

- Adopt and disclose the organization's governance standards including director qualifications, responsibilities, and compensation.
- Adopt and disclose a code of business conduct and ethics for directors, officers, and employees, and promptly disclose to the County any waivers of the code affecting organization directors, officers, or employees.
- Be familiar with the terms and conditions of all the Organization's County contracts. No less than annually, the board should review the Organization's compliance with contract provisions, particularly including insurance, internal control, federal and State reporting and payment requirements for payroll withholding, and report deviations to the County oversight department.

An organization's governance guidelines and code of ethics shall provide means to annually distribute to and obtain from directors, officers and employees written acknowledgments of their adherence to the organization's governing standards. They must incorporate a mechanism for disclosing and addressing possible conflicts of interest. They must provide for appropriate record-keeping, particularly of transactions and arrangements required to be reviewed by the governing board and where significant organization resources are expended by or for officers, directors and employees.

An organization's governance guidelines and code of ethics shall provide for "just and reasonable" compensation and benefits consistent with the compensation amount or guidelines established in the Organization's contract(s) with the County. Compensation and benefits should be determined in light of that paid to executives of agencies of comparable size and function (See Section B.3.3, "Limitations on Positions and Salaries"). No employee may receive compensation or benefits for more than one Organization job. For example, the CEO cannot receive

compensation or benefits for the job of CEO and another job such as program manager, etc.

3.0 Oversight Committees

An organization's governing board shall establish committees having the following characteristics, compensation, and duties.

Nominating Committee

The Board shall establish a nominating committee composed entirely of independent directors to consider new appointments to the Board.

Compensation and Benefits Committee

The Board shall establish a compensation and employee benefits committee composed entirely of independent directors to establish compensation and benefits for the Organization Chief Executive Officer (CEO), or President and the Chief Financial Officer (CFO), or Treasurer.

Audit Committee

The Board shall establish an Audit Committee of no fewer than three directors, all of whom must be independent, and one of whom shall have financial experience. In no event shall employees, including, but not limited to the president, chief executive officer, the treasurer, or chief financial officer serve on the Audit Committee.

Annual Audit Duties:

- If the Organization expends federal awards in excess of \$500,000 in a year (\$750,000 for fiscal years beginning on or after December 26, 2014), the Audit Committee will recommend an independent auditor to perform the annual single audit (under the provisions of OMB Circular A-133, Audits for States, Local Governments and Non-Profit Organizations) of the Organization's financial records to the Agency's Board of Directors. The audit shall be performed in accordance with Generally Accepted Government Auditing Standards and comply with the Single Audit Act.
- The Audit Committee must negotiate the independent auditor's compensation on behalf of the governing Board, oversee its work, and resolve disagreements between management and auditors regarding financial reporting.
- The Audit Committee must confer with the auditor to review the audit and decide whether to accept it, satisfy itself that the financial affairs of

the nonprofit organization are in order, and ensure that the County receives a copy of the annual audit report and all other audits, reviews, and other third party reports.

Additional Audit Committee Duties

The Audit Committee must:

- Establish procedures for receiving and addressing complaints regarding accounting, internal controls, and auditing matters.
- Monitor and take steps to ensure proper management response to major performance or fiscal deficits, such as the expressed concerns or claims of major creditors.
- Pre-approve all audit and non-audit services provided by the auditor. Non-audit services are defined as any professional services provided other than those provided in connection with an audit or review of the financial statements of the Organization. Following is a list of non-audit services for which the independent auditor cannot perform unless the firm follows the independence standard in the Yellow Book issued by the U.S. Comptroller General:
 - Bookkeeping or other services related to the accounting records, or financial statement of the audit client;
 - Financial information systems design and implementation;
 - Internal audit outsourcing services;
 - Management functions or human resources;
 - Investment adviser or investment banking services;
 - Legal services and expert services unrelated to the audit.

G. MISCELLANEOUS REQUIREMENTS

1.0 Insurance

CONTRACTOR is responsible for securing and maintaining insurance coverage as required by the Agreement. CONTRACTOR must notify COUNTY when insurance is revoked, reduced to a level or coverage less than required, or otherwise made ineffective.

Insurance shall include an endorsement naming the COUNTY as an additional insured.

2.0 Activity

No funds, materials, property, or services contributed to the COUNTY or the CONTRACTOR under this Agreement shall be used in the performance of any political activity, the election of any candidate, or the defeat of any candidate for public office.

3.0 Reporting Fraud/Misconduct

CONTRACTORS are expected and required to report suspected fraud, waste, or misuse of public monies, and misconduct of County personnel to the Los Angeles County Fraud Hotline (Hotline). CONTRACTORS are also expected and required to report suspected fraud committed by their employees and subcontractors when that fraud affects their contract with the COUNTY. Reportable conditions include, but are not limited to:

- Requests for bribes/kickbacks/gratuities by County personnel;
- Favoritism/nepotism in the awarding of County contracts, or selection of vendors;
- Theft or misuse of any funds, resources or equipment.

Reportable conditions shall be reported to the Hotline upon their discovery by CONTRACTOR. Failure to report the types of fraud/misconduct discussed above may be grounds for contract termination.

The reporting party may remain anonymous. Reports can be made via telephone, mail or by internet to:

Online: www.lacountyfraud.org

Email: hotline@auditor.lacounty.gov

Toll Free: (800) 544-6861

U.S. Mail: Los Angeles County Fraud Hotline
Office of County Investigations
Kenneth Hahn Hall of
Administration
500 W. Temple Street, Room 515
Los Angeles, CA 90012

COUNTY'S ADMINISTRATION

CONTRACT NO. _____

COUNTY PROGRAM DIRECTOR:

Name: _____

Title: _____

Address: _____

Telephone: _____ Facsimile: _____

E-Mail Address: _____

COUNTY PROGRAM MANAGER:

Name: _____

Title: _____

Address: _____

Telephone: _____ Facsimile: _____

E-Mail Address: _____

COUNTY CONTRACT PROGRAM MONITOR:

Name: _____

Title: _____

Address: _____

Telephone: _____ Facsimile: _____

E-Mail Address: _____

CONTRACTOR'S ADMINISTRATION

CONTRACTOR'S NAME: _____

CONTRACT NO: _____

CONTRACTOR'S PROJECT MANAGER:

Name: _____

Title: _____

Address: _____

Telephone: _____

Facsimile: _____

E-Mail Address: _____

CONTRACTOR'S AUTHORIZED OFFICIAL(S)

Name: _____

Title: _____

Address: _____

Telephone: _____

Facsimile: _____

E-Mail Address: _____

Name: _____

Title: _____

Address: _____

Telephone: _____

Facsimile: _____

E-Mail Address: _____

Notices to Contractor shall be sent to the following:

Name: _____

Title: _____

Address: _____

Telephone: _____

Facsimile: _____

E-Mail Address: _____

Title 2 ADMINISTRATION
Chapter 2.203.010 through 2.203.090
CONTRACTOR EMPLOYEE JURY SERVICE

2.203.010 Findings

The Board of Supervisors makes the following findings. The County of Los Angeles allows its permanent, full-time employees unlimited jury service at their regular pay. Unfortunately, many businesses do not offer or are reducing or even eliminating compensation to employees who serve on juries. This creates a potential financial hardship for employees who do not receive their pay when called to jury service, and those employees often seek to be excused from having to serve. Although changes in the court rules make it more difficult to excuse a potential juror on grounds of financial hardship, potential jurors continue to be excused on this basis, especially from longer trials. This reduces the number of potential jurors and increases the burden on those employers, such as the County of Los Angeles, who pay their permanent, full-time employees while on juror duty. For these reasons, the County of Los Angeles has determined that it is appropriate to require that the businesses with which the County contracts possess reasonable jury service policies. (Ord. 2002-0015 § 1 (part), 2002)

2.203.020 Definitions

The following definitions shall be applicable to this chapter:

- A. "Contractor" means a person, partnership, corporation or other entity which has a contract with the County or a subcontract with a County contractor and has received or will receive an aggregate sum of \$50,000 or more in any 12-month period under one or more such contracts or subcontracts.
- B. "Employee" means any California resident who is a full-time employee of a contractor under the laws of California.
- C. "Contract" means any agreement to provide goods to, or perform services for or on behalf of, the County but does not include:
 - 1. A contract where the Board finds that special circumstances exist that justify a waiver of the requirements of this chapter; or
 - 2. A contract where federal or state law or a condition of a federal or state program mandates the use of a particular contractor; or
 - 3. A purchase made through a state or federal contract; or
 - 4. A monopoly purchase that is exclusive and proprietary to a specific manufacturer, distributor, or reseller, and must match and inter-member with existing supplies, equipment or systems maintained by the County pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, Section P-3700 or a successor provision; or
 - 5. A revolving fund (petty cash) purchase pursuant to the Los Angeles County Fiscal Manual, Section 4.4.0 or a successor provision; or
 - 6. A purchase card purchase pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, Section P-2810 or a successor provision; or
 - 7. A non-agreement purchase with a value of less than \$5,000 pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, Section A-0300 or a successor provision; or
 - 8. A bona fide emergency purchase pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, Section PP-1100 or a successor provision.

Title 2 ADMINISTRATION
Chapter 2.203.010 through 2.203.090
CONTRACTOR EMPLOYEE JURY SERVICE

- D. "Full time" means 40 hours or more worked per week or a lesser number of hours if:
1. The lesser number is a recognized industry standard as determined by the Chief administrative Officer, or
 2. The contractor has a long-standing practice that defines the lesser number of hours as full time.
- E. "County" means the County of Los Angeles or any public entities for which the Board of Supervisors is the governing body. (Ord. 2002-0040 § 1, 2002: Ord. 2002-0015 § 1 (part), 2002)

2.203.030 Applicability

This chapter shall apply to contractors who enter into contracts that commence after July 11, 2002. This chapter shall also apply to contractors with existing contracts which are extended into option years that commence after July 11, 2002. Contracts that commence after May 28, 2002, but before July 11, 2002, shall be subject to the provisions of this chapter only if the solicitations for such contracts stated that the chapter would be applicable. (Ord. 2002-0040 § 2, 2002: Ord. 2002-0015 § 1 (part), 2002)

2.203.040 Contractor Jury Service Policy

A contractor shall have and adhere to a written policy that provides that its employees shall receive from the contractor, on an annual basis, no less than five days of regular pay for actual jury service. The policy may provide that employees' deposit any fees received for such jury service with the contractor or that the contractor deduct from the employees' regular pay the fees received for jury service. (Ord. 2002-0015 § 1 (part), 2002)

2.203.050 Other Provisions

- A. Administration. The Chief Administrative Officer shall be responsible for the administration of this chapter. The Chief Administrative Officer may, with the advice of county counsel, issue interpretations of the provisions of this chapter and shall issue written instructions on the implementation and ongoing administration of this chapter. Such instructions may provide for the delegation of functions to other County departments.
- B. Compliance Certification. At the time of seeking a contract, a contractor shall certify to the County that it has and adheres to a policy consistent with this chapter or will have and adhere to such a policy prior to award of the contract. (Ord. 2002-0015 § 1 (part), 2002)

2.203.060 Enforcement and Remedies

For a contractor's violation of any provision of this chapter, the County department head responsible for administering the contract may do one or more of the following:

1. Recommend to the Board of Supervisors the termination of the contract; and/or,
2. Pursuant to chapter 2.202, seek the debarment of the contractor. (Ord. 2002-0015 § 1 (part), 2002)

Title 2 ADMINISTRATION
Chapter 2.203.010 through 2.203.090
CONTRACTOR EMPLOYEE JURY SERVICE

2.203.070. Exceptions

- A. Other Laws. This chapter shall not be interpreted or applied to any contractor or to any employee in a manner inconsistent with the laws of the United States or California.
- B. Collective Bargaining Agreements. This chapter shall be superseded by a collective bargaining agreement that expressly so provides.
- C. Small Business. This chapter shall not be applied to any contractor that meets all of the following:
 - 1. Has ten or fewer employees during the contract period; and,
 - 2. Has annual gross revenues in the preceding twelve months which, if added to the annual amount of the contract awarded, are less than \$500,000; and,
 - 3. Is not an affiliate or subsidiary of a business dominant in its field of operation.

“Dominant in its field of operation” means having more than ten employees and annual gross revenues in the preceding twelve months which, if added to the annual amount of the contract awarded, exceed \$500,000.

“Affiliate or subsidiary of a business dominant in its field of operation” means a business which is at least 20 percent owned by a business dominant in its field of operation, or by partners, officers, directors, majority stockholders, or their equivalent, of a business dominant in that field of operation. (Ord. 2002-0015 § 1 (part), 2002)

2.203.090. Severability

If any provision of this chapter is found invalid by a court of competent jurisdiction, the remaining provisions shall remain in full force and effect. (Ord. 2002-0015 § 1 (part), 2002)

SAFELY SURRENDERED BABY LAW

Safely Surrendered



No shame. No blame. No names.

In Los Angeles County: 1-877-BABY SAFE • 1-877-222-9723

www.babysafela.org



In Los Angeles County: 1-877-BABY SAFE • 1-877-222-9723

www.babysafela.org

Safely Surrendered Baby Law

What is the Safely Surrendered Baby Law?

California's Safely Surrendered Baby Law allows parents or other persons, with lawful custody, which means anyone to whom the parent has given permission to confidentially surrender a baby. As long as the baby is three days (72 hours) of age or younger and has not been abused or neglected, the baby may be surrendered without fear of arrest or prosecution.

Every baby deserves a chance for a healthy life. If someone you know is considering abandoning a baby, let her know there are other options. For three days (72 hours) after birth, a baby can be surrendered to staff at any hospital or fire station in Los Angeles County.

How does it work?

A distressed parent who is unable or unwilling to care for a baby can legally, confidentially, and safely surrender a baby within three days (72 hours) of birth. The baby must be handed to an employee at a hospital or fire station in Los Angeles County. As long as the baby shows no sign of abuse or neglect, no name or other information is required. In case the parent changes his or her mind at a later date and wants the baby back, staff will use bracelets to help connect them to each other. One bracelet will be placed on the baby, and a matching bracelet will be given to the parent or other surrendering adult.

What if a parent wants the baby back?

Parents who change their minds can begin the process of reclaiming their baby within 14 days. These parents should call the Los Angeles County Department of Children and Family Services at 1-800-540-4000.

Can only a parent bring in the baby?

No. While in most cases a parent will bring in the baby, the Law allows other people to bring in the baby if they have lawful custody.

Does the parent or surrendering adult have to call before bringing in the baby?

No. A parent or surrendering adult can bring in a baby anytime, 24 hours a day, 7 days a week, as long as the parent or surrendering adult surrenders the baby to someone who works at the hospital or fire station.

Does the parent or surrendering adult have to tell anything to the people taking the baby?

No. However, hospital or fire station personnel will ask the surrendering party to fill out a questionnaire designed to gather important medical history information, which is very useful in caring for the baby. The questionnaire includes a stamped return envelope and can be sent in at a later time.

What happens to the baby?

The baby will be examined and given medical treatment. Upon release from the hospital, social workers immediately place the baby in a safe and loving home and begin the adoption process.

What happens to the parent or surrendering adult?

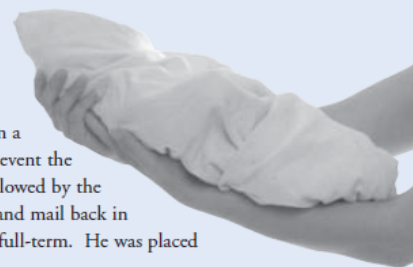
Once the parent or surrendering adult surrenders the baby to hospital or fire station personnel, they may leave at any time.

Why is California doing this?

The purpose of the Safely Surrendered Baby Law is to protect babies from being abandoned, hurt or killed by their parents. You may have heard tragic stories of babies left in dumpsters or public bathrooms. Their parents may have been under severe emotional distress. The mothers may have hidden their pregnancies, fearful of what would happen if their families found out. Because they were afraid and had no one or nowhere to turn for help, they abandoned their babies. Abandoning a baby is illegal and places the baby in extreme danger. Too often, it results in the baby's death. The Safely Surrendered Baby Law prevents this tragedy from ever happening again in California.

A baby's story

Early in the morning on April 9, 2005, a healthy baby boy was safely surrendered to nurses at Harbor-UCLA Medical Center. The woman who brought the baby to the hospital identified herself as the baby's aunt and stated the baby's mother had asked her to bring the baby to the hospital on her behalf. The aunt was given a bracelet with a number matching the anklet placed on the baby; this would provide some identification in the event the mother changed her mind about surrendering the baby and wished to reclaim the baby in the 14-day period allowed by the Law. The aunt was also provided with a medical questionnaire and said she would have the mother complete and mail back in the stamped return envelope provided. The baby was examined by medical staff and pronounced healthy and full-term. He was placed with a loving family that had been approved to adopt him by the Department of Children and Family Services.



Ley de Entrega de Bebés *Sin Peligro*



Los recién nacidos pueden ser entregados en forma segura al personal de cualquier hospital o cuartel de bomberos del Condado de Los Ángeles

Sin pena. Sin culpa. Sin nombres.

En el Condado de Los Ángeles: 1-877-BABY SAFE • 1-877-222-9723

www.babysafela.org



Ley de Entrega de Bebés Sin Peligro

¿Qué es la Ley de Entrega de Bebés sin Peligro?

La Ley de Entrega de Bebés sin Peligro de California permite la entrega confidencial de un recién nacido por parte de sus padres u otras personas con custodia legal, es decir cualquier persona a quien los padres le hayan dado permiso. Siempre que el bebé tenga tres días (72 horas) de vida o menos, y no haya sufrido abuso ni negligencia, pueden entregar al recién nacido sin temor de ser arrestados o procesados.

Cada recién nacido se merece la oportunidad de tener una vida saludable. Si alguien que usted conoce está pensando en abandonar a un recién nacido, infórmele que tiene otras opciones. Hasta tres días (72 horas) después del nacimiento, se puede entregar un recién nacido al personal de cualquier hospital o cuartel de bomberos del condado de Los Angeles.

¿Cómo funciona?

El padre/madre con dificultades que no pueda o no quiera cuidar de su recién nacido puede entregarlo en forma legal, confidencial y segura dentro de los tres días (72 horas) del nacimiento. El bebé debe ser entregado a un empleado de cualquier hospital o cuartel de bomberos del Condado de Los Ángeles. Siempre que el bebé no presente signos de abuso o negligencia, no será necesario suministrar nombres ni información alguna. Si el padre/madre cambia de opinión posteriormente y desea recuperar a su bebé, los trabajadores utilizarán brazaletes para poder vincularlos. El bebé llevará un brazaletes y el padre/madre o el adulto que lo entregue recibirá un brazaletes igual.

¿Qué pasa si el padre/madre desea recuperar a su bebé?

Los padres que cambien de opinión pueden comenzar el proceso de reclamar a su recién nacido dentro de los 14 días. Estos padres deberán llamar al Departamento de Servicios para Niños y Familias (Department of Children and Family Services) del Condado de Los Ángeles al 1-800-540-4000.

¿Sólo los padres podrán llevar al recién nacido?

No. Si bien en la mayoría de los casos son los padres los que llevan al bebé, la ley permite que otras personas lo hagan si tienen custodia legal.

¿Los padres o el adulto que entrega al bebé deben llamar antes de llevar al bebé?

No. El padre/madre o adulto puede llevar al bebé en cualquier momento, las 24 horas del día, los 7 días de la semana, siempre y cuando entreguen a su bebé a un empleado del hospital o cuartel de bomberos.

¿Es necesario que el padre/madre o adulto diga algo a las personas que reciben al bebé?

No. Sin embargo, el personal del hospital o cuartel de bomberos le pedirá a la persona que entregue al bebé que llene un cuestionario con la finalidad de recabar antecedentes médicos importantes, que resultan de gran utilidad para cuidar bien del bebé. El cuestionario incluye un sobre con el sello postal pagado para enviarlo en otro momento.

¿Qué pasará con el bebé?

El bebé será examinado y le brindarán atención médica. Cuando le den el alta del hospital, los trabajadores sociales inmediatamente ubicarán al bebé en un hogar seguro donde estará bien atendido, y se comenzará el proceso de adopción.

¿Qué pasará con el padre/madre o adulto que entregue al bebé?

Una vez que los padres o adulto hayan entregado al bebé al personal del hospital o cuartel de bomberos, pueden irse en cualquier momento.

¿Por qué se está haciendo esto en California? ?

La finalidad de la Ley de Entrega de Bebés sin Peligro es proteger a los bebés para que no sean abandonados, lastimados o muertos por sus padres. Usted probablemente haya escuchado historias trágicas sobre bebés abandonados en basureros o en baños públicos. Los padres de esos bebés probablemente hayan estado pasando por dificultades emocionales graves. Las madres pueden haber ocultado su embarazo, por temor a lo que pasaría si sus familias se enteraran. Abandonaron a sus bebés porque tenían miedo y no tenían nadie a quien pedir ayuda. El abandono de un recién nacido es ilegal y pone al bebé en una situación de peligro extremo. Muy a menudo el abandono provoca la muerte del bebé. La Ley de Entrega de Bebés sin Peligro impide que vuelva a suceder esta tragedia en California.

Historia de un bebé

A la mañana temprano del día 9 de abril de 2005, se entregó un recién nacido saludable a las enfermeras del Harbor-UCLA Medical Center. La mujer que llevó el recién nacido al hospital se dio a conocer como la tía del bebé, y dijo que la madre le había pedido que llevara al bebé al hospital en su nombre. Le entregaron a la tía un brazaletes con un número que coincidía con la pulsera del bebé; esto serviría como identificación en caso de que la madre cambiara de opinión con respecto a la entrega del bebé y decidiera recuperarlo dentro del período de 14 días que permite esta ley. También le dieron a la tía un cuestionario médico, y ella dijo que la madre lo llenaría y lo enviaría de vuelta dentro del sobre con franqueo pagado que le habían dado. El personal médico examinó al bebé y se determinó que estaba saludable y a término. El bebé fue ubicado con una buena familia que ya había sido aprobada para adoptarlo por el Departamento de Servicios para Niños y Familias.



CHARITABLE CONTRIBUTIONS CERTIFICATION

Company Name

Address

Internal Revenue Service Employer Identification Number

California Registry of Charitable Trusts "CT" number (if applicable)

The Nonprofit Integrity Act (SB 1262, Chapter 919) added requirements to California's Supervision of Trustees and Fundraisers for Charitable Purposes Act which regulates those receiving and raising charitable contributions.

Check the Certification below that is applicable to your company.

- Proposer or Contractor has examined its activities and determined that it does not now receive or raise charitable contributions regulated under California's Supervision of Trustees and Fundraisers for Charitable Purposes Act. If Proposer engages in activities subjecting it to those laws during the term of a County contract, it will timely comply with them and provide County a copy of its initial registration with the California State Attorney General's Registry of Charitable Trusts when filed.

OR

- Proposer or Contractor is registered with the California Registry of Charitable Trusts under the CT number listed above and is in compliance with its registration and reporting requirements under California law. Attached is a copy of its most recent filing with the Registry of Charitable Trusts as required by Title 11 California Code of Regulations, sections 300-301 and Government Code sections 12585-12586.

Signature

Date

Name and Title of Signer (please print)

**CERTIFICATION OF COMPLIANCE WITH THE COUNTY'S
DEFAULTED PROPERTY TAX REDUCTION PROGRAM**

Company Name:		
Company Address:		
City:	State:	Zip Code:
Telephone Number:	Email address:	
Solicitation/Contract For _____ Services:		

The Proposer/Bidder/Contractor certifies that:

- It is familiar with the terms of the County of Los Angeles Defaulted Property Tax Reduction Program, Los Angeles County Code Chapter 2.206; **AND**

To the best of its knowledge, after a reasonable inquiry, the Proposer/Bidder/Contractor is not in default, as that term is defined in Los Angeles County Code Section 2.206.020.E, on any Los Angeles County property tax obligation; **AND**

The Proposer/Bidder/Contractor agrees to comply with the County's Defaulted Property Tax Reduction Program during the term of any awarded contract.

- OR -

- I am exempt from the County of Los Angeles Defaulted Property Tax Reduction Program, pursuant to Los Angeles County Code Section 2.206.060, for the following reason:

I declare under penalty of perjury under the laws of the State of California that the information stated above is true and correct.

Print Name:	Title:
Signature:	Date:



Department of the Treasury
Internal Revenue Service

Notice 1015

(Rev. December 2016)

Have You Told Your Employees About the Earned Income Credit (EIC)?

What is the EIC?

The EIC is a refundable tax credit for certain workers.

Which Employees Must I Notify About the EIC?

You must notify each employee who worked for you at any time during the year and from whose wages you did not withhold income tax. However, you do not have to notify any employee who claimed exemption from withholding on Form W-4, Employee's Withholding Allowance Certificate.

Note: You are encouraged to notify each employee whose wages for 2016 are less than \$53,505 that he or she may be eligible for the EIC.

How and When Must I Notify My Employees?

You must give the employee one of the following.

- The IRS Form W-2, Wage and Tax Statement, which has the required information about the EIC on the back of Copy B.
- A substitute Form W-2 with the same EIC information on the back of the employee's copy that is on Copy B of the IRS Form W-2.
- Notice 797, Possible Federal Tax Refund Due to the Earned Income Credit (EIC).
- Your written statement with the same wording as Notice 797.

If you give an employee a Form W-2 on time, no further notice is necessary if the Form W-2 has the required information about the EIC on the back of the employee's copy. If you give an employee a substitute Form W-2, but it does not have the required information, you must notify

the employee within 1 week of the date the substitute Form W-2 is given. If Form W-2 is required but is not given on time, you must give the employee Notice 797 or your written statement by the date Form W-2 is required to be given. If Form W-2 is not required, you must notify the employee by February 7, 2017.

You must hand the notice directly to the employee or send it by first-class mail to the employee's last known address. You will not meet the notification requirements by posting Notice 797 on an employee bulletin board or sending it through office mail. However, you may want to post the notice to help inform all employees of the EIC. You can download copies of the notice at www.irs.gov/formspubs. Or you can go to www.irs.gov/orderforms to order it.

How Will My Employees Know If They Can Claim the EIC?

The basic requirements are covered in Notice 797. For more detailed information, the employee needs to see Pub. 596, Earned Income Credit (EIC), or the instructions for Form 1040, 1040A, or 1040EZ.

How Do My Employees Claim the EIC?

An eligible employee claims the EIC on his or her 2016 tax return. Even an employee who has no tax withheld from wages and owes no tax may claim the EIC and ask for a refund, but he or she must file a tax return to do so. For example, if an employee has no tax withheld in 2016 and owes no tax but is eligible for a credit of \$800, he or she must file a 2016 tax return to get the \$800 refund.

Notice **1015** (Rev. 12-2016)

Cat. No. 20599I

**ZERO TOLERANCE POLICY ON HUMAN TRAFFICKING
CERTIFICATION**

Company Name:		
Company Address:		
City:	State:	Zip Code:
Telephone Number:	Email address:	
Solicitation/Contract for _____ Services		

CONTRACTOR CERTIFICATION

Los Angeles County has taken significant steps to protect victims of human trafficking by establishing a zero tolerance policy on human trafficking that prohibits contractors found to have engaged in human trafficking from receiving contract awards or performing services under a County contract.

Contractor acknowledges and certifies compliance with Section 8.5 (Compliance with County’s Zero Tolerance Policy on Human Trafficking) of the proposed Contract and agrees that Contractor or a member of his staff performing work under the proposed Contract will be in compliance. Contractor further acknowledges that noncompliance with the County’s Zero Tolerance Policy on Human Trafficking may result in rejection of any proposal, or cancellation of any resultant Contract, at the sole judgment of the County.

I declare under penalty of perjury under the laws of the State of California that the information herein is true and correct and that I am authorized to represent this company.

Print Name:	Title:
Signature:	Date:

CONTRACTOR’S COMPLIANCE WITH ENCRYPTION REQUIREMENTS

Contractor shall provide information about its encryption practices by completing this Exhibit. By submitting this Exhibit, Contractor certifies that it will be in compliance with Los Angeles County Board of Supervisors Policy, Contractor Protection of Electronic County Information, at the commencement of any contract and during the term of any contract that may be awarded pursuant to this solicitation.

COMPLIANCE QUESTIONS	DOCUMENTATION AVAILABLE			
	YES	NO	YES	NO
1) Will County data stored on your workstation(s) be encrypted?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2) Will County data stored on your laptop(s) be encrypted:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3) Will County data stored on removable media be encrypted?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4) Will County data be encrypted when transmitted?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5) Will Contractor maintain a copy of any validation/attestation Reports generated by its encryption tools?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6) Will County data be stored on remote servers*? * cloud storage, Software-as-a-Service or SaaS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Contractor Name

Contractor Official Title

Official’s Signature

**COMPLIANCE WITH FAIR CHANCE EMPLOYMENT HIRING PRACTICES
CERTIFICATION**

Company Name:		
Company Address:		
City:	State:	Zip Code:
Telephone Number:	Email address:	
Solicitation/Contract for _____ Services		

PROPOSER/CONTRACTOR CERTIFICATION

The Los Angeles County Board of Supervisors approved a Fair Chance Employment Policy in an effort to remove job barriers for individuals with criminal records. The policy requires businesses that contract with the County to comply with fair chance employment hiring practices set forth in California Government Code Section 12952, Employment Discrimination: Conviction History (California Government Code Section 12952), effective January 1, 2018.

Proposer/Contractor acknowledges and certifies compliance with fair chance employment hiring practices set forth in California Government Code Section 12952 and agrees that proposer/contractor and staff performing work under the Contract will be in compliance. Proposer/Contractor further acknowledges that noncompliance with fair chance employment practices set forth in California Government Code Section 12952 may result in rejection of any proposal, or termination of any resultant Contract, at the sole judgment of the County.

I declare under penalty of perjury under the laws of the State of California that the information herein is true and correct and that I am authorized to represent this company.

Print Name:	Title:
Signature:	Date:

APPENDIX B

STATEMENT OF WORK

COUNTY OF LOS ANGELES

DEPARTMENT OF CHILDREN AND FAMILY SERVICES

RESOURCE FAMILY APPROVAL TRAINING SERVICES

**COUNTY OF LOS ANGELES
DEPARTMENT OF CHILDREN AND FAMILY SERVICES
RESOURCE FAMILY APPROVAL TRAINING SERVICES
STATEMENT OF WORK**

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**COUNTY OF LOS ANGELES
DEPARTMENT OF CHILDREN AND FAMILY SERVICES**

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RESOURCE FAMILY TRAINING SERVICES

STATEMENT OF WORK

SECTION A

1.0 PREAMBLE

The County of Los Angeles seeks to collaborate with its community partners to enhance the capacity of the health and human services system to improve the lives of children and families. These efforts require, as a fundamental expectation, that the County's contracting partners share the County and community's commitment to provide health and human services that support achievement of the County's Strategic Plan Mission, Values, Goals and Performance Outcomes.

The County's vision is to improve the quality of life in the County by providing responsive, efficient, high quality, and culturally competent public services that meet the needs of marginalized and underserved communities, and promote the self-sufficiency, well-being and financial security of individuals, families, businesses and communities. This philosophy of teamwork and collaboration is anchored in the County's shared values of: 1) Accountability; 2) A Can-Do-Attitude; 3) Compassion; 4) Customer Orientation; 5) Integrity; 6) Leadership; 7) Professionalism; 8) Respect for Diversity; and 9) Responsiveness.

These shared values are encompassed in the County's Strategic Plan's three Goals: 1) Make Investments that Transform Lives; 2) Foster Vibrant and Resilient Communities; and 3) Realize Tomorrow's Government Today. Improving the well-being of children and families requires coordination, collaboration and integration of services across functional and jurisdictional boundaries, by and between County departments/agencies and community and contracting agencies.

SECTION B – PROJECT FOUNDATION

2.0 Overview

2.1 The Los Angeles County Department of Children and Family Services (DCFS) in order to provide safe, stable, and culturally supportive homes for children who are removed from their families of origin, must recruit, train, and support families as they proceed through the process of becoming a resource and/or adoptive parent. Consistent with the continuum of care and the DCFS Shared Core Practice Model, Exhibit A-13, which ensures that the physical, social, and emotional needs of the children are met in a safe and nurturing environment.

2.2 RFA Pre-Approval and Pre-Placement Training

DCFS provides training to potential Resource Families through its Resource Family Recruitment and Approval Division. DCFS has the responsibility to recruit and oversee the training to potential Resource Families, conduct orientations, and approve the homes of applicants seeking placements for children and youth placed under its care and supervision.

2.2.1 The requirements of the Continuum of Care Reform and the Adoption and Safe Families Act (ASFA), mandate that child placing (or Child Welfare) agencies prepare potential Resource Families to work as team members.

2.2.2 The Pre-Approval and Pre-Placement trainings support five core competencies to help Resource Families develop and enhance the ability to promote child safety, permanence, and well-being:

- a) Meet the developmental and well-being needs of children and youth coming into care, or being adopted through foster care;
- b) Meet the safety needs of children and youth coming into care, or being adopted through foster care;
- c) Support alliance building with parents of children in foster care;
- d) Support concurrent planning for permanency; and
- e) Meet their family's needs in ways that assure that a child's safety and well-being needs are met.

2.2.3 Concurrent planning shows that permanency is best achieved when a child returns to a birth parent who can safely care for the child and calls for intensive family reunification services. However, concurrent planning also demonstrates that not all children will be able to return home and allows Children's Social Workers (CSWs) to simultaneously work toward alternate permanent plans, such as adoption and legal guardianship, for children in the event that

reunification efforts are unsuccessful. Concurrent planning facilitates adoption, legal guardianship and Kin-GAP.

2.2.4 The RFA Pre-Approval and Pre-Placement training provide an experiential training that prepares potential Resource Families to make a decision about their ability, willingness and readiness to participate in foster care and adoptive programs, as well as to determine their desire and ability to work as partners in permanency planning. Permanency planning is the service provided to achieve legal permanence for a child when efforts to reunify have failed and the court terminates family reunification services.

2.2.5 Each RFA Pre-Approval and Pre-Placement training series is taught by a two-member team, consisting of one Contractor Trainer and one experienced resource/adoptive parent under the employ of the Contractor. All team members must be certified to facilitate the RFA curriculum by participating in the RFA Training-4-Trainers facilitated by DCFS. The RFA Pre-Approval and Pre-Placement curriculum (see Exhibit A-7 for curriculum learning objectives) is designed to provide knowledge and skills to potential Resource Families to become successful caregivers to children placed under their care and supervision by DCFS CSWs. Potential Resource Families must be approved by DCFS for placement. Resource Families are required to complete RFA training before the placement of any child or children in their homes. This Statement of Work (SOW) further explains the services required.

3.0 Definitions

The following words defined for this Statement of Work (SOW) are for reference only and are not intended to solely define the scope of any provision herein. The following words and phrases shall be construed to have the meanings described in this section, unless otherwise apparent from the context in which they are used.

- 3.1 **Annual RFA Training-4-Trainers (Annual T-4-T)** – a yearly refresher for RFA Trainers, includes enhancements and changes to the Written Directives.
- 3.2 **Adoptive Parent** – a person(s) who has adopted, or is in the process of adopting a child or children.
- 3.3 **Adoption and Safe Family Act (ASFA)** – the Congressional enactment to assure the safety of children known to the child welfare system; to expedite permanency, and to provide States with more options to achieve permanency.
- 3.4 **Birth Parent** – the biological parent of a child.

- 3.5 **Certified Foster Parent(s)** – a family and their home approved by a licensed foster family agency (FFA) for its exclusive use.
- 3.6 **Children’s Social Worker (CSW)** – social worker with the Department of Children and Family Services (DCFS) managing caseloads of children who are under the supervision and custody of DCFS.
- 3.7 **Community Partner** – a non-government organization that will work cooperatively together with DCFS to meet their goals of safety, well-being and permanence.
- 3.8 **Compliance** – for this Contract, following the rules and regulations of the State of California Community Care Licensing Division (CCLD) and has not received substantiated violations or a pattern of violations against their license.
- 3.9 **Concurrent Planning** – the setting up of an alternative permanent plan (adoption, legal guardianship, or long-term foster care) while at the same time providing Family Reunification Services for a dependent child.
- 3.10 **Foster Family Agency (FFA)** – non-profit organization licensed by the State of California to recruit, certify, train and provide professional support to their certified foster parents and the DCFS foster children in their care.
- 3.11 **Geographic Area** – the contracted area for service provision that is defined as North, South, East, and West and comprised of two Service Planning Areas (SPAs) each.
- 3.12 **Initial RFA Training-4-Trainers (Initial T-4-T)** – the training provided to new RFA Trainers and RFA Parent Trainers by DCFS or someone approved by DCFS to train.
- 3.13 **Kin-GAP** – a specific type of Legal Guardianship available to kin or family of the child, when the Dependency case is terminated.
- 3.14 **Legal Guardianship** – a person appointed by the Los Angeles County Superior Court which grants legal custody and responsibility for a child.
- 3.15 **Legal Permanence** – an order from dependency court granting a child(ren) permanent residency in the home of parent, adoptive parent or relative legal guardian.
- 3.16 **Master Trainer** – a trainer who prepares the RFA Training Teams prior to the training series.
- 3.17 **Module** – a session in the RFA Pre-Approval and Pre-Placement training curriculum series.

- 3.18 **Non-Relative Extended Family Member (NREFM)** – individual with custody of child(ren) who is providing foster care under formal or informal circumstances.
- 3.19 **Permanence** – the concept of permanency is predicated upon a belief that children grow best in stable, culturally supportive, nurturing families, and recognizes the primacy of biological families and the importance of parent-child attachment.
- 3.20 **Recruitment Event** – a gathering where potential Resource Families can be recruited.
- 3.21 **Resource Family Approval (RFA) Program** – the single process for approving families for foster care, legal guardianship, and adoption as per the RFA Written Directives 6.0.
- 3.22 **Resource Family Approval Written Directives (Written Directives)** – the California Department of Social Services guide pursuant to Welfare and Institutions Code, Section 16519.5(f)(10) that administers the Resource Family Approval Program operated by Counties.
- 3.23 **Resource Family** – potential or approved foster or adoptive care providers who are prepared for concurrent planning, foster care and adoption and includes kinship relatives or non-relative care providers.
- 3.24 **RFA Parent Trainer** – a Resource Family who is a co-trainer for the RFA Pre-Approval and Pre-Placement modules.
- 3.25 **RFA Pre-Approval Training** – a comprehensive 12-hour training program designed to provide Resource Families with a firm foundation of knowledge, skills and attitudes regarding foster care and adoption, as well as enhance their ability to care for the children placed in their homes.
- 3.26 **RFA Pre-Placement Training** – the 8-hour training DCFS requires for placement of a child and meets the training requirement for their first RFA Annual Update.
- 3.27 **RFA Trainer** – a person employed by the Contractor approved by DCFS to provide Pre-Approval and Pre-Placement Training to Resource Families.
- 3.28 **RFA Training Curriculum** – the training handouts and materials provided by DCFS to the Contractor. The curriculum is a predetermined set of readings, exercises and videos, set forth by the state, to reflect the information, learning objectives and expectations of resource families as described in the RFA Written Directives.
- 3.29 **RFA Training Participants** – potential Resource Families that have completed an informational orientation as well as enrolled in and/or attended the first module of the RFA training series.

- 3.30 **RFA Training Series** – any complete set or sets of either the RFA Pre-Approval or RFA Pre-Placement trainings.
- 3.31 **RFA Training Team** – comprised of the Contractor’s RFA Trainer and the experienced Resource Parent Trainer.
- 3.32 **Service Planning Area (SPA)** – a specific geographic region within Los Angeles County.
- 3.33 **SOGIE** – acronym for (actual perceived, or association with) sexual orientation, gender identity, and gender expression.
- 3.34 **Training Site** – the facility and location where the trainings are conducted.

4.0 Target Population

- 4.1 The target population for RFA Training services is: Individuals and Families residing in the County of Los Angeles, who desire to become qualified as a Resource Family (Foster and Adoptive Parents) to a Los Angeles County dependent child. A Resource Family will support the goal of family reunification and, when reunification is not possible, be approved to provide legal permanence for a child.

Target population must be trained to know that neither foster nor probation youth shall be subject to discrimination or harassment on the basis of race, primary language, culture, actual or perceived sexual orientation, gender identity, and gender expression (SOGIE). Resource families are expected to work with diverse populations of youth and develop cultural competency relating to SOGIE. Prospective resource parents must be trained to address youth by their chosen name and any pronoun(s) they identify with.

5.0 County’s Responsibilities

- 5.1 County shall designate a County Program Manager (CPM) to coordinate the delivery of the services of this Contract with the Contractor’s Project Director (CPD).
- 5.2 CPM shall be responsible for the management and provision of training in the RFA curriculum to the training team including, RFA training, and other RFA related training.
- 5.3 Overall project coordination between Contractor and County shall be through the CPM or designee and the CPD, authorized representative(s) or their designated alternates.
- 5.4 CPM has full authority to monitor and evaluate the Contractor’s performance under this Contract.
- 5.5 CPM or designee shall make unannounced site visits to determine if the Contractor is adhering to Contract provisions, relevance and accuracy of

curriculum, and appropriateness of trainers. CPM may also query participants by mail, e-mail, telephone, or survey regarding specific in-service training issues and training feedback.

5.5.1 CPM or designee may conduct random training site visits on a quarterly basis. Time and site are determined at the discretion of the CPM.

5.5.2 CPM or designee reserves the right to inspect the training locations before RFA Training classes are facilitated.

5.6 CPM or designee shall provide to the Contractor as needed/requested brochures in English and Spanish about becoming a Resource Family to distribute and make available to the public.

5.7 CPM or designee shall provide Contractor a monthly list of those individuals interested in becoming a Resource Family, who completed an online or in-person orientation meeting.

5.8 CPM shall offer technical assistance and/or guidance to the Contractor in areas relating to County policy and procedural requirements in the performance of this Contract.

5.9 CPM shall review and approve the Contractor's training calendar prior to the start of each Contract year.

5.10 CPM is not authorized to make any changes in the terms and conditions of this Contract and is not authorized to obligate the County in any way whatsoever beyond the terms of the Contract.

5.11 CPM shall provide a list of the County holidays to Contractor at the time the Contract is approved, and annually, at the beginning of the calendar year.

6.0 CONTRACTOR'S GENERAL RESPONSIBILITIES

6.1 Contractor shall be available to authorized County personnel during normal work hours, which generally are 8:00 A.M. to 5:00 P.M., Monday through Friday, except County holidays, or as directed by the CPM.

6.2 Contractor shall maximize enrollment in RFA trainings by contacting the prospective Resource Families who have attended and completed a DCFS orientation and then enrolling these families.

6.3 Contractor shall not employ DCFS or Probation Department staff to perform any tasks written under this Contract.

6.4 Contractor shall notify the CPM in writing of any changes in key personnel within 24 hours.

- 6.5 Contractor shall employ sufficient administrative assistance to meet the requirements of this Contract.
- 6.6 Contractor shall not schedule or conduct any meetings or negotiations under this Contract on behalf of the County or DCFS.
- 6.7 Contractor shall provide CPM or designee with contact information where they may be reached Monday through Friday from 8:00 A.M. to 5:00 P.M., except holidays. In addition, Contractor shall provide an answering machine or service, or an afterhours contact to receive calls when the office is closed, and on weekends.
- 6.8 Contractor shall respond within one business day to all calls, emails, and/or reports regarding Contractor performance issues, unless otherwise directed by the CPM.
- 6.9 Contractor shall respond to CPM requests to meet, address and resolve performance issues, and shall be available to attend such meetings.
- 6.10 Contractor shall investigate any performance issues submitted by the County and report back to the CPM within 48 hours in accordance with Section 11.0, Quality Assurance Monitoring as needed or as directed by the CPM.
- 6.11 Contractor shall provide CPM with the Monthly Activity Report.

7.0 Contractor's Staff: Requirements and Qualifications

- 7.1 Contractor shall ensure that their staff conforms to the following requirements:
 - 7.1.1 Language Ability

Contractor staff shall be able to read, write, speak and understand English in order to conduct business with the County. The ability to read, write, speak and understand other languages may apply as specified herein.

 - 7.1.1.1 Contractor shall have sufficient bilingual staff to communicate with families who are monolingual Spanish speaking.
 - 7.1.2 Education and Experience

Contractor shall be responsible for securing, training and maintaining staff that meet the minimum qualifications, experience, and expertise, as specified herein, to provide the services in accordance with this Contract.

 - 7.1.2.1 Contractor shall obtain written verification of the required education requirements and licenses for its staff, including verification for education requirements earned by staff in

foreign countries consistent with the United States Secretary of Education authorized accrediting agency.

7.1.3 Documentation

Contractor shall maintain records in its employee personnel files that includes: resumes, degrees, professional licenses, current criminal clearances and background checks, and training hours and topics.

7.1.4 Contractor shall not perform Contract services while under the influence of any alcoholic beverage, medication, narcotic, or other substance, which may impair Contractor staff's physical or mental performance.

7.2 Staff and Qualifications

7.2.1 Contractor Project Director (CPD): CPD and designee shall meet the following minimum requirements:

7.2.1.1 Have a Bachelor's degree in Child Development, Sociology, Psychology, Behavioral Science, Early Childhood Education, Human Development, Human Services, Social Welfare, Child/Adolescent Development, Liberal Studies with concentration in Psychology or Sociology; and

7.2.1.2 At least two years of experience within the last five years in a leadership role with a social service program.

7.2.1.3 Have demonstrated experience working with diverse populations of youth and possess cultural competency relating to SOGIE.

7.2.2 Master Trainer: shall meet the following minimum requirements unless approved by the CPM or designee:

7.2.2.1 Have a Bachelor's degree in Child Development, Sociology, Psychology, Behavioral Science, Early Childhood Education, Human Development, Human Services, Social Welfare, Child/Adolescent Development, Liberal Studies with concentration in Psychology or Sociology.

7.2.2.2 At least three years of experience within the last five years, instructing, teaching or training adults in Resource Family Approval; or

7.2.2.3 At least five years of experience instructing, teaching or training adults in parenting, child development or a closely related area of study.

- 7.2.2.4 Have demonstrated experience working with diverse populations of youth and possess cultural competency relating to SOGIE.
- 7.2.3 RFA Training Team: shall consist of one RFA Trainer and one experienced RFA Parent trainer. The RFA Training Team shall be employees of the Contractor.
 - 7.2.3.1 Contractor RFA Training Teams shall provide training in the RFA Pre-Approval and Pre-Placement curriculum within 60 days after Contract start date.
 - 7.2.3.2 Contractor shall submit the qualifications of the RFA Training Teams for approval by CPM, prior to conducting any trainings.
 - 7.2.3.3 Contractor shall assign the training teams to training locations.
- 7.2.4 RFA Trainer: shall meet the following minimum requirements unless approved by the CPM or designee.
 - 7.2.4.1 Bachelor's degree in Child Development, Sociology, Psychology, Behavioral Science, Early Childhood Education, Human Development, Human Services, Social Welfare, Child/Adolescent Development, Liberal Studies with concentration in Psychology or Sociology.
 - 7.2.4.2 At least one year of experience within the last five years, instructing, teaching or training adults in parenting, child development or a closely related area of study.
 - 7.2.4.3 Have demonstrated experience working with diverse populations of youth and possess cultural competency relating to SOGIE.
- 7.2.5 RFA Parent Trainer: shall meet the following minimum requirements:
 - 7.2.5.1 At least one year of verifiable experience demonstrating the competent ability to instruct, teach, and train adults as evidenced by resumes and/or prior training materials, unless approved by the CPM; and
 - 7.2.5.2 Contractor shall verify with DCFS that the RFA Parent Trainer is in compliance with the rules and regulations of the State of California Community Care Licensing Division and has not received substantiated violations or a pattern of violations against their license.

- 7.2.5.3 Contractor shall verify with DCFS that the RFA Parent Trainer does not have multiple substantiated abuse allegations from DCFS and shall not have their RFA approval status on hold or rescinded by a County in California.
- 7.2.5.4 Contractor shall not use an RFA Parent Trainer on the Training Team for two years from their approval as a Resource Family.
- 7.2.5.5 Contractor shall only use experience RFA Parent Trainers who have had dependent children placed in their home during the past three years.
- 7.2.5.6 County reserves the right to request termination of services of an RFA Parent Trainer under this Contact if the County determines the performance of this RFA Parent Trainer to be unacceptable.
- 7.2.6 Contractor shall ensure that the Master Trainer and all RFA trainers complete the Initial T-4-T provided by DCFS and are certified. CPM reserves the right to waive this requirement if the following provision is met: Trainer is/was previously certified by DCFS.
- 7.2.7 Contractor to ensure that all RFA Trainers and RFA Parent Trainers attend the Annual T-4-T or other enhancement training each year.
- 7.2.8 Contractor shall submit to CPM, upon request, any reports or correspondence including instructors' job performance and the Contractor versions of periodic performance evaluations of their staff.

8.0 HOURS AND HOLIDAYS

- 8.1 Contractor shall be available during normal business hours which generally are 8:00 A.M. to 5:00 P.M., Monday through Friday, except County holidays, or as directed by the CPM.
- 8.2 County holidays are as follows:
 - New Year's Day
 - Martin Luther King's Birthday (Third Monday in January)
 - Presidents' Day (Third Monday in February)
 - Cesar Chavez Day (Last Monday in March)
 - Memorial Day (Last Monday in May)
 - Independence Day
 - Labor Day (First Monday in September)
 - Indigenous Peoples' Day (Second Monday in October)
 - Veterans Day

- Thanksgiving Day (Fourth Thursday in November)
- Day after Thanksgiving (Friday after Thanksgiving)
- Christmas Day

SECTION C – SERVICE DESCRIPTION

9.0 Scope of Work

During the term of this Contract, the Contractor shall provide the following services consistent with the Statement of Work and the terms of the Contract. The services to be provided shall include but are not limited to:

9.1 Attendance at Recruitment Events

9.1.1 Contractor shall attend and distribute training information at DCFS sponsored recruitment events upon the request of the CPM or designee.

9.2 Distribution of Recruitment and Training Information

9.2.1 Contractor shall distribute DCFS recruitment and training information to prospective families at events sponsored by the Contractor upon the request of the CPM or designee. The recruitment information will be provided by the CPM or designee.

9.2.2 Contractor shall make DCFS recruitment information such as brochures and flyers available for distribution at every site where they conduct RFA training classes, as well as any offices of the Contractor.

9.2.3 The DCFS recruitment materials shall be in plain view, accessible to the passing public.

9.2.4 If Contractor maintains a website, they shall make electronic copies of the DCFS recruitment brochures and information available to post on their website and/or of minimum of quarterly in their organization(s) newsletters.

9.3 RFA Training Services

9.3.1 Contractor shall provide the following RFA Training Services to prospective resource parents:

1. 12-hour RFA Pre-Approval training
2. 8-hour Pre-Placement training; and
3. Cardio-Pulmonary Resuscitation (CPR) and First Aid certification that meets RFA requirement standards as prescribed in the Written Directives 6.

9.3.2 Contractor shall provide Pre-Approval, Pre-Placement and CPR/First Aid Training to relatives and Non-Related Extended Family Members when requested by the CPM or designee.

9.4 RFA Tracking System

9.4.1 Contractor shall utilize the RFA tracking system which contains RFA identified elements, including, but not limited to: RFA Pre-Approval and Pre-Placement training, CPR and First Aid training. Access to the RFA tracking system will be provided by County.

9.5 RFA Training Referrals

9.5.1 Contractor shall only enroll prospective resource families for Pre-Approval Training who can provide written verification of attendance at an informational orientation. CPM or designee can also verify if the prospective resource family attended an orientation.

9.5.2 Contractor shall not enroll current DCFS employees, as participants, in RFA trainings under this Contract.

9.5.3 Contractor shall not offer to provide similar or other RFA trainings to those who are not authorized to participate under this Contract, such as FFA resource families or DCFS staff.

9.5.4 Contractor shall not commingle or combine non-authorized participants and trainings with the participants and training programs covered by this Contract.

9.5.5 Contractor shall provide DCFS with information related to the training needs of prospective resource families who are enrolled in RFA Training classes.

9.6 Number of RFA Training Participants

9.6.1 During the term of the Contract, each RFA training module shall consist of a minimum of 20 enrolled attendees and no more than 30 enrolled attendees. Exceptions to the number of participants shall be approved by CPM or designee in writing prior to the start of the first module of a training series. No more than 5 percent of trainings shall fall below 20 enrolled attendees.

9.6.2 Contractor shall modify the number of participants for any RFA Training module at the request of the CPM or designee.

9.7 RFA Training Curriculum

9.7.1 The 12-hour RFA Pre-Approval curriculum training series will consist of four 3-hour training modules.

9.7.2 The 8-hour RFA Pre-Placement curriculum training series will consist of two 4-hour training modules.

9.8 RFA Training Materials

- 9.8.1 Contractor shall utilize the most current RFA Training curriculum and materials (Exhibit A-7).
- 9.8.2 Contractor shall provide all training materials, manuals and necessary supplies to RFA Pre-Approval and Pre-Placement Training participants.
- 9.8.3 Contractor shall provide a copy of the training materials and supplies to each training participant.
- 9.8.4 Contractor shall make updates/changes to the training materials that are required by the County.

9.9 Preparation Meetings

- 9.9.1 Contractor's Master Trainer shall meet at least once; or more if needed, with each RFA Training Team for a preparation meeting no less than seven days before the start of RFA Module One. The meeting agenda shall include a review and discussion of the training curriculum and the respective roles of the team members throughout the training series.

9.10 Training Locations

- 9.10.1 Contractor shall secure training locations that are physically located within the geographic area of the Service Planning Area (SPA) serviced by this contract within 30 days after the award of the Contract.
- 9.10.2 Contractor shall provide a minimum of one training location for every three RFA training series, located within each SPA within the awarded geographic area (North, South, East or West), which encompasses two SPAs. A zip code list with SPAs is detailed in Exhibit A-4.
- 9.10.3 Contractor shall provide safe and pleasant training locations that are community based and compliant with the American Disabilities Act.
- 9.10.4 The training locations shall have a conference or multi-purpose room with the ability to control the temperature while conducting the training series.
- 9.10.5 Contractor shall provide a security guard for training classes that are conducted during the evening hours.
- 9.10.6 CPM Reserves the right to approve the training location prior to the start of the training series.

9.11 Training Room

9.11.1 The training room shall accommodate up to 35 adult participants, and specifically for RFA training with tables and chairs arranged in a U-shape or semicircle. In addition, Contractor shall provide clean and operable restroom facilities, as well as safe and convenient parking within reasonable walking distance of the classroom for the duration of the training.

9.12 Number of Training Series

9.12.1 Pre-Approval Training Series

9.12.1.1 Contractor shall schedule and conduct RFA Pre-Approval Training series. The minimum numbers of RFA Pre-Approval Training series that shall be provided per area as follows.

9.12.1.2 Contractor shall complete each RFA training series within a two-week period from the start of the first module of the training series, unless a County observed holiday falls within the two-week period.

Geographic Area	SPA	Number of RFA Pre-Approval Training Series	Number of RFA Training Facilities Per SPA
North	1	6	1
	2	8	2
East	3	9	2
	4	14	3
West	5	4	1
	6	19	4
South	7	10	2
	8	13	3
Total Series		83	

9.12.1.3 Contractor shall review and discuss the Mandated Reported Acknowledgment (Exhibit A-8) and the Discipline Policy (Exhibit A-9) during each pre-Approval Series and obtain the signature of each participant attending the class.

9.12.1.4 Contractor shall upload the Mandated Reporter Acknowledgment and the Discipline policy into the RFA Tracking System.

9.12.2 Pre-Placement Training Series

9.12.2.1 Contractor shall schedule and conduct RFA Pre-Placement Training series as determined by the County. The minimum numbers of RFA Pre-Placement Training series that shall be provided per area are as follows:

9.12.2.2 Contractor shall complete each RFA training series within a two-week period from the start of the first module of the training series, unless a County observed holiday falls within the two-week period.

Geographic Area	SPA	Number of RFA Pre-Placement Training Series	Number of RFA Training Facilities Per SPA
North	1	4	1
	2	6	2
East	3	9	2
	4	11	3
West	5	3	1
	6	15	4
South	7	8	2
	8	10	3
Total Series		64	

9.12.3 First Aid/CPR Training Series

9.12.3.1 Contractor shall schedule and conduct First Aid and CPR trainings. The minimum numbers of First Aid and CPR trainings that shall be provided per area as follows.

9.12.3.2 Contractor shall complete each RFA training series within a two-week period from the start of the first module of the training series, unless a County observed holiday falls within the two-week period.

Geographic Area	SPA	Number of CPR/First Aid Training	Number of RFA Training Facilities Per SPA
North	1	4	1
	2	5	2
East	3	4	2
	4	8	3
West	5	2	1

	6	10	4
South	7	5	2
	8	7	3
Total Series		45	

9.12.4 Training Schedule

- 9.12.4.1 Contractor shall provide a training schedule at multiple locations to accommodate potential Resource Families.
- 9.12.4.2 Contractor shall submit a training schedule for the Contract term for review and approval by the CPM. The training schedule shall be submitted within 30 days of the Contract award.
- 9.12.4.3 This training schedule shall include each site, date, time and language ability of the proposed RFA training modules.
- 9.12.4.4 Contractor shall schedule the first Training Series within 45 days of the Contract award.
- 9.12.4.5 Contractor shall offer RFA training modules during regular business hours 8:00 A.M. to 5:00 P.M. and evenings 5:00 P.M. to 9:00 P.M., Monday through Thursday, and on during regular business hours on weekends, 9:00 A.M. to 5:00 P.M., or as approved by the CPM.
- 9.12.4.6 With CPM prior approval, modifications to training schedules may be permitted to increase enrollment.
- 9.12.4.7 Contractor shall make changes to the training schedule in order to enhance training completion. Changes to the schedule require prior approval of the CPM.
- 9.12.4.8 Contractor shall make changes to their training schedule to ensure RFA Pre-Approval classes are available after a recruitment event in a targeted area at the request of the CPM or designee.

9.12.5 Language Diversity

- 9.12.5.1 Contractor is required to have the ability to provide 25 percent of the RFA training modules in Spanish per geographic area. Contractor shall reflect the language of

each RFA training series in the 12-month schedule calendar.

9.12.5.2 In the event no Spanish modules are needed by the end of the seventh month of the Contract, the Contractor shall contact the CPM and provide written justification to waive said requirement.

9.12.5.3 Contractor shall be required to provide an interpreter for a prospective resource family in other languages such as Cambodian, Chinese, Korean, Tagalog, Vietnamese, and American Sign Language.

9.12.5.4 Trainings set for Spanish language, with low enrollment, may be changed to English if there is a need for English classes and any already enrolled participants can be quickly accommodated into a subsequent training. Contractor shall obtain prior approval from the CPM.

9.12.5.5 Trainings set for English, with low enrollment may be changed to Spanish and any already enrolled participants can be quickly accommodated into a subsequent training. Contractor shall obtain prior approval from the CPM.

9.12.6 Training Certificates

9.12.6.1 Contractor shall ensure that the training certificate is provided to the participant within three business days after completion.

9.12.6.2 Contractor shall upload the training certificate into the RFA Tracking System within three business days after module completion.

9.12.6.3 Contractor shall ensure that the training certificate includes the following information:

- a) name or topic of the training;
- b) name of the individual(s) (name as listed on identification) who completed the training;
- c) date the training was completed; and
- d) number of training hours completed.

9.12.7 Course Measure of Effectiveness: RFA Training

- 9.12.7.1 Contractor shall administer an RFA training evaluation to RFA training participants to measure the effectiveness and the quality of the training series, trainers, training facilities, etc. at the last module of the series.
- 9.12.7.2 Contractor shall summarize the results of the training evaluations and provide a report to CPM with the original evaluations attached. The training evaluations shall be used by the County and Contractor to develop strategies for improvement.
- 9.12.7.3 Contractor shall complete an evaluation of each RFA Pre-Approval training participant by the last date of training series using Exhibit A-10, Prospective Resource Parent Training Final Evaluation Form.
- 9.12.7.4 Contractor shall use Exhibit A-11, Prospective Resource Family Training Interim Evaluation Form on an as-needed basis to alert the CPM or designee of any participant training issues that may negatively impact the Resource Family Approval process.

SECTION D – REPORTS

10.0 Reports

10.1 RFA Training Activity Report

10.1.1 Contractor shall submit monthly RFA Training Activity Reports (Exhibit A-5) to the CPM or designee on the 15th of each month, for the prior month's training activities.

10.1.2 Monthly Activity Report shall include, but is not limited to the names of the potential resource families who have enrolled and completed the Pre-Approval, Pre-Placement and CPR/First Aid training, dates of the training, and site locations.

10.2 Annual Report

10.2.1 Contractor shall submit an RFA Annual Report, recording the prior year's training activities and performance outcome data to the CPM or designee on the 15th of the month after the close of each contract year.

11.0 Quality Assurance Monitoring

11.1 Contractor shall produce and implement a Quality Assurance Plan (QAP) to monitor and evaluate its performance and ensure Contract requirements are met. QAP must be approved by County and be in place at start of Contract. Updates to QAP must be approved by County.

11.2 Contractor shall incorporate the use of Exhibit A-1, Performance Requirements Summary in its QAP and include a plan to ensure uninterrupted service in the event of a strike by either party's employees or other potential disruption in services.

11.3 Contractor shall provide a copy of its QAP to CPM when the Contract commences, and as changes occur, and shall maintain documentation of its scheduled (quarterly or semi-annual) monitoring and evaluation activities.

11.4 Contractor shall: 1) immediately notify CPM of any difficulty, problem, or incident which may impact or delay the progress or completion of work; and 2) work with CPM to resolve any issues that emerge regarding Contractor's performance.

11.5 Contractor shall meet regularly and as needed with CPM to discuss QAP.

- 11.6 CPM or authorized designee, will monitor Contractor's performance in accordance with Section 8.19, County's Quality Assurance Plan, of the Contract, and Exhibit A-1, Performance Requirements Summary.
- 11.7 If service delivery is deficient or Contract requirements are not met, the CPM will notify CPD by User Complaint Report (UCR), Exhibit A-14. Contractor shall respond within two business days of receipt.

12.0 GREEN INITIATIVES

- 12.1 Contractor shall use reasonable efforts to initiate "green" practices for environmental and energy conservation benefits.
- 12.2 Contractor shall notify CPM of the Contractor's proposed green initiative outline in their proposal and any new green initiatives prior to the Contract commencement.

13.0 DATA COLLECTION

- 13.1 Record Keeping: Contractor shall keep a record of services that were provided, as well as the dates, agendas, sign-in sheets, and minutes of all meetings.
- 13.2 Evaluation Tools: Contractor shall provide the individuals receiving the training and services per this Contract with a tool by which to evaluate the services rendered. Contractor shall make this information available to the CPM upon request. Contractor shall ensure the tool will address the performance of the contractor. County retains the right to modify the tool.
- 13.3 Data Report: Contractor shall be responsible for collecting and reporting any data required by County to describe the services provided, the individuals served, and the services included in the invoice.
- 13.4 Cooperation: Contractor shall work cooperatively with any contracted program evaluator, if applicable. Contractor shall provide staff to process invoices for County and shall fully document the services to be paid associated with each invoice.

14.0 PROGRAM OUTCOMES

This Contract adheres to the outcome goals established by the County for Permanency, Child Safety and Well-Being.

**PROGRAM OUTCOME SUMMARY
PERMANENCY**

PROGRAM: Resource Family Approval (RFA) Training Services

PROGRAM TARGET GROUP: Prospective and Approved Resource Families

OUTCOME GOALS: Prospective resource parents shall be trained to become an approved Resource Parent and provide permanency to a DCFS child.*

OUTCOMES	METHOD OF DATA COLLECTION	PERFORMANCE TARGETS
<p><u>Program Goal/Outcome 1:</u></p> <p>Increase the percentage of families that successfully complete their RFA pre-approval classes.</p>	<p>Method 1:</p> <p>Monthly reports from the Contractor listing the names of the prospective resource parents, dates of contacts, activities performed, Pre-Approval Training classes.</p>	<p>Performance Target 1:</p> <p>The total number of families that completed RFA pre-approval classes will increase from the current baseline of 84% by 5% the first year and 10% the subsequent years.</p>
<p><u>Program Goal/Outcome 2:</u></p> <p>Increase the percentage of families that successfully complete their RFA pre-placement classes.</p>	<p>Method 1:</p> <p>Monthly reports from the Contractor listing the names of the potential resource families, dates of contacts, activities performed, Pre-Placement Training classes.</p>	<p>Performance Target 1:</p> <p>The total number of families that completed RFA pre-placement classes will increase from the current baseline of 73% by 5% the first year and 10% the subsequent years.</p>

*Resource families shall not subject child(ren) to discrimination or harassment on the basis of race, primary language, culture, actual or perceived sexual orientation, gender identity, and gender expression (SOGIE). Resource families must be willing and able to work with diverse populations of youth and possess cultural competency relating to SOGIE. Resource families must address youth by their chosen name and any pronoun(s) they identify with.

**PROGRAM OUTCOME SUMMARY
SAFETY**

PROGRAM: Resource Family Approval (RFA) Training Services

PROGRAM TARGET GROUP: Prospective and Approved Resource Families

OUTCOME GOALS: Prospective Resource Families shall be trained to become an approved Resource Family and provide a safe home to a DCFS child.*

OUTCOMES	METHOD OF DATA COLLECTION	PERFORMANCE TARGETS
<p><u>Program Goal/Outcome 1:</u></p> <p>Increase the percentage of families that successfully complete their RFA pre-approval classes.</p>	<p>Method 1:</p> <p>Monthly reports from the Contractor listing the names of the potential and approved resource families, dates of contacts, activities performed, attendance of Pre-Approval Training classes.</p>	<p>Performance Target 1:</p> <p>The total number of families that completed RFA pre-approval classes will increase from the current baseline of 84% by 5% the first year and 10% the subsequent years.</p>
<p><u>Program Goal/Outcome 2:</u></p> <p>Increase the percentage of families that successfully complete their RFA pre-placement classes.</p>	<p>Method 1:</p> <p>Monthly reports from the Contractor listing the names of the potential and approved resource families, dates of contacts, activities performed, attendance of Pre-Placement Training classes.</p>	<p>Performance Target 1:</p> <p>The total number of families that completed RFA pre-placement classes will increase from the current baseline of 73% by 5% the first year and 10% the subsequent years.</p>

* Resource families shall not subject child(ren) to discrimination or harassment on the basis of race, primary language, culture, actual or perceived sexual orientation, gender identity, and gender expression (SOGIE). Resource families must be willing and able to work with diverse populations of youth and possess cultural competency relating to SOGIE. Resource families must address youth by their chosen name and any pronoun(s) they identify with.

**PROGRAM OUTCOME SUMMARY
WELL-BEING**

PROGRAM: Resource Family Approval (RFA) Training Services

PROGRAM TARGET GROUP: Prospective and Approved Resource Families

OUTCOME GOALS: Prospective and Approved resource families shall be trained to support the well-being of children* in out of home care.

OUTCOMES	METHOD OF DATA COLLECTION	PERFORMANCE TARGETS
<p><u>Program Goal/Outcome 1:</u></p> <p>Increase the percentage of families that successfully complete their RFA pre-approval classes.</p>	<p>Method 1:</p> <p>Monthly reports from the Contractor listing the names of the potential and approved resource families, dates of contacts, activities performed, attendance of Pre-Approval Training classes.</p>	<p>Performance Target 1:</p> <p>The total number of families that completed RFA pre-approval classes will increase from the current baseline of 84% by 5% the first year and 10% the subsequent years.</p>
<p><u>Program Goal/Outcome 2:</u></p> <p>Increase the percentage of families that successfully complete their RFA pre-placement classes.</p>	<p>Method 1:</p> <p>Monthly reports from the Contractor listing the names of the potential and approved resource families, dates of contacts, activities performed, attendance of Pre-Placement Training classes.</p>	<p>Performance Target 1:</p> <p>The total number of families that completed RFA pre-placement classes will increase from the current baseline of 73% by 5% the first year and 10% the subsequent years.</p>

* Resource families shall not subject child(ren) to discrimination or harassment on the basis of race, primary language, culture, actual or perceived sexual orientation, gender identity, and gender expression (SOGIE). Resource families must be willing and able to work with diverse populations of youth and possess cultural competency relating to SOGIE. Resource families must address youth by their chosen name and any pronoun(s) they identify with.

PERFORMANCE REQUIREMENTS SUMMARY

RESOURCE FAMILY APPROVAL (RFA) TRAINING SERVICES

REQUIRED SERVICES	PERFORMANCE INDICATOR	ACCEPTABLE QUALITY LEVEL	COMPLIANCE MONITORING METHOD	NON-COMPLIANT STEPS
<p>1. Enroll RFA training participants who meet the criteria as listed in the SOW section 9.5.</p>	<p>Names of the families enrolled in each RFA Pre-Approval and Pre-Placement session.</p>	<p>Minimum 20 and no more than 30 participants enrolled in each RFA training session.</p>	<p>Review all lists of enrollees for each session.</p>	<p>Corrective Action Plan approved by the CPM as to what the barriers are for not providing the list of enrollees and a plan with dates approved by the CPM for providing the missing input.</p>

<p>2.</p>	<p>Provide RFA training classes in locations and with staffing as listed in the SOW section 9.3.</p> <p>Acquire and use the RFA training curriculum approved by the CPM as listed in SOW sections 9.7.1 and 9.8.1.</p> <p>Provide the RFA Pre-Approval classes in series of 12 hours, with four 3-hour training modules as listed in SOW section 12.1.</p> <p>Provide the RFA Pre-Placement classes in a series of 8 hours, with two 4-hour training modules as listed in SOW section 12.2.</p> <p>Provide CPR and First Aid classes, as required for RFA approval by the Written Directives. Relatives and NREFMs may also enroll in the CPR and First Aid classes as listed in SOW section 9.3.1.</p>	<p>Provide dates, times, locations, and names of presenters for approval by the CPM prior to the beginning of the RFA training series.</p> <p>Provide to the CPM proof of possession of the appropriate RFA training curriculum.</p>	<p>Conduct 83 RFA Pre-Approval and 64 RFA Pre-Placement training classes annually in locations and with staffing listed in the SOW with the DCFS approved RFA training curriculum.</p> <p>Conduct 45 First Aid and CPR classes annually in locations approved by the CPM.</p>	<p>CPM or designee shall pre-approve all scheduled classes, verify the possession of the curriculum, and verify by attendance and staffing records to ensure classes occurred with the proper staffing.</p>	<p>Develop a Corrective Action Plan approved by the CPM as to what the barriers are for not providing the required classes and staffing and the way this problem will be resolved.</p>
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REQUIRED SERVICES	PERFORMANCE INDICATOR	ACCEPTABLE QUALITY LEVEL	COMPLIANCE MONITORING METHOD	NON-COMPLIANT STEPS
<p>3. Instructors meet a minimum of one time with each RFA training team to discuss curriculum and roles prior to the first class of each RFA training series as listed in SOW sections 8.1 and 8.2.</p>	<p>Arrange meetings that are mutually agreeable to the Contractor and CPM.</p>	<p>Hold a minimum of one meeting for each training series prior to the first class of the series.</p>	<p>CPM shall review meeting schedules and verify with staff that the meetings are occurring.</p>	<p>Develop a Corrective Action Plan approved by the CPM as to the barriers for not holding the preparation meeting and the way the problem(s) will be resolved.</p>
<p>4. Provide appropriate training locations for RFA training classes, First Aid and CPR, and in-service trainings as outlined in the SOW sections 10.0 and 11.0.</p>	<p>Provide location information and obtain approval from the CPM at least 30 days before training delivery.</p>	<p>Ensure that 100% of the training locations meet the criteria as listed in the SOW.</p>	<p>CPM or designee shall visit training sites to verify appropriateness.</p>	<p>Develop a Corrective Action Plan approved by the CPM as to the barriers for not having the appropriate training sites available and the way the problem(s) will be resolved.</p>

REQUIRED SERVICES	PERFORMANCE INDICATOR	ACCEPTABLE QUALITY LEVEL	COMPLIANCE MONITORING METHOD	NON-COMPLIANT STEPS
<p>5. Provide monthly reports and annual reports as required by the SOW sections 8.1 and 8.2.</p>	<p>Provide all reports to the CPM by the deadlines established in the SOW ensuring completeness of the information contained therein.</p>	<p>Provide reports monthly and annually by the deadlines established in the SOW, ensuring inclusion of content required by the SOW and any formatting requirements of the CPM.</p>	<p>CPM shall conduct a review of timeliness of content in monthly and annual reports.</p>	<p>Develop a Corrective Action Plan approved by the CPM as to what the barriers are for timely submission of reports and/or completeness of content, and a plan with deadlines for resolving the problem(s).</p>
<p>6. Administer questionnaires and evaluation tools to the participants to measure course effectiveness and summarize the results as required by the SOW section 12.7.</p>	<p>Provide the summarized report along with the completed evaluation tools to the CPM after each training.</p>	<p>Provide Evaluations and summaries on course effectiveness 100% of the time.</p>	<p>CPM or designee shall review all summaries.</p>	<p>Develop a Corrective Action Plan approved by the CPM as to what the barriers are to providing evaluations and summarizations, and provide a plan with dates for resolving the problem(s).</p>

RFAT
(CMS # 17-0043)
PRICING SCHEDULE
 _____ Region

CONTRACTOR hereby agrees to perform the services, the scope of which is set forth in the above-identified contract for the County of Los Angeles, under all of the terms and conditions specified in the Statement of Work, Exhibits, performance Requirements Summary, Attachments and Contract.

Prices include all applicable charges and costs associated with receipt, delivery, confirmation, and any other costs necessary in the performance of all tasks outlined in the Statement of Work, Exhibits, Performance Requirements Summary, Attachments, and Contract.

RFAT	Unit of Service	Price
RFAT PRE-APPROVAL TRAINING SERVICES	Per Series (12 hours)	\$ _____
RFAT PRE- PLACEMENT TRAINING SERVICES	Per Series (8 hours)	\$ _____
CPR & FIRST AID	Per Class	\$ _____

			Contract Period Cost
Contract Period	Initial (Base) Period	XXXX through XXXX	\$ _____
	1st Option to Extend	XXXX through XXXX	\$ _____
	2nd Option to Extend	XXXX through XXXX	\$ _____

_____ **Authorized Signature**

_____ **Print Name and Title**

_____ **Date**

SAMPLE LINE ITEM BUDGET SHEET

Contractor's Name: _____

Service Category: _____

Date Prepared: _____

DIRECT COST (List each staff classification)

Payroll:	FTE*	Hourly Rate	Monthly Salary
Employee Classification	_____	\$ _____	\$ _____
Employee Classification	_____	\$ _____	\$ _____
Employee Classification	_____	\$ _____	\$ _____
Others (Please continue to list)			
Total Salaries and Wages			\$ _____

*FTE = Full Time Equivalent Positions

Employee Benefits	No. of Employees	Monthly Cost per FTE
Medical Insurance	_____	\$ _____
Dental Insurance	_____	\$ _____
Life Insurance	_____	\$ _____
Other (list)	_____	\$ _____
Total Benefits		\$ _____

Payroll Taxes (List all appropriate, e.g., FICA, SUI, Workers' Compensation, etc.)

_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
Total Payroll Taxes	\$ _____

Insurance (List Type/Coverage. See Sample Contract, Part II, Section 5.2, Insurance Coverage Requirements)

_____	\$ _____
_____	\$ _____
_____	\$ _____
Vehicles	\$ _____
Supplies	\$ _____
Services	\$ _____
Office Equipment	\$ _____
Telephone/Utilities	\$ _____
Other (please continue to list)	\$ _____

Total Insurance/Misc. S & S \$ _____

TOTAL DIRECT COSTS \$ _____

INDIRECT COST (List all appropriate)

General Accounting/Bookkeeping	\$ _____
Management Overhead (Specify)	\$ _____
Other (Specify)	\$ _____

TOTAL INDIRECT COSTS \$ _____

TOTAL DIRECT AND INDIRECT COST \$ _____

PROFIT (Please enter percentage: _____%) \$ _____

TOTAL MONTHLY COSTS \$ _____

*Matching funds must be included in the line item budget and calculated into the fixed cost fee per series completed.

GENERAL INSTRUCTIONS FOR COMPLETING YOUR BUDGET NARRATIVE
(See SAMPLE Budget Narrative Below)

All proposals must have a narrative attached to the budget providing a thorough and clear explanation of all projected line item budget costs. The narrative must follow the same sequence as the line item budget, and include an explanation of the method of allocating costs for any joint or shared budget item. All figures and compilations must be clearly explained.

GENERAL INSTRUCTIONS:

The budget is comprised of both Budget Categories and Budget Line Items.

Budget Categories are the major classifications of expense shown on the Sample Line Item Budget Sheet: Payroll, Employee Benefits, Insurance, Indirect Cost, etc.

Budget Line Items are the individual cost items within each budget category. For example, budget line items within the Payroll category are the individual positions to be funded under this Contract.

The budget narrative must provide clear and complete descriptions that: (1) explain the purpose of each budget line item; (2) how the line item is directly connected to the provision of a given service; (3) the dollar amount requested for the item; and (4) the methodology used to calculate the amount, etc. For example:

BUDGET AMOUNT

DESCRIPTION

\$12,000

Contractor's Program Manager: This position will provide administrative supervision to the Program staff on this Contract and will be responsible for administering the Contract in accordance with the Statement of Work. The total amount requested for this line item is \$12,000 (\$2,500/mo x .40 x 12 months).

The methodology in the above example is calculated by multiplying each item, from left to right, as follows:

Monthly Salary (Total Monthly Salary for this Position)	Percent of Time (Allocated to Work on this Contract)	Number of Months (Allocated to Work on this Contract)
\$2,500	40%	12

The Budget Narrative must include all of the proposed budget line items within that budget category. For example, the narrative for "Payroll" or "Salaries" must include a description of each position to be funded under the contract. Use the same budget categories from your actual "Line Item Budget" in writing the narrative.

RFP FOR RESOURCE FAMILY APPROVAL TRAINING SERVICES
(CMS 17-0043)

SAMPLE BUDGET NARRATIVE FORMAT

Name of Agency:	
Contract Amount:	\$
Contract Budget Period:	(Enter beginning Budget Period) through (Enter ending Budget Period)

PAYROLL CLASSIFICATION (Personnel Line Items)

Budget Amount	Employee Classification and Description of Services
\$	
\$	
\$	

EMPLOYEE BENEFITS AND PAYROLL TAXES

Budget Amount	Description of Taxes
\$	
\$	

INSURANCE

Budget Amount	Description
\$	

OTHER

Budget Amount	Description
\$	

INDIRECT COST

Budget Amount	Description
\$	

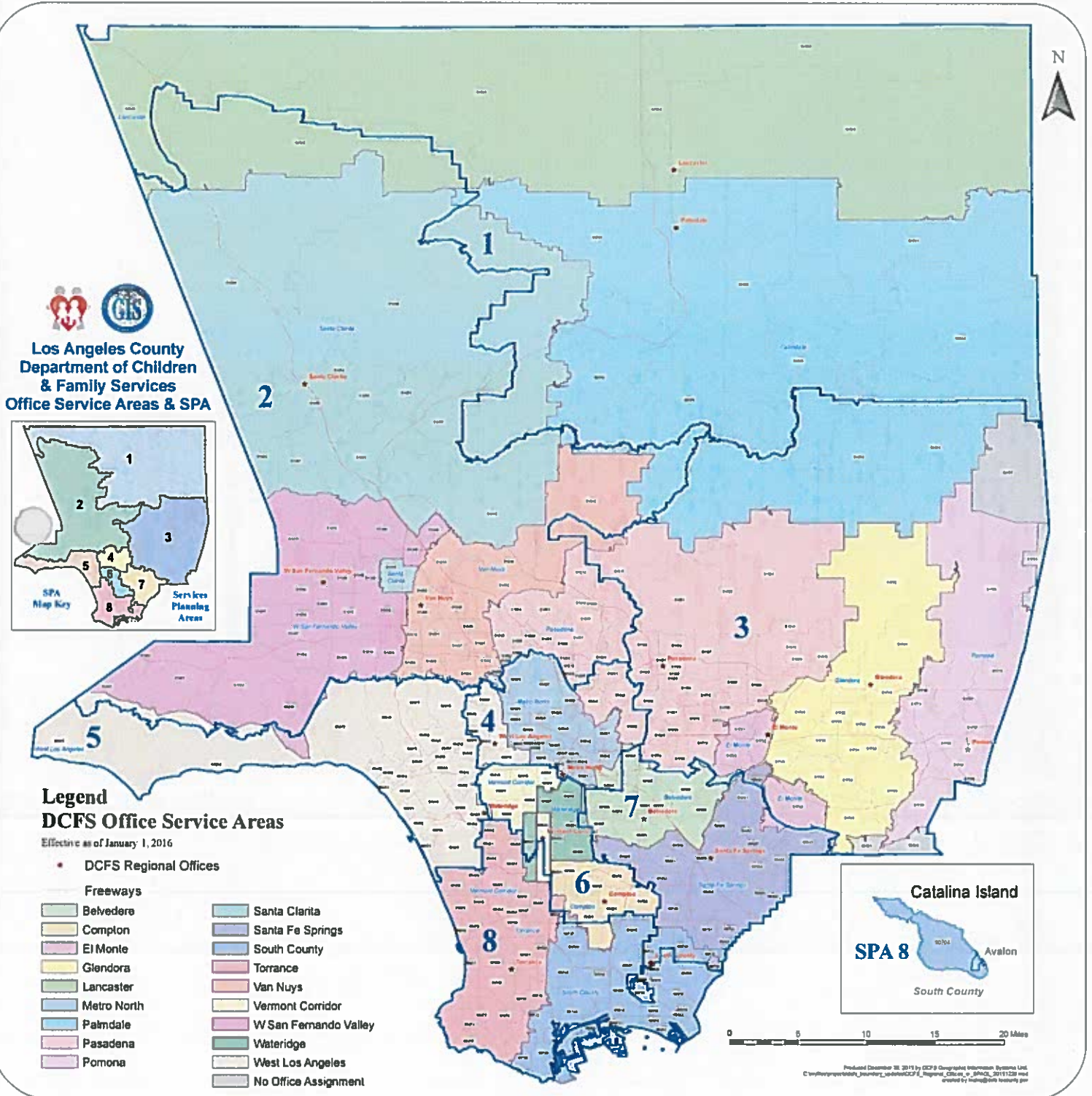


Exhibit A-5

Contractor

Month: Year

Submitted by:

Monthly Training Activity Report For RFAT

	Client Name	Training Start Date	Location	Training Type	Language	Enrolled	Completed
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							

Total Trainings for the Month:

Form to be submitted to CPM the 15th of each month for the prior Month's

Exhibit A-5
 Contractor
 Submitted by:

Month: Year

RFA Training Monthly Services Report

	Client Name	Training Type	Training Date	Contact with Client	No-show Reason	Efforts toward Completion	Next Steps
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							

Total Trainings for the Month:
 Total Applicants Enrolled
 Total Applicants Completed

Form to be submitted to CPM the 15th of each month for the prior Month's data

Project Director 00/00/0000
 Signature of staff submittin Date

Quality of Life in Out of Home Care Policy

Quality of Life in Out-of-Home Care

0100-570.05 | Revision Date: 07/27/17

Overview

This policy provides an overview of a caregiver's responsibilities when caring for a child in out-of-home care, the use of reasonable and prudent parent standard, and reporting quality of life issues.

TABLE OF CONTENTS

Policy

- Caregiver Responsibilities
- Reasonable and Prudent Parent Standard
 - Limitations to Caregiver's Consent
- Providing Care and Supervision in Foster Care
 - Occasional Short-term Babysitter
 - Alternative Caregiver
 - Respite Care
 - Leaving a Child in Foster Care Alone
 - Day Care
- Religious Practice
 - Children in Foster Care
 - Out-of-Home Caregivers

Procedure

- Assessing the Quality of Out-of-Home Care
 - CSW Responsibilities
 - SCSW Responsibilities
 - ARA Responsibilities
- Service Bureau Deputy Director's Executive Assistant Responsibilities

Approvals

Helpful Links

- Attachments
- Forms
- Referenced Policy Guides
- Statutes

Version Summary

This policy guide was updated from the 03/26/14 version, as part of the Policy Redesign, in accordance with the DCFS Strategic Plan. This policy guide incorporates content from Procedural Guide 0100-510.55, Religious Practice in Out-of-Home Care, 0100-520.40, Out-of-Home Caregivers: Legal Consent Authority, 0100-570.08, The Care of Children Placed in a Licensed

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Foster Home, **Relative/Non-Relative Extended Family Members Home** or **Small Family Home**, 0100-570.09, The Care of Children Placed in a Foster Family Agency Home, and 0100-570.10, The Care of Children Placed in Group Homes, thereby cancelling those policy guides. The title of the policy has been changed from "Quality of Life in Out-of-Home Care: Reporting Concerns."

This policy guide has been updated to provide instructions regarding a new California Department of Social Services (CDSS) Reasonable & Prudent Parent Standard FAQ (Attachment) and new Penal Code 3003.6 prohibition against registered sex offender contact with DCFS children in placement.

POLICY

Caregiver Responsibilities

For purposes of this policy, the term, "caregiver" includes:

- Related and non-related foster parents
- Related and non-related legal guardians
- Relative and Non-relative extended family member caregivers
- Resource Family Home
- Group homes
- Small family homes
- Foster Family Agency

In order to provide quality care to foster children, caregivers have the following responsibilities:

Responsibility	Description
Comply with Personal Rights	Comply with and not violate a foster child's personal rights.
Physical Environment	Provide a safe physical environment.
Smoke Free Environment	Provide a smoke-free environment in the home/facility and on the outdoor grounds of the home/facility when the child is present, as well as in a motor vehicle that is regularly used to transport the child.
Medical	Meet the medical needs of the child by scheduling routine physical and dental exams. Provide on-going care, as deemed necessary.
Counseling	Meet the counseling needs of the child.
Education	Meet the educational needs of the child/NMD.
Placement Issues	Collaborate with the CSW regarding placement issues.
Permanent Plan	Collaborate and support the permanent plan of the child/NMD.
Transition to Independence	Collaborate with the CSW to support and facilitate activities for a successful transition to independence.
Visitation Plan	Collaborate with the CSW to develop the visitation plan and comply with the orders of the Juvenile Court and case plan.
Dietary Needs	Meet the dietary needs of the child/NMD. Back to Top ^

Clothing	Provide the child/NMD with adequate clothing on an ongoing basis as determined by the DCFS 2281 , Clothing Standard.
Discipline	Use of constructive alternative methods of discipline and refrain from use of corporal punishment.
Confidentiality	Respect and keep confidential information regarding the child/NMD and his/her family.
Composition of Home	Inform the CSW of every adult who is living, visiting, or transitioning in or out of the home. Compliance with the prohibition against Registered Sex Offenders (RSO) contact with DCFS children .
Administration of Emergency Medical Assistance and Injections	Caregivers trained to administer injections by a licensed health care professional are only authorized to administer emergency medical assistance and injections to a foster child for: <ul style="list-style-type: none"> • Severe diabetic hypoglycemia • Anaphylactic shock • Subcutaneous injections of other prescribed medication
Driver's License	The Vehicle Code allows a dependent youth's foster parent with whom the youth resides to sign a driver's license application on behalf of the dependent youth if the youth files proof of financial responsibility at the time of the application.

Reasonable and Prudent Parent Standard

Every dependent child of the juvenile court is entitled to participate in age-appropriate extracurricular, enrichment, and social activities, including but not limited to, access to computer technology and the internet. Caregivers have the authority to approve or disapprove a foster child's participation in activities based on the caregiver's own assessment using a reasonable and prudent parent standard, without prior approval of the social worker, licensing or approval agency, or the Juvenile Court.

Participation in events for which caregivers have given consent does not require the CSW to write and submit walk-on reports to the court.

In applying the reasonable and prudent-parent standard, the caregiver is to take "reasonable steps" to determine the appropriateness of the activity in consideration of the child's age, maturity, and developmental level, as detailed in the [Caregiver Information Sheet](#). Additional information is available in the [Reasonable & Prudent Parent Standards FAQ](#) and the [Caregiver's Ability to Arrange for Care and Supervision FAQ](#).

When permission has been granted by the caregiver to participate in extracurricular activities:

- The caregiver must ensure direct care and supervision is provided to meet the child's needs during participation in those activities, except for school-sponsored activities where the school is to provide adequate care and supervision.

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- It is the responsibility of Group Homes, Foster Family Agencies (FFA), and individual caregivers, in specified circumstances, to determine if the utilization of Live-Scan and/or CLETS is necessary pursuant to existing statutes and regulations.

The **Reasonable and Prudent Parent Standard** also allows a child in foster care the freedom to grow into childhood. Allowing a child in foster care to be babysat by a regular neighborhood babysitter, taken care of by family and friends, and eventually left alone while his or her caregiver goes out is an important part of the successful transition to self-sufficiency. The caregiver must make reasonable and prudent decisions using his or her best judgment given the particular set of circumstances, and maintain the child's health, safety, and best interest. However, statutes and regulations set out certain requirements and standards for caregivers to ensure the health and safety of children for a child in foster care. These legal restrictions cannot be circumvented, even if doing so would seem to be allowable under the Reasonable and Prudent Parent Standard.

Limitations to Caregiver's Consent

Caregivers cannot give permission for a child to:

- [Marry](#)
- [Join the Armed Forces](#)
- Receive medical and dental treatment that is not ordinary
- Be tested for [HIV](#)
- Be on [psychotropic medication](#)
- Make educational decisions, unless the court has limited the educational rights of the parent(s).
- Get a body piercing
- Get a tattoo

A parent of a [voluntarily placed](#) child has the authority to modify or prohibit consent by a foster parent if a written agreement regarding such modification or prohibition exists between the agency and the parent.

Providing Care and Supervision in Foster Care

There are a number of options available for a caregiver who needs someone to temporarily care, for a short period of time, for a foster care child who has been placed in his or her care. Each of these options is most appropriate for a different range of situations.

- Babysitters for children in foster care;
- Alternate caregivers;
- [Respite Care](#) ;
- Leaving a child in foster care alone, or
- Day Care

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Attached are the [Frequently Asked Questions \(FAQ\)](#) compiled by CCLD related to a caregiver's ability to arrange for care and supervision. The FAQ is intended to assist caregivers and state and county licensing staff in ensuring a child in foster care has a healthy, normal childhood.

Occasional Short Term Babysitter

The caregiver may arrange for an "occasional short-term babysitter" for a child in foster care. A child may be babysat for up to 24 hours, including overnight. The babysitter does not need to be licensed for foster care, be fingerprinted, or meet other legal requirements pertaining to caregivers. A babysitter for a child in foster care also does not need to have undergone any special training, as is required for foster parents and other full-time caregivers. This does not mean, however, that any babysitter will be able to provide appropriate care to every child in foster care. As with any other child, the special needs of a child in foster care may require the babysitter to have special skills or training.

A child in foster care may also act as an occasional short-term babysitter. Under no circumstances can a child in foster care be required to babysit. The caregiver must apply the reasonable and prudent parent standard in determining and selecting appropriate babysitters for occasional short-term use. The caregiver must ensure that the babysitter knows how to contact the caregiver in case of an emergency.

Alternative Caregiver

Unless prohibited by the CSW, court order, or the licensing/approval agency, the caregiver may arrange for the care of a child for longer than 24 hours at a time, on an occasional basis. When selecting an appropriate alternative caregiver, the caregiver must apply the reasonable and prudent parent standard.

The caregiver must comply with all of the following when using an alternative caregiver:

- At a minimum, the alternative caregiver must meet the following requirements.
 - Be 18 years of age or older.
 - Have a criminal record [clearance](#) and a child abuse central index clearance.
 - It is the responsibility of Group Homes, Foster Family Agencies (FFA), and individual caregivers, in specified circumstances, to determine if the utilization of Live-Scan and/or CLETS is necessary pursuant to existing statutes and regulations.
 - Have the willingness and ability to and must comply with applicable statutes and regulations.
 - Have the willingness and ability to provide care and supervision to a "child", taking into consideration the age, maturity, behavioral tendencies, mental and physical health, medications abilities and limitations, developmental level of, and court orders for a "child."
- The care and supervision during the caregiver's absence must occur in the caregiver's home.
- The caregiver must provide the alternative caregiver with the following information before leaving the home: [Back to Top ^](#)

- Information about the emotional, behavioral, medical or physical conditions of a "child," if any.
- Any medication that should be administered to a "child" during the time the "child" is being supervised by the alternative caregiver, consistent with physician's instructions, when available.
- The name and telephone number of the social worker for a child
- The caregiver's emergency contact information.
- The caregiver must provide verbal or written notification to the child's CSW prior to the caregiver's absence from the home. Notification must include:
 - The dates the caregiver plans to be absent from the home.
 - The name of the alternative caregiver.
 - An emergency number where the caregiver may be reached in their absence.
- The caregiver must receive prior approval from the social worker or probation officer for a "child" for any absence that exceeds 72 hours.

Respite Care

Please see the [Respite Care](#) policy for detailed information.

Leaving a Child in Foster Care Alone

A caregiver may leave a child in foster care alone, without adult supervision, for brief periods of time. The caregiver must apply the Reasonable and Prudent Parent Standard to determine the appropriateness of leaving a child home alone. This decision must be made on a case-by-case basis. When leaving a child home alone, the caregiver must make sure the child knows where the emergency numbers are posted, knows the emergency procedures, and know where and how to contact the caregiver. They do not need to get prior approval from their CSW.

Day Care

If a caregiver places a child in foster care into day care, he or she must be a licensed child care facility. Regulations prohibit the use of unlicensed facilities for this purpose.

Religious Practice

Children in Foster Care

Children in foster care have a right to religious freedom including the right to attend religious services and activities or to refrain from the practice of religion altogether. The child's association with his/her religious group must be maintained, if so desired by the child and his/her family, while s (he) is in out-of-home care. A parent or legal guardian has the authority to determine the child's religious preference, until the child is:

- Old enough to choose a religion for him/herself, or

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- Parent's rights are terminated.

If a parent is unavailable or his or her whereabouts are currently unknown and the religious preferences of the parents have not been previously made known, the child may voluntarily participate in the religious activities of the out-of-home caregiver as long as those activities do not endanger the child's health and safety.

Out-of-Home Caregivers

Any religious practices by out-of-home caregivers which advocate corporal punishment, rituals involving sex acts or physical abuse, the prohibition of medical care and any other practices which pose a threat to the child's health and safety are not permitted, even if the child's parent or legal guardian agrees with these practices.

The rights and responsibilities of caregivers regarding religious practices are:

- The right to practice the religion of his or her choice; however, those religious practices must not be forced upon the foster child, the foster child's parent or legal guardian, or interfere with the provision of care to the foster child.
- Religious practices including, but not limited to, medical care, dietary restrictions, prohibition of birthday and holiday celebrations, mode of dress, or exclusion of the use of cosmetics cannot be imposed upon a child.
- The parent or legal guardian must express permission for the child to participate in any religious practices outside of their own religious doctrine, with the understanding that the practices do not endanger the child's health and safety.
- The religious practices of the out-of-home caregiver cannot prohibit the child from obtaining an abortion or using birth control.
- A child cannot be baptized, circumcised, etc., without a parent's, legal guardian's, or court's authorization.

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PROCEDURE

Assessing the Quality of Out-of-Home Care

CSW Responsibilities

1. During monthly contacts, assess for the quality of care the child/NMD by using the [Quality of Life Questions](#).
2. Encourage and remind the caregiver that the child/NMD should be given the opportunity to participate in extracurricular activities.
 - a. Provide the caregiver with the [Caregiver Information Sheet, Extracurricular, Enrichment And Social Activities, And The Reasonable And Prudent Parent Standard](#).
 - b. If there is concern about a caregiver's judgment regarding a child's participation in extracurricular activities, consult with County Counsel on what action should be taken.

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- c. Document the dependent child's participation in extracurricular activities in the Contact Notebook and in court reports.
3. If there is an unresolved quality of life concern, consult with the SCSW regarding to determine what if any actions can be taken to rectify the concern(s).
4. If during the course of contact with the child/youth, it is determined the child/youth cannot safely remain in the caregiver's home, immediately contact the SCSW regarding the situation.
 - a. If it is determined the child/youth should be removed, follow the steps outlined in [Child Abuse and Neglect Reporting Act](#).
5. Upon return to the office:
 - a. Immediately contact the Child Protection Hotline and make a child abuse referral if child abuse and/or neglect is suspected.
 - This applies to nonminor dependents (NMD) as well.
 - b. Locate a new placement and replace the child/youth.
 - Utilize the [Child and Family Team \(CFT\)](#) process prior to locating a placement or shortly after replacement.

SCSW Responsibilities

1. If there is a quality of life concern that could not be resolved, notify the ARA and provide him/her with the following information:
 - a. Your name and title;
 - b. Your office location and telephone number;
 - c. The child's/NMD's name and case number;
 - d. The type of placement (foster home, foster family agency home, relative home, group home, etc.);
 - e. The caregiver/placement name and location;
 - f. The specific quality-of-life issue of concern; and,
 - g. Any additional remarks or pertinent information (e.g., attempts to resolve the matter).
2. Document the following in the Contact Notebook:
 - a. Name of the ARA
 - b. The date ARA was notified of the quality-of-life issue(s).
 - c. Action to be taken (if known) and any needed follow-up.

ARA Responsibilities

1. Within one business day of being informed of a quality of life concern notify your Deputy Director's Executive Assistant and provide them with the following information.
 - a. The name of the CSW;
 - b. The CSW's office location and telephone number;
 - c. The child's/NMD's name and case number;

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- d. The type of placement (foster home, foster family agency home, relative home, group home, etc.);
- e. The caregiver/placement name and location;
- f. The specific quality-of-life issue of concern; and,
- g. Any additional remarks or pertinent information

Service Bureau Deputy Director's Executive Assistant Responsibilities

1. Review the facts presented regarding any quality of life issues and take available steps to remedy the concerns of the CSW:
2. When appropriate, contact the out-of-home caregiver and attempt to resolve the matter.
3. Report concerns as follows:
 - a. For Group Homes or Foster Family Agencies, the matter is referred to Resource Contract Management Division for appropriate follow-up and/or action.
 - b. For licensed foster homes or a small family home, the matter is referred to Community Care Licensing (CCL) to report possible violations of licensing regulations.
 - c. For relative and non-relative extended family members, the matter is referred to the ASFA Division.
4. Notify the ARA of the results and or actions taken regarding the reported concern.

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APPROVALS

None

HELPFUL LINKS

Attachments

[Caregiver Information Sheet, Extracurricular, Enrichment and Social Activities, and the Reasonable and Prudent Parent Standard](#)

[Caregiver's Ability to Arrange for Care and Supervision FAQ](#)

[CDSS Reasonable & Prudent Parent Standards FAQ](#)

[Quality of Life Questions](#)

Forms

LA Kids

[DCFS 2281, Clothing Standard](#)

[DCFS 5650, Personal Rights](#)

Referenced Policy Guides

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0050-501.10, [Child Abuse](#) and [Neglect](#) Reporting Act (CANRA)
0070-548.01, Child and Family Teams
0070-559.10, Clearances
0100-510.21, Voluntary Placement
0100-535.60, Youth Development: The 90-Day Transition Plan and Transitioning to Independence
0300-503.85, Permission for a Child to Marry, Join the Armed Forces or Join the Job Corps
0400-504.00, Family Visitation Planning
0600-502.20, HIV/AIDS Testing and Disclosure of HIV/AIDS Information
0600-514.10, [Psychotropic Medication](#): Authorization, Review, and Monitoring for DCFS Supervised Child
0700-500.10, Education of DCFS-Supervised Children
FYI 06-05, Administration of Emergency Medical Assistance and Injections for Severe Diabetic Hypoglycemia and Anaphylactic Shock and Subcutaneous Injections or Other Prescribed Medication to a Foster Child

Statutes

[All County Letter 05-39](#), Extracurricular, Enrichment, and Social Activities

[Health and Safety Code \(HSC\) Section 1507.25](#) – Caregivers trained to administer injections by a licensed health care professional are only authorized to administer emergency medical assistance and injections to a foster child for severe diabetic hypoglycemia, anaphylactic shock, and subcutaneous injections of other prescribed medication.

[HSC Section 1530.6](#) – Authorizes out-of-home caregivers to give the same legal consent for that child as a parent except for specified circumstances.

[HSC 1530.7](#) – Requires group homes, foster family agencies, small family homes, transitional housing placement providers, and crisis nurseries licensed pursuant to this chapter to maintain a smoke-free environment.

[Penal Code \(PEN\) Section 652](#) – States that permission must be obtained from a parent or guardian in order to perform or offer to perform body piercing upon a person under the age of 18 years.

[PEN Section 653](#) – States that every person who tattoos or offers to tattoo a person under the age of 18 years is guilty of a misdemeanor. As used in this section, to "tattoo" means to insert pigment under the surface of the skin of a human being, by pricking with a needle or otherwise, so as to produce an indelible mark or figure visible through the skin. This section is not intended to apply to any act of a licensed practitioner of the healing arts performed in the course of his practice.

[PEN Section 3003.6](#) – Prohibits registered sex offenders (RSO) from residing, working or volunteering in specified foster homes or facilities. Applies to homes/placements DCFS approves including relatives, NREFM's and delinquents who are not clients. Violation is a misdemeanor. Wards who are clients, who are sexual offenders, are not statutorily prohibited from residing in homes with other dependents and/or wards.

[Title 22, Division 6, Chapter 1, Section 80072\(a\)\(5\)](#) – States that each client has personal rights.

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[Title 22, Division 6, Chapter 9.5, Article 3 Personal Rights Section 89372](#) – States that each child shall have personal rights which include to be free to attend religious services and activities of his/her choice and to have visits from the spiritual advisor of his/her choice.

[Title 22, Division 6, Chapter 9.5, Section 89377](#) – States that a caregiver is responsible for applying the Reasonable and Prudent Parent Standard and what factors to consider.

[Title 22, Division 6, Chapter 9.5, Section 89378](#) – Sets forth the terms and conditions for a caregiver using an Occasional Short-term Babysitter and for an Alternative Caregiver.

[Title 22, Division 6, Chapter 9.5, Section 89379](#) – Sets forth the parameters of extracurricular, enrichment, and social activities

[Vehicle Code Section 17701](#) – States in pertinent part that if a youth is a dependent or ward of the court, the application may be signed by a grandparent, a sibling over the age of 18 years, aunt, uncle, or foster parent with whom the youth resides. The probation officer or child protective services worker acting as an officer of the court, on behalf of a youth, may also sign and verify the application of a child who is a dependent or ward of the court, if the youth files proof of financial responsibility. Prior to signing the application, the probation officer or child protective services worker shall notify the foster parents or other responsible party of his or her intent to sign and verify the application.

[Welfare and Institutions Code \(WIC\) Section 362.04](#) – Provides guidelines and the criteria for using an occasional short-term babysitter.

[WIC Section 362.05](#) – States every child adjudged a dependent child of the juvenile court shall be entitled to participate in age-appropriate extracurricular, enrichment, and social activities, including, but not limited to, access to computer technology and the Internet.

[WIC Section 369.5\(a\)](#) – States in pertinent part that if a child is adjudged a dependent child of the court under Section 300 and the child has been removed from the physical custody of the parent under Section 361, only a juvenile court judicial officer shall have authority to make orders regarding the administration of psychotropic medications for that child.

[WIC Section 16001.9](#) – Establishes the rights that all children in foster care are entitled to.

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Training Curriculum Learning Objectives

RFA

— TRAINING CURRICULUM —

PRE-APPROVAL

MODULE 1 - 3 HOURS

S1-Introduction/Rules of the Road
S2-DCFS/Probation Overview/Shared Core Practice
S3-Strengths and Needs Matching Activity
S4-Lopez-Johnson Sculpting Activity/Family Ecomap
S5-Key Points Review/Questions/Close

KEY CONCEPTS

Continuum of Care Reform (CCR) and RFA
CBO role, training and assessment

Court Process, Distinctions and Expectations of the Hearings

DCFS Mission, Goals, and Strategies (e.g., Safety, Well-being, Concurrent Planning, Core Practice Model, Permanence)

The Protective Factors

Expectation of cooperation with the DCFS and goals for our shared clients

Needs of Children in Care

MODULE 2 - 3 HOURS

S1-Welcome / Introduction & Rules of the Road
S2-Understanding Reasons, Feelings, and Behaviors
S3-Defining Trauma and the Impact on Children in Out of Home Care
S4-Grief and Loss
S5-Effects of Trauma on Behavior
S6-Managing Behaviors with Positive Discipline
S7-Key Points: Summary, Questions and Closing

KEY CONCEPTS

Cognitive Triangle

Age appropriate behaviors, development
Reasons, Feelings, Behaviors

Trauma

Chronic, Acute, Ongoing
Reasons for Entry into Foster Care
Video (Trauma from a child's perspective)

Grief and Loss

Age appropriate behaviors, development
Grief and loss, Child abuse and neglect and Domestic Violence impact on child development and behavior

Positive Discipline

Supportive methods of intervention
Positive Discipline

KEY CONCEPTS

MODULE 3 – 3 HOURS

S1-Introduction/Rules of the Road

S2-What is your Adjective?

S3-Importance of Identity

S4-Power of Connections

S5-Culture & Family Dynamics

S6-Responsibilities of Resource Parents

S7-Key Points
Review/Questions/Close

Safe Guarding the child’s right to have fair and equal access to all available services, placement, care, treatment and benefits.

No discrimination on the basis of actual or perceived race, ethnic group identification, ancestry, national origin, color, religion, sex, sexual orientation, gender identity, mental or physical disability or HIV status.

Cultural needs of children, cultural competency and sensitivity, best practices for adequate care for children across diverse ethnic and racial backgrounds, as well as children identifying as lesbian, gay, bisexual, or transgender.

Reasonable and Prudent Parenting Standard

Accessing services and supports available to foster children to address education needs, physical, mental, and behavioral health, and substance use disorders, including culturally relevant services.

MODULE 4 – 3 HOURS

S1-Introduction/Rules of the Road

S2-What is your Adjective?

S3-Importance of Identity

S4-Power of Connections

S5-Culture & Family Dynamics

S6-Responsibilities of Resource Parents

S7-Key Points
Review/Questions/Close

KEY CONCEPTS

Health Section

Mental Health Section

Educational Services

Personal Rights and Prudent Parenting

Safety Laws

Safety When Visiting

Continuum of Care Reform

Additional Training Information, including Specialized Trainings

Panel Presentation

ANNUAL

MODULE 5 - 4 HOURS

S1-Introductions/Ice Breaker
S2-Review of Pre-Approval Curriculum
S3-What The Child Brings
S4-What Trauma Looks Like at Different Ages
S5-Building Positive Attachments
S6-Rebuilding and Supporting Attachments
S7-Learning How to Intervene While Promoting Attachment
S8-Video: Voices of Youth Supporting Adolescents in Foster Care
S9-Key Points Review/Questions/Close

KEY CONCEPTS

Ages and Stages
What Trauma looks like
Cycle of Needs and Attachment
Advocating for the Mental Hx Needs of your child
How to interact with your traumatized child
Rebuilding and Supporting Attachments
How to intervene while supporting Attachment
Video : How to support Adolescent in Care

MODULE 6 - 4 HOURS

S1-Introduction and Review Rules of the Road
S2-Participant Introductions
S3-Pursuit of Wellness/Assessment
S4-The Role of Self-Regulation in Self Care
S5-Tools for Working with Birth Parents
S6-Strategies for Working With Birth Parents
S7-Summary

KEY CONCEPTS

Hierarchy of Needs
Shared Core Practice Model Chart
Cycle of Need
Seven Dimensions of Wellness
Four Stages of Burnout
Safety, Well-being and Permanence
Relaxation Techniques
5 Zen Habits for Balanced Living



County of Los Angeles
DEPARTMENT OF CHILDREN AND FAMILY SERVICES

425 Shatto Place, Los Angeles, California 90020
(213) 351-5602

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Director

GINGER PRYOR
Chief Deputy Director

Board of Supervisors
HILDA L. SOLIS
First District
MARK RIDLEY-THOMAS
Second District
SHEILA KUEHL
Third District
JANICE HAHN
Fourth District
KATHRYN BARGER
Fifth District

ACKNOWLEDGEMENT OF MANDATED REPORTER STATUS

I, _____ , understand that as a Resource Parent, I am considered a mandated reporter under the [California Child Abuse and Neglect Reporting Act](#) (CANRA), codified at California Penal Code §§ 11164-11174.3. This means that I am required to call the Child Abuse Hotline number at **1-800-540-4000** whenever I have reasonable cause to believe that a child known to me may be abused or neglected.

I understand that there is no charge when calling the Hotline number and that the Hotline operates 24-hours per day, 7 days per week, 365 days per year.

I affirm that I have read this statement and have knowledge and understanding of the reporting requirements, which apply to me under [California Child Abuse and Neglect Reporting Act](#) (CANRA), codified at California Penal Code §§ 11164-11174.3.

Name: _____

Signature: _____

Date: _____





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Third District

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Fourth District

KATHRYN BARGER
Fifth District

ACKNOWLEDGEMENT OF NO PHYSICAL DISCIPLINE

I, _____, understand that Positive Discipline methods that are age-appropriate, non-physical and non-punitive discipline are the only forms of discipline allowed. Positive Discipline may include age-appropriate time-outs or time-in with a loving adult, withdrawal of privileges, restrictions discussion, etc. **Physical punishment is prohibited.** Physical punishment includes spanking, slapping, hitting, biting, shaking, pinching or inflicting pain on a child.

Other prohibited punishment includes humiliation, intimidation, ridicule, coercion, threats, mental or emotional abuse, or any other punitive forms of discipline including interference with activities of daily living such as feeding, sleeping, toileting, denial of family contact/visitation, provision of shelter, clothing or aids to physical functioning.

I have read the above information and understand the Departments of Children and Family Services (DCFS) and Probation, Acknowledgement of No Physical Discipline, which prohibits the use of physical punishment.

Name: _____

Signature: _____

Witness: _____

Date: _____



Resource Parent Final Evaluation Form

**DCFS RFA TRAINING
FINAL EVALUATION FORM**

Class Location: _____

Trainer(s): _____

Date of Classes: _____ Module#: _____

Applicant Name: _____

Was applicant on time to classes? Yes No

Did applicant stay for whole classes? Yes No

Check all that apply:

I. Participation

- Positive participation
- Limited participation
- No participation
- Over participated – did not allow others to answer questions, monopolizing the class

II. Attention During Class

- Attentive during class
- Limited attention during class – read other materials, used cell phone, took multiple breaks, slept
- Asked thoughtful questions
- Repeatedly asked questions off topic

III. Behavior

- Demonstrated positive social/interpersonal skills
- Demonstrated poor social/interpersonal skills
- Disrespectful to instructor(s)
- Disrespectful to other classmates
- Argumentative during class
- Demonstrated out of the ordinary behavior during class – describe:

IV. Understanding of Materials/Information

- Good understanding of class materials/information
- Does not seem to understand materials/information
- Lacks understanding of application process
- Seems to have language barrier – unsure if able to understand class

V. Parenting Skills/Judgment

- Demonstrated good parenting knowledge/skills
- Concern(s) about parenting skills/ability
- Applicant's own discipline practice/philosophy conflicts with agency philosophy/policy
- Demonstrated good common sense
- Poor boundaries observed

VI. New Information Obtained

- Family history issue(s) identified
- Physical plant issue(s) identified
- Financial concern(s) identified
- Applicant disclosed other new concerning information:

VII. Other

- Unrealistic expectations
- Applicant is not in agreement with agency rule/policy – explain:

Resource Parent Interim Evaluation Form

**DCFS RFA TRAINING
INTERIM EVALUATION FORM**

Class Location: _____

Trainer(s): _____

Date of Class(es): _____ Module# _____

Training Session Topic(s): _____

Applicant Name: _____

Was applicant on time to class? Yes No

Did applicant stay for whole class? Yes No

During training, did any of the following areas stand out relative to the prospective applicant?

Participation/Attention During Class/Behavior:

Understanding of Materials/Information:

Parenting Skills/Judgment:

Other Comments:

Follow-up needed:

Trainer Signature: _____ Date: _____

RFA TRAINING EVALUATION (Page 1 of 2)

Class Location and dates: _____

Pre-Approval _____ Pre-Placement _____

This is an anonymous form used to assess this training, and to improve future trainings.

USING THE SCALE BELOW, PLEASE CHECK THE BOX NEXT TO THE NUMBER WHICH CORRESPONDS TO YOUR EVALUATION OF THIS TRAINING.

Please select the rating for each section based on the following criteria:

5=excellent 4=good 3=average 2=fair 1=poor

Please rate the training on the following:

- 1. The content was well developed and easy to follow. 5 4 3 2 1
- 2. The content met my needs as a prospective Resource Parent. 5 4 3 2 1
- 3. The training promoted and facilitated discussions of culture. 5 4 3 2 1
- 4. Rate your understanding of becoming a prospective Resource Parent. 5 4 3 2 1

Your comments on this training (Optional): _____

RFA TRAINER EVALUATION (Page 2 of 2)

For each trainer, please select the rating for each section based on the following criteria:

5=excellent 4=good 3=average 2=fair 1=poor

Please rate (RFA Trainer) _____ on the following:

- | | | | | | |
|--|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| 1. Knew and understood the curriculum. | <input type="checkbox"/> 5 | <input type="checkbox"/> 4 | <input type="checkbox"/> 3 | <input type="checkbox"/> 2 | <input type="checkbox"/> 1 |
| 2. Related to the group, answered questions, and responded to concerns. | <input type="checkbox"/> 5 | <input type="checkbox"/> 4 | <input type="checkbox"/> 3 | <input type="checkbox"/> 2 | <input type="checkbox"/> 1 |
| 3. Helped participants relate to material. | <input type="checkbox"/> 5 | <input type="checkbox"/> 4 | <input type="checkbox"/> 3 | <input type="checkbox"/> 2 | <input type="checkbox"/> 1 |
| 4. Had knowledge of child welfare practice and applied concepts to becoming a prospective Resource Parent. | <input type="checkbox"/> 5 | <input type="checkbox"/> 4 | <input type="checkbox"/> 3 | <input type="checkbox"/> 2 | <input type="checkbox"/> 1 |
| 5. Used methods of presentations to meet class needs.
(i.e. lecture, discussion, exercises, audiovisuals, and appropriate handouts) | <input type="checkbox"/> 5 | <input type="checkbox"/> 4 | <input type="checkbox"/> 3 | <input type="checkbox"/> 2 | <input type="checkbox"/> 1 |

Your comments on this trainer (Optional): _____

Please rate (Resource Parent Trainer) _____ on the following:

- | | | | | | |
|--|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| 1. Knew and understood the curriculum. | <input type="checkbox"/> 5 | <input type="checkbox"/> 4 | <input type="checkbox"/> 3 | <input type="checkbox"/> 2 | <input type="checkbox"/> 1 |
| 2. Related to the group, answered questions, and responded to concerns. | <input type="checkbox"/> 5 | <input type="checkbox"/> 4 | <input type="checkbox"/> 3 | <input type="checkbox"/> 2 | <input type="checkbox"/> 1 |
| 3. Helped participants relate to material. | <input type="checkbox"/> 5 | <input type="checkbox"/> 4 | <input type="checkbox"/> 3 | <input type="checkbox"/> 2 | <input type="checkbox"/> 1 |
| 4. Had knowledge of child welfare practice and applied concepts to becoming a prospective Resource Parent. | <input type="checkbox"/> 5 | <input type="checkbox"/> 4 | <input type="checkbox"/> 3 | <input type="checkbox"/> 2 | <input type="checkbox"/> 1 |
| 5. Used methods of presentations to meet class needs.
(i.e. lecture, discussion, exercises, audiovisuals, and appropriate handouts) | <input type="checkbox"/> 5 | <input type="checkbox"/> 4 | <input type="checkbox"/> 3 | <input type="checkbox"/> 2 | <input type="checkbox"/> 1 |

Your comments on this trainer (Optional): _____

*Los Angeles County
Shared
Child Welfare/
Mental Health
Core Practice Model
September 2012*



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Overview: Why a Core Practice Model is Important

As part of our enhanced collaborative efforts to improve the quality of child welfare and mental health services, the Los Angeles County Departments of Children and Family Services (DCFS) and Mental Health (DMH) have come to an understanding

that we need to develop and implement a shared and consistent approach to working with families and children/youth. The two Departments have committed to a shared Core Practice Model (CPM), which requires substantive systemic and practice changes, to integrate services, supports and trauma responsive approaches. A fusion of practice principles from child welfare and mental health is required to ensure that the underlying needs of children, youth and their families are identified and that individualized, intensive home and community-based services and both formal and informal supports are identified to meet the child's/youth's individualized needs. The method to meet those needs is focused on building child/youth, parent, relative and foster family strengths so they might grow together in safety, with health and life-long support and in life-long families.¹ Thus, the identified team members, formal and informal, can participate and contribute in identifying the child/youth and family's strengths, underlying needs and define their role in the child and family team to meet the child's/youth's needs (The Child Welfare Policy Practice and Group, Montgomery, Alabama).

This CPM is the articulation of the "best thinking" from the Departments' shared values, principles, and vision for practice in Los Angeles County. The blending of practice principles from child welfare and children's mental health is organized around four elements from a system of care approach: **Family Strengths/Child Needs-Based Approach** is when services are driven by the needs of the child/youth and family and are addressed through a partnered strengths-based and trauma responsive approach. **Multi-Agency Collaboration in the Community** implies that the locus and management of services should occur in a multi-agency collaborative team and are grounded in a strong community base. **Teaming** involves building and strengthening the child/youth and family support system, whose members meet, communicate, plan together, and coordinate their efforts to address critical issues/needs. **Cultural Responsiveness** ensures that the supports/services offered, the agencies and family supports participating, and programs generated are responsive to cultural context and characteristics of the family, and their possible experience and exposure to trauma is subjective and individualized.

The target population entering through Los Angeles County Child Welfare and Mental Health systems have often experienced acute, chronic and complex trauma. There are a variety of traumatic categories including Acute Traumatic Stress Post Traumatic Stress, Trauma Grief and Developmental (Complex) Trauma. As a result, children, youth and families experience a variety of functional, social, and emotional problems and trauma responses. These children/youth and families may be organizing their lives around reliving and warding off traumatic memories, reminders and affects. Oftentimes, these behaviors are labeled in an unfavorable manner by our departments. Therefore, creating a "trauma lens" is imperative to understanding and translating these behaviors and symptoms into underlying needs specifically related to trauma.

¹ Los Angeles County Vision and Practice Principles, 2008

The purpose of an effective CPM is to define how practice in DCFS and DMH will be effective to both engage families, and identify the activities and supports that will guide our practice in partnership with communities and families.² We identified a CPM which focuses on outcomes for families, rather than compliance, and guides practice to achieve the three **Adoption Safe Family Act** outcomes - Safety, Permanence and Child and Family Well-being.³

Our practice model defines organizational excellence, operationalizes standards of practice, and identifies ways in which best practices can help the field understand and address the root causes of disparities and maltreatment that exists in child/youth and family outcomes. It is one of three fundamental change efforts that will move us forward to improve the quality of services provided by both Departments and their contracted service providers, and will enhance our collaboration with families, community partners, and other stakeholders. It will become "the way we work in partnership with children/youth and families." The objective of the CPM is to promote consistent best practices that are guided by values and principles, therefore, increasing the likelihood of positive outcomes for children, youth, families and our community.⁴ The other two fundamental elements of this practice improvement adaptation are the development and delivery of Coaching and Mentoring Skills Enhancement and the creation of our Quality Services Review (QSR) process, which will measure the effectiveness of how we implement the CPM.

Practice models that are anchored by principles of engagement, teaming, assessment and individualized planning are based on best practices principles that have been in use for decades. Outcomes for children improve when these practices are employed as performance expectations supported by training, coaching and supportive system realignment. It is the Child Welfare Group's experience that where such practice models are implemented with fidelity system-wide, there are improvements in outcomes. The Shared Core Practice Model also mirrors the Wraparound practice model, which has a documented record of improving outcomes.

Paul Vincent, Lead, Katie A. Advisory Panel

There is abundant "practice based evidence" that family-centered, strengths-based, solution-focused, outcome-oriented, team-driven practice works in frontline case practice. At this point, major randomized control group studies have not been conducted to "prove it" -- and may never be conducted because a child welfare agency cannot put kids and families in urgent need in a randomized control group.

Dr. Ray Foster, Consultant, Human Systems and Outcomes

² Positioning Public Child Welfare Guidance, 2009

³ Portfolio and Training Guide for New CSWs, 2005

⁴ Blueprints Center for the Study and Prevention of Violence website:
<http://www.colorado.edu/cspv/blueprints/>

The Core Practice Model

The CPM encompasses the child welfare services mission and vision for best practice and goals (as well as the DCFS' practice approach toward meeting these goals) and guiding principles developed in partnership with DMH. The model delineates the continuous set of activities performed by DCFS staff, mental health practitioners and county contracted providers in the five key practice domains: *Engaging, Teaming, Assessing, Planning & Implementing, and Tracking & Adapting*, while relying on common knowledge from the legal and professional foundations of child welfare practice and a trauma responsive approach. In addition, the CPM incorporates a new concept known as the *Long Term View*. The long term view is the degree to which there are stated, shared and understood safety, well-being and permanency outcomes and functional life goals for the child/youth and family. These goals should clearly specify the protective capacities, desired behavior changes, sustainable supports and other accomplishments necessary for safe case closure. In a broad sense, having a *long term view* of a better life enables the child/youth and family and those helping them to see both the next step forward and the end-point on the horizon-thus providing a clear vision of the path ahead⁵.

The CPM and the QSR are designed to co-evolve together as the practice model emerges and unfolds over time. As the standard of practice advances, the QSR will be refined to reflect these advancements. Future core competencies and practices will include trauma-responsive approaches, healing supports, the inclusion of best and promising treatment practices that will address child/youth developmental stages to ensure appropriate interventions are made when addressing the child or youth's unmet needs.⁶ The CPM provides a *conceptual foundation* for the practice indicators contained in the QSR protocol. The values, principles and functionalities of processes considered to be *best practice* provide the basis for the practice indicators contained in the QSR protocol.⁷

Vision for Shared Practice

- Children/Youth are first and foremost protected from abuse and neglect and maintained safely in their own homes.
- Services and supports build on the strengths and power of the child/youth and family.
- Services and supports are individualized and tailored to address the unmet needs of each child/youth, family and caregiver.

⁵ Human Systems and Outcomes, Inc., 2010

⁶ Trauma Among Youth in the Juvenile Justice System, 2007

⁷ Leading Practice Developer – Human Systems and Outcomes, Inc., 2010

- Services are delivered in a fair and equitable manner through a multi-agency collaborative approach that is grounded in a strong community base.
- Family voice, choice, access and preference are assured throughout the process.
- Services incorporate a blend of formal and informal resources as the family's circle of support designed to assist them with successful transitions that ensure long-term success.
- Services are culturally responsive and respectful of diversity, including individual beliefs and values.
- Services are trauma responsiveness and respectful of the broad range of children's/youth's potential reactions to trauma and loss.
- Services and supports are provided in the child's/youth's and family's community.
- Children/Youth have permanency and stability in their living situations.
- Honesty, transparency and trust guide the work with children/youth and families.

We believe that the implementation of this CPM will over time, safely reduce the number of children/youth entering the child welfare system as well as improve the care and outcomes of those that do. It will require strong teamwork between departments, with the children/youth and families entering the child welfare system, caregivers, contracted agencies, as well as, our community, and a willingness to look at institutional biases and personal values that may interfere with effectively serving families. Exceptional leadership and staff will need to expand awareness and think critically to guide policy, support effective implementation and identify desired outcomes as part of practice model development. We know that accountability at all levels of service will contribute to successful results.

In addition, ongoing trainings along with coaching and mentoring will assist with enforcing the trauma lens with staff in both the child welfare and mental health systems. Trainings will assist staff in recognizing their own compassion fatigue and vicarious trauma. Leadership and management will consider the level of distress and compassion fatigue that staff feel in working with traumatized children/youth and families and its impact on health and productivity. Management will promote self-care, and build resources to help staff, help themselves. Coaches will help supervisors and staff with translating hunches around the child's/youth's trauma-related and needs into ideas as to how to meet the child's/youth's needs. New meaning will be created about the child/youth and family's trauma history and experience. Psychoeducation regarding trauma related needs will be provided to the child and family team to increase their understanding and explore ideas on how the team can meet the child/youth and family's trauma related needs.

Guiding Principles & Practice Standards

We Believe In...



Child Protection & Safety

Principle: All children and youth have the right to live in a safe environment, free from harm, abuse and neglect.

- Children/youth's physical and emotional safety is vital.
- Infants and children/youth have the right to be part of a safe family both in home and out of home care.
- Children/youth have the right to opportunities that encourage healthy development.
- Children/youth have the right to be free from exploitation.
- Children/youth have the right to an environment that promotes mental and physical health.
- Children/youth have the right to share their experiences with trauma and loss.
- Children/youth have the right to describe factors that increase their sense of safety and protection.

Practice Standard: Children/Youth who are neglected or abused will receive immediate and thorough assessments leading to decisive and immediate action to ensure their sense of safety and increase their protective factors.



Child Focused Practice

Principle: Assessments and case plans that focus on strengths as well as a child's/youth's underlying needs provide the best guide to effective intervention and lasting change.

- **Include children/youth in assessment/case plan development whenever possible and ensure that the relationships, goals and wishes that the child/youth has shared are being supported.**
- **Create individualized assessments/case plans that address the specific underlying needs of the child/youth.**
- **Be aware of the broad range of children's/youth's potential reactions to trauma and loss to complete a competent assessment of the client's strengths, underlying needs and implement effective interventions.**
- **Ensure that we use language that the child/youth understands and frequently check in on communication styles and terms.**
- **Children/Youth in placement will be provided with the support needed to permit them to achieve their educational and vocational potential with the goal of improving their future well being.**
- **Children/Youth will receive adequate and timely medical and mental health care that is responsive to their needs.**
- **Children/youth deserve to be heard and understood.**

Practice Standard: Children/youth will be actively involved in the assessments/case plans that identify strengths, protective capacities, underlying needs as well as risk factors. These comprehensive assessments and case plans will inform all plans and provision of services and supports.



Family Centered Practice

Principle: We recognize that all families have unique strengths and their voice and choice is critical in making decisions about their children's physical and emotional well being and when empowered, can best meet their children's needs.

- Families can and do change.
- Families deserve to be engaged in an honest and respectful manner.
- Families have a right to culturally appropriate services, trauma responsive services, supports and interventions that strengthen their protective capacities, recognize trauma-related symptoms and challenges, reduce risk, and create long lasting change.
- Healthy families are essential to a child's/youth's development and are the primary source of nurturing and protection for the child/youth.
- Families are central partners in assessing their needs, describing their trauma history and experience, exploring solutions, and making recommendations and decisions to support the child's/youth's well-being.
- Utilize a support person (formal or informal) identified by the family to assist them in advocating for their own needs and identifying potential solutions that validates the family's experience.
- A "family" is defined largely by its members, including mothers, fathers, and other significant individuals who may or may not be related to or currently involved in the child's or youth's life.
- Mothers, fathers, family supports, relative caregivers, as well as non-related caregivers, deserve support and respect in their efforts to nurture their children.
- The first choice of placement for children and youth is with family members and siblings; however, when they are not placed with family members or siblings, maintaining those relationships through appropriate visitation in a natural setting remains essential to achieving desired outcomes.

Practice Standard: Plans, services, and supports will be strength-based, needs-driven, and individualized. Plans and

services will build on the family's existing strengths in order to meet identified needs, reduce risk and increase protective capacities. Siblings will be placed together; when this is not possible or appropriate, siblings will have frequent visitation opportunities.



Strengthening Child & Family Well-Being and Self Sufficiency

Principle: To strengthen Child/Youth and Family well-being, plans, services, and supports must build upon family strengths. They must be individualized, well planned and include well-matched community resources and partners for both families and care givers.

- Engaging families in a respectful and honest manner promotes participation that focuses on and supports strengths.
- Families deserve every opportunity to have their culture and unique strengths affirmed as they become key players in developing goals related to safety, permanence, and well being.
- Effectively working with the family requires understanding and applying relevant information to casework and decision-making processes using the family's cultural lens and trauma responsive lens.
- Strengths emerge from establishing trust and from building partnerships among the family, community, providers, and the public child welfare system.
- Continually assess, arrange and structure culturally appropriate activities in the most natural environment possible that supports the child/youth and parent/child relationship.

Practice Standard: Planning and implementation of the plan will be designed to achieve the goals of safety, permanency, and well being. Plans will specify steps to be taken by each member of the child and family team, timeframes for accomplishment of goals and concrete actions for mentoring progress.



Community-Based Partnerships

Principle: Children/Youth and Families involved with community-based formal and informal supports sustain family strengths and increase the safety of children and youth.

- Families deserve coordinated services in which all the agencies working with them share similar values and team with one another to provide a seamless array of services.
- Work with families includes partnering with natural neighborhood supports, schools, faith-based and other cultural community supports that the family identifies.
- Identifying and strengthening formal and informal supports empowers children/youth and families.
- Community partnerships need to include access to adequate resources for caregivers and families.
- Service providers and community resources are responsible for being a part of the family team and should reflect the diverse needs of the children/youth and families we serve.
- Service providers and community partners need to provide services using a cultural sensitive and trauma responsive lens reflecting the unique needs of each family.
- Families who maintain a healthy interdependence with extended family, friends, spiritual organizations, cultural and community groups, school, agencies, and the natural environment are more successful at sustaining their strengths and protective capacities allowing them to keep their children in a safe and permanent living situation.
- Exploring, valuing, and connecting families to an array of service supports, cultural practices and traditions, can assist them with their ongoing needs by extending their circle of support.

Practice Standard: Providing services and supports in the communities in which families live make it more likely that these formal/informal supports will be more relevant and accessible to the family

in turn creating long lasting change while at the same time keeping children and youth safe.



Permanent, Lifelong, Loving Families

Principle: All children and youth are entitled to enduring family relationships that provide a sense of stability and belonging, and a sense of self, and will respect the child/youth's past, present and future.

- Ensure that every child/youth has a lifelong, loving, family by partnering with all family members and caregivers to develop and support safe family relationships and multiple paths to permanency.
- Every child/youth and family should have a shared understanding of their safety, well-being and permanency goals and the time frames for achieving safe case closure.
- Consistently and repeatedly partner with the child/youth, birth parents, extended family, caregivers and community supports in solution and outcome focused planning and decision making.
- Reunification is the initial permanency goal, exclusive of extreme circumstances. Reunification should occur as soon as implementation of in-home services can ensure safety, with ongoing services addressing underlying needs and risk factors and their healing process.
- When reunification is not possible, we work to place children and youth with a family member and to achieve legal permanence through adoption or guardianship.
- If family members are unable to provide a permanent home, we work toward adoption by a qualified, licensed pre-adoptive home.
- When we have exhausted all efforts toward legal permanence, we work to ensure each child/youth has a permanent, mutually agreed-upon connection with at least one committed adult who is safe, stable and able to provide love, unconditional commitment, and lifelong guidance and support.

Practice Standard: Life-long connections are critical for children/youth. Permanency planning begins at first contact and we proceed with a sense of urgency until permanency is achieved.



Cultural Responsiveness

Principle: The cultural, ethnic and spiritual roots of the child/youth and family are a valuable part of their identity and provide important strengths, resources and natural supports.

- Families are diverse and have the right to respect for their economic, ethnic, racial, cultural, and religious experiences and traditions regardless of gender, gender-identity, sexual orientation, age, or physical disability.
- Cultural exploration and awareness of the ethnic roots and social context includes the lived experiences of both the family and child/youth.
- Children, families, cultures and subcultures are diverse and they all experience trauma differently regardless of their age, gender-identity, ethnicity, sexual orientation or physical disability. Response to trauma is a unique experience.
- A “Traumatic Event” is defined and experienced differently by everyone: the child/youth, family, caregiver and community.
- Understanding diversity and approaching others without assumptions helps us inquire and learn about the families we are working with and their values, beliefs, culture and traditions.
- We serve children, youth and families in a manner that respects, supports, and strengthens their identities.
- We understand that normal is different for everyone and take necessary steps to understand what “normal” is for the family, culture and community.
- Children and youth in kinship care as well as foster care deserve continuity of their culture and traditions.
- We expand our awareness and understanding of institutional and personal bias.

Practice Standard: The Departments will ensure its staff and community partners understand and serve children/youth and their families within the context of their unique cultural

experiences, which includes beliefs, values, race, ethnicity, history, religion, and language.



Best Practice and Continuous Learning

Principle: The Departments embrace an environment of shared commitment, accountability, continuous listening and learning. Our staff, partners, and the children/youth and families we serve provide a wealth of information to help better serve children/youth and families.

- Staff will stay abreast of and utilize culturally-appropriate, trauma responsive, evidenced-based and promising practices.
- Services will be provided by committed, qualified, trained, and skilled staff that is aware of the cultural factors and trauma factors that impact safety, permanency and well-being.
- Supports and services for children/youth and families are sensitive and responsive to the trauma and loss they may have experienced.
- Staff will be provided with ample opportunities for continuous learning via coaching and in-service trainings.
- Exploring positive growth and change observed in families can assist them in building on their strengths for future growth.
- Child/youth, family and community input are essential to the continuous learning process of the departments and agencies involved.
- Evaluating the quality of services, family, and system level outcomes is a continuous activity that will assist in the improvement of outcomes for children/youth and families.

***Practice Standard:** We will remain committed to changing the current system to reflect our growth as departments providing critical services to children/youth and families in a culturally and trauma responsive manner.*

Core Elements of Practice



Key Activities

Core Elements of Practice

ENGAGING

Engagement is the process of relating with the child/youth, mother, father, extended family, primary caregiver, and other team members for the purpose of building a genuine, trusting and collaborative working relationship. Engagement is based on honesty, unconditional positive regard, and respect for diversity. It involves an inclusive planning and exploration process and ability to understand and work through conflict related to participating in services. Face-to-face meetings, telephone conversations, and letters are all opportunities to encourage and maintain engagement.

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Engagement is an essential part of strength and needs-based practice that is culturally responsive. It involves staff developing positive rapport and effective working relationships with children/youth and families to bring them in as full partners in case planning and goal accomplishment. It also involves using inquiry and mutual exploration with the family to find, locate, and learn about other family members and supportive relationships with children/youth and families within their community. The quality of the relationship is the single most important foundation for engaging the child/youth and family in the process of change. Children/Youth and families are more likely to pursue a plan for course of action that they have a voice and choice in designing. Strategies for engagement may vary, depending on the length of time a case has been open and the permanency plan for the child/youth.⁹

Engagement is not a one-time effort to build rapport at the beginning of a case but an ongoing process of staying delicately in step with a child or youth and his/her family in a cultural/community context throughout their involvement with the child welfare system. It includes:

- Being sensitive to the degree of change observed in families and responding appropriately.
- Periodically incorporating new members, including family members who have not yet participated, into the team.
- Reassessing and adjusting the case plan to reflect changing circumstances and/or needs.
- Good communication, active listening skills, and genuine caring.
- Knowledge of and respect for differences among individuals, families and communities with awareness of cultural contexts.

⁸ DC Child and Family Services Agency, Out-of-Home Practice Model, 2009b

⁹ DC Child and Family Services Agency, Out-of-Home Practice Model, 2009a

- Ability to partner with and appreciate individuals and families in the context of their cultures, including ethnicity, religion, nationality and their unique trauma history.
- Willingness to meet with families in their homes or community-based environments that are safe and inviting, as well as, at a time that is convenient for them.
- The use of clinical judgment in determining whether it is appropriate to engage or re-engage family members, especially in cases involving sexual or physical abuse that resulted in criminal charges.
- Actively pursues and values the perspectives and abilities of families and their communities in all teaming and casework practice.

Core Attitudes and beliefs for successful engagement:

- Openness to authentic communication in which people honestly express themselves and others truly hear them.
- Empathy characterized by understanding and compassion for personal experiences.
- Empathy towards the child/youth's and family's trauma experience and history, and associated factors, when creating a safe and comforting environment for the helping relationship to develop and co-exist.
- Experience in building helping relationships.
- Interpersonal skills that demonstrate genuine interest in and respect and empathy for all children/youth and families and the roles they play in the family.

TEAMING

The Child and Family Team (CFT) is the basis of the Core Practice Model. Every child/youth under DCFS supervision deserves a CFT. Through teaming, the family, the social worker, the clinician, and the other team members have the opportunity to work together in planning, coordinating and decision-making. Decisions with the child/youth and family are more effective when the family is empowered to make decisions. When team members including the child/youth and family have shared ownership in identifying their strengths, unmet needs as well as the interventions that may address these needs, their commitment is more evident. Team members then begin to take responsibility for contributing to the family's outcomes, team members exhibit more effective and functional cooperation as the team works towards addressing safety, permanence, and well-being for the child/youth. In partnership, we will have an understanding of how the child/youth and the family respond to trauma. At its best, teaming embraces family inclusion, supports guidance, and respects diversity of views as well as cultural diversity.¹⁰ The CFT meets and makes decisions

¹⁰ DC Child and Family Services Agency, Out-of-Home Practice Model, 2009d

about what services and supports are needed, how they should be accessed, how to track success of the plan and make individualized adaptations as necessary so that the safety and permanency goals can be achieved. In addition, the team advocates for children/youth and families based on their strengths, resources, and cultural perspectives in order to support them in strengthening their family, meeting their needs, finding their voice, and developing the ability to advocate for themselves. Individual team members can define their role and collectively they can determine how they each will help soothe and meet trauma related needs. Team coordination efforts are essential to addressing the unmet needs of the child/youth and family and it calls for every team member's input, participation and follow through. Clearly defined roles in meeting the child's/youth's unmet trauma related needs are essential in working collaboratively for safe case closure and permanency.

CFTs are useful to gather important information about strengths and needs that contribute to the overall assessment of a family's situation. The team is composed of members who are important to the success of the child/youth and family, both those formally involved and those who provide informal support. The social worker encourages and assists families in building and/or enhancing their own informal circle of support that might include family members, neighbors and friends, and representatives of formal systems, such as schools, counselors, community organizations, domestic violence and mental health care systems, substance abuse prevention and treatment agencies. They also recognize and appreciate the strength and support that a family's community, cultural and other natural relationships can provide, which inspires and insists that the social worker engage not only the family, but the family's entire system of support so that the family can be best served. Social workers coordinate and lead the teaming process of shared decision-making. It is imperative that staff understand and address safety, health, education, culture, spiritual and other family needs through ongoing partnership with family and supportive communities including exploring and responding sensitively to current and historical trauma and loss family members and caregivers may have experienced.

Effective team composition cannot be stagnant; therefore, whenever possible the family should bring in members who are identified as supports to the child/youth and family. The team process needs to evolve as the needs, strengths and strategies of the child/youth and family change. Children/Youth need to have a strong voice in who participates in the team meetings. Youth in foster care who are transitioning to adulthood and are exiting the system tend to be more successful at achieving independence when their strengths are acknowledged and they have an active voice for their own needs. This is due to having established relationships with caring adults who will support them over time.

The needs and vision/long term view of a team meeting determines the participants. Team meetings should occur regularly and especially around changes in placement, goals, team members, or circumstances of the child/youth, or family. Team

meetings are also the vehicle through which regular and ongoing case planning is facilitated. No decisions should be made outside of the team.

ASSESSMENT & UNDERSTANDING

Assessment is essential to addressing the child/youth and family's unmet needs. Assessment begins at the time of engagement. Assessment is a continuous process of gathering and analyzing information that supports sound decision making. The family assessment is an essential part of empowering children/youth and families through the identification of underlying needs, strengths, skills, protective capacities, and motivation for change. We recognize and support the power of individuals and families to speak about their own well-being and self in finding solutions and continuing to grow. We understand that families and children/youth possess strengths they can draw upon to bring about change in their lives. When assessing families, we identify and build up these strengths. Assessments also assist in the process of recognizing that families are partners in decision making as it relates to meeting their needs. Assessments need to be done by the entire family, not just by the social worker and they should explore the underlying causes of child maltreatment or risk of abuse and neglect, and the potential factors that prevent the family from making the necessary changes to keep their children safe. In addition, assessments must include and identify the risk of harm to the children/youth and identify the protective factors of the family. Lastly, assessments should include possible mental health or physical health issues as well as signs of substance abuse, domestic violence and trauma related signs and symptoms.

Effective assessment views the children's/youth's behaviors and symptoms with a trauma response lens. Oftentimes, children/youth experiencing fear, powerlessness, helplessness may be withdrawn or exhibiting aggressive behaviors as a response to their trauma history. The trauma lens will help understand the children's, adolescences' and families' unique response and experience with trauma, therefore, translating the exhibited behaviors to the underlying need behind the behavior.

Effective assessments support team members' decision making as well as lead to crucial understanding of the dynamics of child maltreatment. They also provide and inform the choice of intervention strategies and supports available to the child/youth and family to help the child/youth and family make lasting changes that lead to desired outcomes.

A family assessment identifies and evaluates the current level of family functioning, the current risk to the children/youth of abuse/neglect, the family's protective factors, and the family's strengths and service needs. It is a comprehensive analysis that consists of gathering information about the family from a number of different sources to develop a clear sense of immediate needs that the child/youth and family may be facing. An assessment is necessary for the team to gain a greater

understanding of a family's current and past situation, culture, family dynamics, underlying needs, strengths, protective factors and concerns from all team members' points of view. Finally, the family strengths and needs assessment aids in identifying the most appropriate services to help families overcome the challenges they are experiencing. The family is always at the center and their input must be included in the assessment process.¹¹

PLANNING & INTERVENTION

Case planning is a process that involves developing a road map for moving the child/youth to permanency promptly while also addressing his/her safety and well-being. Effective planning requires the team to support the family in staying focused on key concerns and establishes clear linkages between the identified needs, desired changes, and how family strengths can be used to reach the plan's goals and strengthen the family's protective capacities. Based on the strengths, resources, and perspectives of the families and supportive communities, the team identifies, locates, advocates for and supports healing practices and traditions to address trauma, loss, behavioral health recovery, child safety and other child/youth and family needs. Goals need to be behaviorally specific, culturally appropriate, realistic to the healing process,, time-limited, measurable, and understood by all involved in the planning process.

Planning and intervention require the utilization of a strength-based, solution-focused approach, in which the child/youth and/or family define and monitor goals for them to achieve timely permanency. Case Planning is a dynamic process in which professionals use the strength of their relationships to identify the following:

- Child/youth and family's unique strengths and underlying needs
- Psychological stressors
- Formal and informal supports
- Placement considerations
- Timeliness of services and interventions to be provided
- Quality, frequency and setting of visits between parents and children/youth as well as child sibling visits
- Coping skills for each child/youth and family
- Protective factors of family members to ensure safety and reduce risk

It is critical for the child/youth and family to be consistently involved in case planning. **Case planning involves child welfare practitioners, service providers, and the family's natural supports to work together with the family to design strategies that assist the parent and child/youth in making successful life changes that lead to permanency.** Case plans consist of:

¹¹ DC Child and Family Services Agency, Out-of-Home Practice Model, 2009e

- Child/youth and family strengths and needs, as outlined in the Structured Decision Making (SDM) tools.
- Overarching goals with specific, measurable, achievable, relevant, time-sensitive objectives and steps that support the goals.
- Placement specifications that include, type and length of placement, child/youth and family's preference for placement, as well as identification of friends and extended family members as possible placement options.
- An outline of specific interventions to address unresolved trauma-related needs, risk, safety, and well being and to achieve timely permanence.
- Progress toward achievement of goals and addressing the underlying needs since development of the previous case plan (if applicable).
- A schedule outlining the frequency, quality and location for parent-child and child-siblings visits. Visitation should strengthen the parent-child relationship in a way that supports reunification. It should also take into account trauma history, cultural and community settings whenever possible so that visits occur in natural and safe settings.

TRACKING & ADAPTING

Tracking and adapting is a method to ensure the team's plan is implemented with the necessary people, intensity, and quality and to determine whether services and supports are meeting the needs identified in the plan. This is critical for accountability and achieving the desired outcomes of safety, permanency, and well-being. Day-to-day practice with families and planning for children/youth will include:

- Making decisions and planning based on concerns about the child's/youth's health, trauma history, risk, safety, permanency, and well-being.
- Utilizing teaming and other processes to review the child/youth and family's status, service progress, appropriateness of permanency goals, effectiveness of interventions and supports aimed to assist in the achievement of goals and met needs of the child/youth and family.
- Assure that children/youth are receiving trauma responsive services and/or treatment if they have experienced traumatic experiences and traumatic stress symptoms.
- Verifying that the service plan maintains relevance, integrity, and appropriateness.

- Monitoring that essential needs are being met; goals related to safety, risk of harm and permanency have been achieved.
- Ensuring that plans are modified as goals are met and circumstances change for the family and the child/youth.
- Providing continuous or frequent monitoring, tracking, and communication of the child/youth and family status and service results to the team.
- Ensuring that timely and thoughtful adjustments are made so that achievement of permanency for the child/youth is achieved with little to no interruption.

Every assessment and decision is a product of the work of the social worker and family, and in many cases, inclusive of the collaborative work of both within the context of the family team. A successful plan will meet an identified need, not complete a checklist of services. If supports and services do not appear to meet important identified needs, the team is responsible for refining the plan in a timely manner. Tracking and adapting is an important process for the following reasons:¹²

- The team needs to know what they are doing well, as well as what needs to improve or be done differently.
- Sometimes services or referrals are not meeting the targeted needs and we need to adapt and revise our plans in order to reach permanency in a timely manner.
- Sometimes team members may agree to do something in a meeting that is not realistic for them.
- To reduce the length of time that children/youth stay in care.
- The team needs to ensure that there is follow-through with commitments.
- When little to no progress is being made, it is imperative that the team addresses the possibility of lack of motivation and hope of the child/youth and/or family. Often this leads to children/youth acting out and/or families detaching which has significant and dire consequences for permanency.
- Ongoing understanding of the child/youth and family's unique trauma experience will help the team know how to intervene accordingly.

¹² Community Partnerships for Protecting Children, Community Partnerships Offer a Means for Changing Frontline Child Welfare Practice, 2005

References

Blueprints Center for the Study and Prevention of Violence website:

<http://www.colorado.edu/cspv/blueprints/>

California Child Welfare Co-Investment Partnership:

<http://reducefostercarenow.org/>

CAPP Child and Family Practice Model: www.reducefostercarenow.org

Community Partnerships for Protecting Children, (2005). *Community Partnerships Offers a*

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DC Child and Family Services Agency, (2009abcdef). *Child & Family Services Out-of-Home*

Practice Model.

Human Systems and Outcomes, Inc. (HSO) website:

www.humansystemsandoutcomes.com

Improving Child Welfare Practice in Los Angeles County, (2010). *Implementing Point of*

Engagement and Other Title IV-E Waiver Strategies, Executive Summary.

Katie A. Strategic Plan for FY 2008-13, (2008), *Incorporating ASFA, Katie A. Settlement, and DCFS*

Executive Team feedback.

Leading Practice Developer (2010). Human Systems and Outcomes, Inc.

National Center for Mental Health and Juvenile Justice, (2007). *Trauma Among Youth in the*

Juvenile Justice System: Critical Issues and New Directions.

Portfolio and Training Guide for New CSWs, (2005), *The Community Partnership Practice Model*

PPCWG, Positioning Public Child Welfare Guidance, American Public Human Services

Association (2009ab), *Strengthening Families in the 21st Century, Practice Model Guidance.*

The Child Welfare Policy & Practice Group, (2006), *Adopting a Child Welfare Practice Framework*.

The Oklahoma Department of Human Services Child Welfare Practice Model Guide, (2008).

For OKDHS Staff, Community Partners and the Courts.

The Child Welfare Policy & Practice Group, *Child and Family Preparation*,
Montgomery, Alabama

CII

Invisible Wounds: The Impact of Trauma on Young Children (2012). Presenter Tena Sloan, LMFT at SA7 0-5 Collaborative Speaker Series.

training@tenasloan.com

Vermont Department of Mental Health

The National Child Traumatic Stress Network, Core Curriculum on Childhood Trauma: *The 12 Core Concepts for Understanding Traumatic Stress Responses in Childhood*

Trauma and Parenting; ACS-NYU Children's Trauma Institute

**USER COMPLAINT REPORT
RFA TRAINING**

This form is to be used by DCFS users of RFA TRAINING to report service discrepancies and/or failure to provide training as specified. This User Complaint Report must be delivered immediately to the County Program Manager for this Contract.

E-mail Address: _____

Date of Report: _____

DCFS User Name: _____

DCFS _____

Office Address: _____

Phone No. _____ E-mail Address: _____

Date(s) of Incident(s): _____

Below, please check the appropriate boxes and explain each incident separately:

- Contractor’s Program Director is not responding to messages.
- Contractor’s staff not available or not responding to messages.
- Contractor making staff changes without notification to the County.
- Illegal or inappropriate behavior by Contractor’s staff.
- Contractor not submitting reports or maintaining records as required.
- Contractor not complying with the quality assurance requirements as specified in the Contract.
- Other (describe):

To report an urgent/serious problem, call County Program Manager at: (323) 720-3601

Send UCR to RFA TRAINING County Program Manager, 5555 Ferguson Drive. Suite 210, Commerce, CA 90022 and a copy to Contracts Compliance Section, 3530 Wilshire Blvd., 5th Floor, Los Angeles, CA 90010.

APPENDIX D

REQUIRED FORMS

FOR

REQUEST FOR PROPOSALS

EXHIBITS

BUSINESS FORMS

- 1 Proposer's Organization Questionnaire/Affidavit and CBE Information
- 2 Prospective Contractor References
- 3 Prospective Contractor List of Contracts
- 4 Prospective Contractor List of Terminated Contracts
- 5 Certification of No Conflict of Interest
- 6 Familiarity with the County Lobbyist Ordinance Certification
- 7 Request for Preference Consideration
- 8 Proposer's EEO Certification
- 9 Attestation of Willingness to Consider GAIN/GROW Participants
- 10 Contractor Employee Jury Service Program Certification Form and Application for Exception

COST FORMS

- 11 Certification of Independent Price Determination and Acknowledgement of RFP Restrictions
- 12 Pricing Schedule
- 13 Line Item Budget and Narrative

CERTIFICATIONS

- 14 Charitable Contributions Certification
- 15 Certification of Compliance with the County's Defaulted Property Tax Reduction Program
- 16 Zero Tolerance Policy on Human Trafficking Certification
- 17 Proposer's Compliance with Encryption Requirements
- 18 Compliance with Fair Chance Employment Hiring Practices Certification
- 19 Business Structure Registration Documents (Insert)
- 20 List of Current Members of Board of Directors/Other Agencies
- 21 Board of Director's Resolution (Insert)
- 22 Proposer's List of Business Partners or Associates
- 23 Proposer's Certification of Ownership and Financial Interest
- 24 Proposer's Involvement in Litigation and Contract Compliance Difficulties
- 25 Organization Chart (Insert)
- 26 Organizational Licenses, Permits and Certification (Insert)
- 27 Proof of Insurability (Insert)
- 28 Revenue Disclosure (Non-Public Proposer)
- 29 List of Proposer's Commitments

- 30 Audited Financial Statements (Insert)
- 31 Contractor's Certification of Compliance with Child, Spousal, and Family Support Orders
- 32 Contractor's Certification of Compliance with all Federal and State Employment Reporting Requirements
- 33 Federal Debarment Certification
- 34 Proposer's Truth and Warranty Certification
- 35 Acknowledgement of RFP Restrictions
- 36 Offer to Perform and Acceptance of Terms and Conditions

REQUIRED FORMS – EXHIBIT 1

PROPOSER'S ORGANIZATION QUESTIONNAIRE/AFFIDAVIT AND CBE INFORMATION

Please complete, sign and date this form. The person signing the form must be authorized to sign on behalf of the Proposer and to bind the applicant in a Contract.

1. Is your firm a corporation or limited liability company (LLC)? **Yes** **No**

If yes, complete:

Legal Name (found in Articles of Incorporation) _____

State _____ Year Inc. _____

2. If your firm is a limited partnership or a sole proprietorship, state the name of the proprietor or managing partner:

3. Is your firm doing business under one or more DBA's? **Yes** **No**

If yes, complete:

Name	County of Registration	Year became DBA
-------------	-------------------------------	------------------------

_____	_____	_____
-------	-------	-------

_____	_____	_____
-------	-------	-------

4. Is your firm wholly/majority owned by, or a subsidiary of another firm? **Yes** **No**

If yes, complete:

Name of parent firm: _____

State of incorporation or registration of parent firm: _____

5. Has your firm done business as other names within last five (5) years? **Yes** **No**

If yes, complete:

Name _____ Year of Name Change _____

Name _____ Year of Name Change _____

6. Is your firm involved in any pending acquisition or mergers, including the associated company name?

Yes **No** If yes, provide information:

Proposer acknowledges and certifies that firm meets and will comply with the Proposer's Minimum Qualifications as stated in Section 3.0, of this Request for Proposal, as listed below.

Check the appropriate boxes:

- Yes** **No** Proposer must have at least three years' full time experience within the last five years, developing, implementing, and providing adult/parent education and training services with a specific focus on child care, parenting, child welfare, education, child/youth development or a related field equivalent or similar to the services identified in Appendix B, Statement of Work.

- Yes** **No** Proposer must state their willingness to establish an administrative office or branch office in Los Angeles County by the effective date of the Contract

- Yes** **No** Proposer must be registered to do business with the office of the California Secretary of State. A certified original copy of the Statement of Information shall be included in the submission of the proposal.

- Yes** **No** Proposer and its principals must not be currently debarred, suspended, proposed for debarment, or declared ineligible for the award of the contract by any Federal, State or County agency. Proposer must be found clear from County, State and Federal contractor's debarred listings unless an exception has been authorized by State and Federal regulations.

- Yes** **No** Proposer does not have unresolved questioned cost, as identified by the Auditor-Controller, in an amount over \$100,000.00, that are confirmed to be disallowed costs by the County department, and remain unpaid for a period of six months or more from the date of disallowance, unless such disallowed costs are the subject of current good faith negotiations to resolve the disallowed costs, in the opinion of the County.

I. FIRM/ORGANIZATION INFORMATION: The information requested below is for statistical purposes only. On final analysis and consideration of award, contractor/vendor will be selected without regard to race/ethnicity, color, religion, sex, national origin, age, sexual orientation or disability.

Business Structure: <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> Non-Profit <input type="checkbox"/> Franchise <input type="checkbox"/> Other (Specify) _____						
Total Number of Employees (including owners):						
Race/Ethnic Composition of Firm. Distribute the above total number of individuals into the following categories:						
Race/Ethnic Composition	Owners/Partners/ Associate Partners		Managers		Staff	
	Male	Female	Male	Female	Male	Female
Black/African American						
Hispanic/Latino						
Asian or Pacific Islander						
American Indian						
Filipino						
White						

II. PERCENTAGE OF OWNERSHIP IN FIRM: Please indicate by percentage (%) how ownership of the firm is distributed.

	Black/African American	Hispanic/Latino	Asian or Pacific Islander	American Indian	Filipino	White
Men	%	%	%	%	%	%
Women	%	%	%	%	%	%

III. CERTIFICATION AS MINORITY, WOMEN, DISADVANTAGED, AND DISABLED VETERAN BUSINESS ENTERPRISES: If your firm is currently certified as a minority, women, disadvantaged or disabled veteran owned business enterprise by a public agency, complete the following and attach a copy of your proof of certification. (Use back of form, if necessary.)

Agency Name	Minority	Women	Disadvantaged	Disabled Veteran	Other

Proposer further acknowledges that if any false, misleading, incomplete, or deceptively unresponsive statements in connection with this proposal are made, the proposal may be rejected. The evaluation and determination in this area shall be at the Director's sole judgment and his/her judgment shall be final.

DECLARATION: I DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE ABOVE INFORMATION IS TRUE AND ACCURATE.

PROPOSER NAME:		COUNTY WEBVEN NUMBER:	
ADDRESS:			
PHONE NUMBER:		E-MAIL:	
INTERNAL REVENUE SERVICE EMPLOYER IDENTIFICATION NUMBER:		CALIFORNIA BUSINESS LICENSE NUMBER:	
PROPOSER OFFICIAL NAME AND TITLE (PRINT):			
SIGNATURE		DATE	

REQUIRED FORMS – EXHIBIT 2
PROSPECTIVE CONTRACTOR REFERENCES

Contractor's Name: _____

List five (5) References where the same or similar scope of services were provided in order to meet the Minimum Requirements stated in this Solicitation.

1. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.	# of Years / Term of Contract		Type of Service	Dollar Amt.
2. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.	# of Years / Term of Contract		Type of Service	Dollar Amt.
3. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.	# of Years / Term of Contract		Type of Service	Dollar Amt.
4. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.	# of Years / Term of Contract		Type of Service	Dollar Amt.
5. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.	# of Years / Term of Contract		Type of Service	Dollar Amt.

REQUIRED FORMS – EXHIBIT 3
PROSPECTIVE CONTRACTOR LIST OF CONTRACTS

Contractor's Name: _____

List of all public entities for which the Contractor has provided service within the last three (3) years. Use additional sheets if necessary.

1. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.	# of Years / Term of Contract		Type of Service	Dollar Amt.
2. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.	# of Years / Term of Contract		Type of Service	Dollar Amt.
3. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.	# of Years / Term of Contract		Type of Service	Dollar Amt.
4. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.	# of Years / Term of Contract		Type of Service	Dollar Amt.
5. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.	# of Years / Term of Contract		Type of Service	Dollar Amt.

REQUIRED FORMS – EXHIBIT 4
PROSPECTIVE CONTRACTOR LIST OF TERMINATED CONTRACTS

Contractor's Name: _____

List of all contracts that have been terminated within the past three (3) years.

1. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.		Reason for Termination:		
2. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.		Reason for Termination:		
3. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.		Reason for Termination:		
4. Name of Firm	Address of Firm	Contact Person	Telephone # ()	Fax # ()
Name or Contract No.		Reason for Termination:		

REQUIRED FORMS – EXHIBIT 5
CERTIFICATION OF NO CONFLICT OF INTEREST

The Los Angeles County Code, Section 2.180.010, provides as follows:

CONTRACTS PROHIBITED

Notwithstanding any other section of this Code, the County shall not contract with, and shall reject any proposals submitted by, the persons or entities specified below, unless the Board of Supervisors finds that special circumstances exist which justify the approval of such contract:

1. Employees of the County or of public agencies for which the Board of Supervisors is the governing body;
2. Profit-making firms or businesses in which employees described in number 1 serve as officers, principals, partners, or major shareholders;
3. Persons who, within the immediately preceding 12 months, came within the provisions of number 1, and who:
 - a. Were employed in positions of substantial responsibility in the area of service to be performed by the contract; or
 - b. Participated in any way in developing the contract or its service specifications; and
4. Profit-making firms or businesses in which the former employees, described in number 3, serve as officers, principals, partners, or major shareholders.

Contracts submitted to the Board of Supervisors for approval or ratification shall be accompanied by an assurance by the submitting department, district or agency that the provisions of this section have not been violated.

Proposer Name

Proposer Official Title

Official's Signature

REQUIRED FORMS – EXHIBIT 6

**FAMILIARITY WITH THE COUNTY
LOBBYIST ORDINANCE CERTIFICATION**

The Proposer certifies that:

- 1) It is familiar with the terms of the County of Los Angeles Lobbyist Ordinance, Los Angeles Code Chapter 2.160;
- 2) That all persons acting on behalf of the Proposer organization have and will comply with it during the proposal process; and
- 3) It is not on the County's Executive Office's List of Terminated Registered Lobbyists.

Signature: _____ Date: _____

REQUIRED FORMS – EXHIBIT 7

Use this form for County Solicitations **Not** subject to the Federal Restriction

REQUEST FOR PREFERENCE CONSIDERATION

INSTRUCTIONS: Businesses requesting preference consideration must complete and return this form for proper consideration of the proposal. Businesses may request consideration for one or more preference programs. Check all certifications that apply. *

I MEET ALL OF THE REQUIREMENTS AND REQUEST THIS PROPOSAL BE CONSIDERED FOR THE PREFERENCE PROGRAM(S) SELECTED BELOW. A COPY OF THE CERTIFICATION LETTER ISSUED BY THE DEPARTMENT OF CONSUMER AND BUSINESS AFFAIRS (DCBA) IS ATTACHED.

Request for Local Small Business Enterprise (LSBE) Program Preference

- Certified by the State of California as a small business and has had its principal place of business located in Los Angeles County for at least one (1) year; **or**
- Certified as a LSBE with other certifying agencies under DCBA's inclusion policy that has its principal place of business located in Los Angeles County and has revenues and employee size that meet the State's Department of General Services requirements; **and**
- Certified as a LSBE by the DCBA.

Request for Social Enterprise (SE) Program Preference

- A business that has been in operation for at least one year providing transitional or permanent employment to a Transitional Workforce or providing social, environmental and/or human justice services; **and**
- Certified as a SE business by the DCBA.

Request for Disabled Veterans Business Enterprise (DVBE) Program Preference

- Certified by the State of California, **or**
- Certified by U.S. Department of Veterans Affairs as a DVBE; **or**
- Certified as a DVBE with other certifying agencies under DCBA's inclusion policy that meets the criteria set forth by: The State of California as a DVBE or is verified as a service-disabled veteran-owned small business by the Veterans Administration: **and**
- Certified as a DVBE by the DCBA.

***BUSINESS UNDERSTANDS THAT ONLY ONE OF THE ABOVE PREFERENCES WILL APPLY. IN NO INSTANCE SHALL ANY OF THE ABOVE LISTED PREFERENCE PROGRAMS PRICE OR SCORING PREFERENCE BE COMBINED WITH ANY OTHER COUNTY PROGRAM TO EXCEED FIFTEEN PERCENT (15%) IN RESPONSE TO ANY COUNTY SOLICITATION.**

DECLARATION: I DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE ABOVE INFORMATION IS TRUE AND ACCURATE.

DCBA certification is attached.

Name of Firm	County WebVen No.		
Print Name:	Title:		
Signature:	Date:		
Reviewer's Signature	Approved	Disapproved	Date

REQUIRED FORMS – EXHIBIT 8
PROPOSER’S EEO CERTIFICATION

Company Name

Address

Internal Revenue Service Employer Identification Number

GENERAL

In accordance with provisions of the County Code of the County of Los Angeles, the Proposer certifies and agrees that all persons employed by such firm, its affiliates, subsidiaries, or holding companies are and will be treated equally by the firm without regard to or because of race, religion, ancestry, national origin, or sex and in compliance with all anti-discrimination laws of the United States of America and the State of California.

CERTIFICATION	YES	NO
1. Proposer has written policy statement prohibiting discrimination in all phases of employment.	()	()
2. Proposer periodically conducts a self-analysis or utilization analysis of its work force.	()	()
3. Proposer has a system for determining if its employment practices are discriminatory against protected groups.	()	()
4. When problem areas are identified in employment practices, Proposer has a system for taking reasonable corrective action to include establishment of goal and/or timetables.	()	()

Signature

Date

Name and Title of Signer (please print)

REQUIRED FORMS – EXHIBIT 9
ATTESTATION OF WILLINGNESS TO CONSIDER
GAIN/GROW PARTICIPANTS

As a threshold requirement for consideration for contract award, Proposer shall demonstrate a proven record for hiring GAIN/GROW participants or shall attest to a willingness to consider GAIN/GROW participants for any future employment opening if they meet the minimum qualifications for that opening. Additionally, Proposer shall attest to a willingness to provide employed GAIN/GROW participants access to the Proposer’s employee mentoring program, if available, to assist these individuals in obtaining permanent employment and/or promotional opportunities.

To report all job openings with job requirements to obtain qualified GAIN/GROW participants as potential employment candidates, Contractor shall email: GAINGROW@DPSS.LACOUNTY.GOV and BSERVICES@WDACS.LACOUNTY.GOV.

Proposers unable to meet this requirement shall not be considered for contract award.

Proposer shall complete all of the following information, sign where indicated below, and return this form with their proposal.

A. Proposer has a proven record of hiring GAIN/GROW participants.

_____ YES (subject to verification by County) _____ NO

B. Proposer is willing to provide DPSS with all job openings and job requirements to consider GAIN/GROW participants for any future employment openings if the GAIN/GROW participant meets the minimum qualifications for the opening. “Consider” means that Proposer is willing to interview qualified GAIN/GROW participants.

_____ YES _____ NO

C. Proposer is willing to provide employed GAIN/GROW participants access to its employee-mentoring program, if available.

_____ YES _____ NO _____ N/A (Program not available)

Proposer’s Organization: _____

Signature: _____

Print Name: _____

Title: _____ Date: _____

Telephone No: _____ Fax No: _____

REQUIRED FORMS – EXHIBIT 10

COUNTY OF LOS ANGELES CONTRACTOR EMPLOYEE JURY SERVICE PROGRAM CERTIFICATION FORM AND APPLICATION FOR EXCEPTION

The County's solicitation for this Request for Proposals is subject to the County of Los Angeles Contractor Employee Jury Service Program (Program), Los Angeles County Code, Chapter 2.203. All proposers, whether a contractor or subcontractor, must complete this form to either certify compliance or request an exception from the Program requirements. Upon review of the submitted form, the County department will determine, in its sole discretion, whether the proposer is given an exemption from the Program.

Company Name:		
Company Address:		
City:	State:	Zip Code:
Telephone Number:		
Solicitation For _____ Services:		

If you believe the Jury Service Program does not apply to your business, check the appropriate box in Part I (attach documentation to support your claim); or, complete Part II to certify compliance with the Program. Whether you complete Part I or Part II, please sign and date this form below.

Part I: Jury Service Program is Not Applicable to My Business

- My business does not meet the definition of "contractor," as defined in the Program, as it has not received an aggregate sum of \$50,000 or more in any 12-month period under one or more County contracts or subcontracts (this exception is not available if the contract itself will exceed \$50,000). I understand that the exception will be lost and I must comply with the Program if my revenues from the County exceed an aggregate sum of \$50,000 in any 12-month period.

- My business is a small business as defined in the Program. It 1) has ten or fewer employees; and, 2) has annual gross revenues in the preceding twelve months which, if added to the annual amount of this contract, are \$500,000 or less; and, 3) is not an affiliate or subsidiary of a business dominant in its field of operation, as defined below. I understand that the exception will be lost and I must comply with the Program if the number of employees in my business and my gross annual revenues exceed the above limits.

"Dominant in its field of operation" means having more than ten employees and annual gross revenues in the preceding twelve months, which, if added to the annual amount of the contract awarded, exceed \$500,000.

"Affiliate or subsidiary of a business dominant in its field of operation" means a business which is at least 20 percent owned by a business dominant in its field of operation, or by partners, officers, directors, majority stockholders, or their equivalent, of a business dominant in that field of operation.

- My business is subject to a Collective Bargaining Agreement (attach agreement) that expressly provides that it supersedes all provisions of the Program.

OR

Part II: Certification of Compliance

- My business has and adheres to a written policy that provides, on an annual basis, no less than five days of regular pay for actual jury service for full-time employees of the business who are also California residents, **or** my company will have and adhere to such a policy prior to award of the contract.

I declare under penalty of perjury under the laws of the State of California that the information stated above is true and correct.

Print Name:	Title:
Signature:	Date:

REQUIRED FORMS – EXHIBIT 11

**CERTIFICATION OF INDEPENDENT PRICE DETERMINATION
AND ACKNOWLEDGEMENT OF RFP RESTRICTIONS**

- A. By submission of this Proposal, Proposer certifies that the prices quoted herein have been arrived at independently without consultation, communication, or agreement with any other Proposer or competitor for the purpose of restricting competition.
- B. List all names and telephone number of persons legally authorized to commit the Proposer.

NAME

PHONE NUMBER

NOTE: Persons signing on behalf of the Contractor will be required to warrant that they are authorized to bind the Contractor.

- C. List names of all joint ventures, partners, subcontractors, or others having any right or interest in this contract or the proceeds thereof. If not applicable, state "NONE".

- D. Proposer acknowledges that it has not participated as a consultant in the development, preparation, or selection process associated with this RFP. Proposer understands that, if it is determined by the County that the Proposer did participate as a consultant in this RFP process, the County shall reject this proposal.

Name of Firm

Print Name of Signer

Title

Signature

Date

REQUIRED FORMS – EXHIBIT 12
RESOURCE FAMILY APPROVAL TRAINING SERVICES
REQUEST FOR PROPOSALS # 17-0043

PRICING SCHEDULE

Contractor hereby agrees to perform the services, the scope of which is set forth in the above-identified contract for the County of Los Angeles, under all of the terms and conditions specified in the Statement of Work, Exhibits, Performance Requirements Summary and Contract.

Prices include all applicable charges and costs associated with receipt, delivery, confirmation, and any other costs necessary in the performance of all tasks outlined in the Statement of Work, Exhibits, Performance Requirements Summary, and Contract.

RESOURCE FAMILY APPROVAL TRAINING SERVICES	Unit of Service	Price
		\$ _____
		\$ _____
		\$ _____
		\$ _____

Authorized Signature

Print Name and Title

Date

REQUIRED FORMS – EXHIBIT 13
SAMPLE BUDGET SHEET FOR RESOURCE FAMILY APPROVAL
TRAINING SERVICES

DIRECT COST (List each staff classification)

Payroll:	FTE*	Hourly Rate	Monthly Salary
Employee Classification	_____	\$ _____	\$ _____
Employee Classification	_____	\$ _____	\$ _____
Employee Classification	_____	\$ _____	\$ _____
Others (Please continue to list)			
Total Salaries and Wages			\$ _____

*FTE = Full Time Equivalent Positions

Employee Benefits	No. of Employees	Monthly Cost per FTE
Medical Insurance	_____	\$ _____
Dental Insurance	_____	\$ _____
Life Insurance	_____	\$ _____
Other (list)	_____	\$ _____
Total Benefits		\$ _____

Payroll Taxes (List all appropriate, e.g., FICA, SUI, Workers' Compensation, etc.)		
_____	\$ _____	
_____	\$ _____	
_____	\$ _____	
_____	\$ _____	
Total Payroll Taxes		\$ _____

Insurance (List Type/Coverage. See Sample Contract, Subsection 8.31, Insurance Coverage Requirements)		
_____	\$ _____	
_____	\$ _____	
_____	\$ _____	
Vehicles	\$ _____	
Supplies	\$ _____	
Services	\$ _____	
Office Equipment	\$ _____	
Telephone/Utilities	\$ _____	
Other (please continue to list)	\$ _____	
Total Insurance/Misc. S & S		\$ _____

TOTAL DIRECT COSTS **\$ _____**

INDIRECT COST (List all appropriate)

General Accounting/Bookkeeping	\$ _____	
Management Overhead (Specify)	\$ _____	
Other (Specify)	\$ _____	
TOTAL INDIRECT COSTS		\$ _____

TOTAL DIRECT AND INDIRECT COST **\$ _____**

PROFIT (Please enter percentage: _____%) **\$ _____**

TOTAL MONTHLY COSTS **\$ _____**

GENERAL INSTRUCTIONS FOR COMPLETING YOUR BUDGET NARRATIVE

(See SAMPLE Budget Narrative Below)

All Line Item Budgets shall include a Budget Narrative providing a thorough and clear explanation of all projected line item budget costs. The narrative must follow the same sequence as the line item budget, and include an explanation of the method of allocating costs for any joint or shared budget item. All figures and compilations must be clearly explained.

The Budget Narrative must include all of the proposed budget line items within that budget category. For example, the narrative for "Payroll" or "Salaries" must include a description of each position to be funded under the contract. Use the same budget categories from your actual "Line Item Budget" in writing the narrative.

The budget narrative must provide clear and complete descriptions that: (1) explain the purpose of each budget line item; (2) how the line item is directly connected to the provision of a given service; (3) the dollar amount requested for the item; and (4) the methodology used to calculate the amount, etc.

For example:

<u>BUDGET AMOUNT</u>	<u>DESCRIPTION</u>
\$12,000	Contractor's Project Director: The Project Director supervises Project staff providing work under this Contract and administers the Contract in accordance with the Statement of Work. The total amount requested for Project Director is \$12,000 (\$2,500/mo x .40 x 12 months).

REQUIRED FORMS – EXHIBIT 14
CHARITABLE CONTRIBUTIONS CERTIFICATION

Company Name

Address

Internal Revenue Service Employer Identification Number

California Registry of Charitable Trusts "CT" number (if applicable)

The Nonprofit Integrity Act (SB 1262, Chapter 919) added requirements to California's Supervision of Trustees and Fundraisers for Charitable Purposes Act which regulates those receiving and raising charitable contributions.

Check the Certification below that is applicable to your company.

- Proposer or Contractor has examined its activities and determined that it does not now receive or raise charitable contributions regulated under California's Supervision of Trustees and Fundraisers for Charitable Purposes Act. If Proposer engages in activities subjecting it to those laws during the term of a County contract, it will timely comply with them and provide County a copy of its initial registration with the California State Attorney General's Registry of Charitable Trusts when filed.

OR

- Proposer or Contractor is registered with the California Registry of Charitable Trusts under the CT number listed above and is in compliance with its registration and reporting requirements under California law. Attached is a copy of its most recent filing with the Registry of Charitable Trusts as required by Title 11 California Code of Regulations, sections 300-301 and Government Code sections 12585-12586.

Signature

Date

Please Print Name and Title of Signer

REQUIRED FORMS – EXHIBIT 15

**CERTIFICATION OF COMPLIANCE WITH THE COUNTY'S
DEFAULTED PROPERTY TAX REDUCTION PROGRAM**

Company Name:		
Company Address:		
City:	State:	Zip Code:
Telephone Number:	Email address:	
Solicitation/Contract For _____ Services:		

The Proposer/Bidder/Contractor certifies that:

- It is familiar with the terms of the County of Los Angeles Defaulted Property Tax Reduction Program, Los Angeles County Code Chapter 2.206; **AND**

To the best of its knowledge, after a reasonable inquiry, the Proposer/Bidder/Contractor is not in default, as that term is defined in Los Angeles County Code Section 2.206.020.E, on any Los Angeles County property tax obligation; **AND**

The Proposer/Bidder/Contractor agrees to comply with the County's Defaulted Property Tax Reduction Program during the term of any awarded contract.

- OR -

- I am exempt from the County of Los Angeles Defaulted Property Tax Reduction Program, pursuant to Los Angeles County Code Section 2.206.060, for the following reason:

I declare under penalty of perjury under the laws of the State of California that the information stated above is true and correct.

Print Name:	Title:
Signature:	Date:

REQUIRED FORMS – EXHIBIT 16

**ZERO TOLERANCE POLICY ON HUMAN TRAFFICKING
CERTIFICATION**

Company Name:		
Company Address:		
City:	State:	Zip Code:
Telephone Number:	Email address:	
Solicitation/Contract for _____ Services		

PROPOSER CERTIFICATION

Los Angeles County has taken significant steps to protect victims of human trafficking by establishing a zero-tolerance policy on human trafficking that prohibits contractors found to have engaged in human trafficking from receiving contract awards or performing services under a County contract.

Proposer acknowledges and certifies compliance with Section 8.64 (Compliance with County's Zero Tolerance Policy on Human Trafficking) of the proposed Contract and agrees that proposer or a member of his staff performing work under the proposed Contract will be in compliance. Proposer further acknowledges that noncompliance with the County's Zero Tolerance Policy on Human Trafficking may result in rejection of any proposal, or cancellation of any resultant Contract, at the sole judgment of the County.

I declare under penalty of perjury under the laws of the State of California that the information herein is true and correct and that I am authorized to represent this company.

Print Name:	Title:
Signature:	Date:

REQUIRED FORMS – EXHIBIT 17

PROPOSER’S COMPLIANCE WITH ENCRYPTION REQUIREMENTS

Proposer shall provide information about its encryption practices by completing this Exhibit. By submitting this Exhibit, Proposer certifies that it will be in compliance with Los Angeles County Board of Supervisors Policy, Contractor Protection of Electronic County Information, at the commencement of any contract and during the term of any contract that may be awarded pursuant to this solicitation.

COMPLIANCE QUESTIONS	DOCUMENTATION AVAILABLE			
	YES	NO	YES	NO
1) Will County data stored on your workstation(s) be encrypted?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2) Will County data stored on your laptop(s) be encrypted:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3) Will County data stored on removable media be encrypted?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4) Will County data be encrypted when transmitted?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5) Will Proposer maintain a copy of any validation/attestation Reports generated by its encryption tools?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6) Will County data be stored on remote servers*? * cloud storage, Software-as-a-Service or SaaS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Proposer Name

Proposer Official Title

Official’s Signature

REQUIRED FORMS – EXHIBIT 18

**COMPLIANCE WITH FAIR CHANCE EMPLOYMENT HIRING PRACTICES
CERTIFICATION**

Company Name:		
Company Address:		
City:	State:	Zip Code:
Telephone Number:	Email address:	
Solicitation/Contract for _____ Services		

PROPOSER/CONTRACTOR CERTIFICATION

The Los Angeles County Board of Supervisors approved a Fair Chance Employment Policy in an effort to remove job barriers for individuals with criminal records. The policy requires businesses that contract with the County to comply with fair chance employment hiring practices set forth in California Government Code Section 12952, Employment Discrimination: Conviction History (California Government Code Section 12952), effective January 1, 2018.

Proposer/Contractor acknowledges and certifies compliance with fair chance employment hiring practices set forth in California Government Code Section 12952 and agrees that proposer/contractor and staff performing work under the Contract will be in compliance. Proposer/Contractor further acknowledges that noncompliance with fair chance employment practices set forth in California Government Code Section 12952 may result in rejection of any proposal, or termination of any resultant Contract, at the sole judgment of the County.

I declare under penalty of perjury under the laws of the State of California that the information herein is true and correct and that I am authorized to represent this company.

Print Name:	Title:
Signature:	Date:

REQUIRED FORMS – EXHIBIT 19

BUSINESS STRUCTURE REGISTRATION DOCUMENTS

(INSERT)

Attach the applicable documents for your organization:

- Articles of Incorporation
- Fictitious Name Statement
- Original Statement of Information (most recent state filing certified by Blue Seal) SI -200
- Exempt Organization Determination Letter from the Internal Revenue Service indicating recognition of Proposer's tax-exempt status (non-profit corporation) under Section 501(c)(3) of the Tax Code
- Determination of Exemption Letter from the State of California Franchise Tax Board indicating recognition of Proposer's tax-exempt status (non-profit corporation) under California Revenue and Taxation Code, Section 23701
- Other applicable document

REQUIRED FORMS – EXHIBIT 20

LIST OF CURRENT MEMBERS OF BOARD OF DIRECTORS/OTHER AGENCIES

Legal Name of Agency:

First Name	Last Name	Address, City, State	Phone (P): FAX (F):	Other Agency's*
			P: ()	
			F: ()	
			P: ()	
			F: ()	
			P: ()	
			F: ()	
			P: ()	
			F: ()	

*List the name of any other agency that the Board Member also serves on. (Please make additional copies of this form if necessary)

I declare under penalty of perjury that the foregoing is true and correct.

Print Name and Title of Principal Owner, an Officer, or Manager responsible for submission of the bid or proposal to the County

Authorized Signature of Principal Owner, an Officer, or Manager responsible for submission of the bid or proposal to the County

Date _____

REQUIRED FORMS – EXHIBIT 21

BOARD OF DIRECTOR'S RESOLUTION

BE IT RESOLVED THAT ON _____ 20__ THE BOARD OF
DIRECTOR'S OF _____
(LEGAL NAME OF PROPOSER)

HEREBY AUTHORIZES AND DIRECTS ITS CEO, PRESIDENT, OR EXECUTIVE DIRECTOR
(Circle One) TO FILE THE ATTACHED PROPOSAL WITH THE LOS ANGELES COUNTY
DEPARTMENT OF CHILDREN AND FAMILY SERVICES TO PROVIDE RESOURCE FAMILY
APPROVAL TRAINING SERVICES.

ATTESTED:

Print Name and Title of Principal Owner, Officer, or Manager responsible for submission of the bid or proposal to the County

Authorized Signature of Principal Owner, Officer, or Manager responsible for submission of the bid or proposal to the County

Print Name and Title of Principal Owner, Officer, or Manager responsible for submission of the bid or proposal to the County

Authorized Signature of Principal Owner, Officer, or Manager responsible for submission of the bid or proposal to the County

OR

**Proposer can use their own agency's letterhead authorizing personnel to file the
attached proposal(s) and signed by members of the Board of Directors**

REQUIRED FORMS – EXHIBIT 22

PROPOSER’S LIST OF BUSINESS PARTNERS OR ASSOCIATES WITHIN THE PAST FIVE YEARS

Legal Name of Agency:

Name	Address, City, State	Telephone and Fax Numbers	Agency Name	Type of Business Association	Currently a Los Angeles County Employee (Y/N)	If Yes	Name of Department
							Payroll Title
		P: ()					
		F: ()					
		P: ()					
		F: ()					
		P: ()					
		F: ()					
		P: ()					
		F: ()					

(Please make additional copies of this form if necessary)

I declare under penalty of perjury that the foregoing is true and correct.

Signature of Person authorized to bind the Contractor in a Contract with the County

Date_____

REQUIRED FORMS – EXHIBIT 23

PROPOSER’S CERTIFICATION OF OWNERSHIP AND FINANCIAL INTEREST

Proposer must declare if it holds a controlling interest in any other organization, or is owned or controlled by any other person or organization.

Yes _____ No _____

If yes, provide name of organization or person and the following information:

Print Name and Title Address

Telephone Number Contact Person

I declare under penalty of perjury that that the foregoing Firm/Organization information is true and correct.

Print Name and Title of Principal Owner, an Officer, or Manager responsible for submission of the bid or proposal to the County

Authorized Signature of Principal Owner, an Officer, or Manager responsible for submission of the bid or proposal to the County

Date

Proposer must declare whether it has Financial Interest in any other business.

Yes _____ No _____

If yes, provide name of business:

Print Legal Name of Business Address

Telephone Number Contact Person

I declare under penalty of perjury that the foregoing Firm/Organization information is true and correct.

Print Name and Title of Principal Owner, an Officer, or Manager responsible for submission of the bid or proposal to the County

Authorized Signature of Principal Owner, an Officer, or Manager responsible for submission of the bid or proposal to the County

Date

REQUIRED FORMS – EXHIBIT 24

PROPOSER’S INVOLVEMENT IN LITIGATION AND CONTRACT COMPLIANCE DIFFICULTIES

Check YES or NO on the following questions. If a YES answer is checked, please explain fully the circumstances and include discussion of the potential impact on the program if funded. As part of the project selection process, the County, in its own discretion, may implement procedures to validate the responses made below. The County reserves the right to reject all or part of the proposal if false or incorrect information is submitted by the applicant.

	YES	NO
1. Is the agency currently, or within the past seven years, involved in litigation?	___	___
2. Is the director currently, or within the past seven years, involved in litigation related to the administration and operation of a program or organization?	___	___
3. Are any agency staff members unable to be bonded?	___	___
4. Have there been unfavorable rulings by a funding source against the agency for improper or contract compliance deficiencies?	___	___
5. Has the agency or agency director ever had public or foundation funds withheld?	___	___
6. Has the agency or agency director refused to participate in any fiscal audit or review requested by a government agency or funding source?	___	___

EXPLANATION (Use separate page)

AUTHORIZED SIGNATURE

DATE

Name / Title / Name of Company or Organization

REQUIRED FORMS – EXHIBIT 25

ORGANIZATIONAL CHART

Insert Chart

Proposer shall submit an organizational chart detailing proposed organization structure and position/classification to provide services described in Appendix B, Statement of Work. Chart shall include the names of staff (if known at time of proposal submission), classification and assignment. This chart will include executives as well as the Proposer's Program Director and which descends to staff personnel anticipated to work on this Contract.

REQUIRED FORMS – EXHIBIT 26

**ORGANIZATIONAL LICENSES, PERMITS AND CERTIFICATION
REQUIRED FOR PROVISION OF RESOURCE FAMILY APPROVAL
TRAINING SERVICES**

(Insert)

Proposer must submit copies of all licenses and permits necessary for the provision of the specified services. For example, license for assessment tool. Indicate NONE, if none is applicable.

REQUIRED FORMS – EXHIBIT 27

PROOF OF INSURABILITY

Insert Acord (Certificate of Insurance)

Proposer must provide “Proof of Insurability”, indicating that it meets all insurance requirements set forth in Appendix A, Sample Contract, Section 8.31, Insurance Coverage.

If Proposer currently has the required insurance coverage for another County program service, a copy of that Certificate of Insurance may be submitted with this proposal. However, if selected and awarded a Contract under this RFP, Proposer will be contacted to provide a Certificate of Insurance naming this program service.

If Proposer does not currently have the required coverage, a letter from a qualified insurance carrier, indicating a willingness to provide the required coverage should the Proposer be selected to receive a Contract award, may be submitted with the Proposal. In conjunction with the letter of certification, Proposer shall provide, upon County’s request, copies of Proposer’s current insurance declarations sheets, policy jackets, insurance policies, terms and conditions, exclusions, attachments, policy riders, amendments and certificates of insurance.

Letters of Intent from insurance brokers will not be considered acceptable substitutes.

Services cannot be provided until all insurance requirements of this RFP are met.

REQUIRED FORMS – EXHIBIT 28

REVENUE DISCLOSURE

LEGAL NAME OF APPLICANT AGENCY

LISTING OF REVENUE SOURCES

REVENUE SOURCE	AMOUNT	TIME PERIOD	SERVICES PROVIDED

REQUIRED FORMS – EXHIBIT 29

LIST OF PROPOSER’S COMMITMENTS

_____ Legal Name of Agency

Yes, there are commitments (please list below).

No, there are no commitments.

LIST OF COMMITMENTS/POTENTIAL COMMITMENTS			
NAME OF FIRM	AMOUNT	TIME PERIOD	TYPE OF COMMITMENT

I declare under penalty of perjury that the foregoing is true and correct.

Print Name and Title of Principal Owner, an officer, or manager responsible for submission of the bid or proposal to the County

Authorized Signature of Principal Owner, an officer, or manager responsible for submission of the bid or proposal to the County

Date

REQUIRED FORMS – EXHIBIT 30

AUDITED FINANCIAL STATEMENTS

Insert Statements

Provide copies of the company's most current and prior two (2) fiscal years (for example current 2020 and prior two (2) 2019 and 2018) financial statements. If audited financial statements are available, these should be submitted to meet this requirement.

Do not submit Income Tax Returns to meet this requirement.

REQUIRED FORMS – EXHIBIT 31

**CONTRACTOR’S CERTIFICATION OF COMPLIANCE WITH
CHILD, SPOUSAL, AND FAMILY SUPPORT ORDERS**

_____ do hereby certify that our organization
(Name of Prospective Contractor)

complies with all orders for Child, Spousal, and Family Support and we have complied with all lawfully served wage assignments and notices of assignment.

We understand that failure to implement lawfully served wage assignments or notices of assignment will constitute a default under the contract, which shall subject the contract to termination if such default is not cured within 90 days.

Failure to comply with the above requirement may be cause for debarment.

Print Name and Title of Principal Owner, an Officer, or Manager responsible for submission of the RFP to the County

Signature of Principal Owner, an Officer, or Manager responsible for submission of the RFP to the County

Date

Print Name and Title of Principal Owner, an Officer, or Manager responsible for submission of the RFP to the County

Signature of Principal Owner, an Officer, or Manager responsible for submission of the RFP to the County

Date

REQUIRED FORMS – EXHIBIT 32

**CONTRACTOR’S CERTIFICATION OF COMPLIANCE WITH ALL
FEDERAL AND STATE EMPLOYMENT REPORTING REQUIREMENTS**

_____ do hereby certify that our organization
(Name of Prospective Contractor)
complies with all Federal and State reporting requirements related to Employment Reporting Requirements for our employees.

We understand that failure to comply with Employment Reporting Requirements will constitute a default under the contract, which shall subject the contract to termination if such default is not cured within 90 days.

Failure to comply with the above requirement may be cause for debarment.

Print Name and Title of Principal Owner, an Officer, or Manager responsible for submission of the RFP to the County

Signature of Principal Owner, an Officer, or Manager responsible for submission of the RFP to the County

Date

REQUIRED FORMS – EXHIBIT 33

FEDERAL DEBARMENT CERTIFICATION

(as follows)

CERTIFICATIONS

1. CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 45 CFR Part 76, and its principals:

- (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal Department or agency;
- (b) have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- (d) have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

Should the applicant not be able to provide this certification, an explanation as to why should be placed after the assurances page in the application package.

The applicant agrees by submitting this proposal that it will include, without modification, the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion--Lower Tier Covered Transactions" in all lower tier covered transactions (i.e., transactions with sub-grantees and/or contractors) and in all solicitations for lower tier covered transactions in accordance with 45 CFR Part 76.

2. CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free work-place in accordance with 45 CFR Part 76 by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's work-place and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about--
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a Drug free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- (d) Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will--
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central

point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

- (f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted--
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

For purposes of paragraph (e) regarding agency notification of criminal drug convictions, the DHHS has designated the following central point for receipt of such notices:

Office of Grants and Acquisition Management
 Office of Grants Management
 Office of the Assistant Secretary for Management and Budget
 Department of Health and Human Services
 200 Independence Avenue, S.W., Room 517-D
 Washington, D.C. 20201

3. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non-appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93).

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the under-

signed, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

- (2) If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

4. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

5. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children’s services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children’s services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children’s services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
APPLICANT ORGANIZATION		DATE SUBMITTED

REQUIRED FORMS – EXHIBIT 34

**RESOURCE FAMILY APPROVAL TRAINING SERVICES
PROPOSERS TRUTH AND WARRANTY CERTIFICATION**

Bidder certifies that all statements in the bid are true. This shall constitute both a representation and warranty, the falsity of which shall entitle the County to pursue any remedy authorized by law, which shall include the right, at the option of the County, of declaring any contract made as a result thereof to be void.

Name and Title (e.g., CEO, President, Executive Director, COO, CFO, or Secretary etc.)

Authorized Signature

Date

Name and Title (e.g., CEO, President, Executive Director, COO, CFO, or Secretary etc.)

Authorized Signature

Date

Name and Title (e.g., CEO, President, Executive Director, COO, CFO, or Secretary etc.)

Authorized Signature

Date

Name and Title (e.g., CEO, President, Executive Director, COO, CFO, or Secretary etc.)

Authorized Signature

Date

REQUIRED FORMS – EXHIBIT 35

ACKNOWLEDGEMENT OF RFP RESTRICTIONS

- A. Proposer acknowledges that it has not participated as a consultant in the development, preparation, or selection process associated with this RFP.

- B. Proposer understands that if it is determined by the County that the proposer did participate as a consultant in this RFP process, the County shall reject this proposal.

Name of Firm

Print Name of Signer

Title

Signature

Date

REQUIRED FORMS – EXHIBIT 36

**OFFER TO PERFORM AND
ACCEPTANCE OF TERMS AND CONDITIONS**

_____ (PROPOSER'S Legal Name) hereby offers to perform the services, the scope of which is set forth in the above-identified Request for Proposals (RFP) for Los Angeles County under all the terms and conditions specified in the Contract included herein and agrees that this offer shall remain irrevocable up to and including 365 days following the RFP submission due date stated in the RFP cover letter.

Print Name and Title of Principal Owner, an Officer, or Manager responsible for submission of the bid or proposal to the County

Authorized Signature of Principal Owner, an Officer, or Manager responsible for submission of the bid or proposal to the County

Date

REQUEST FOR PROPOSALS (RFP) TRANSMITTAL TO REQUEST A SOLICITATION REQUIREMENTS REVIEW

Proposer requesting a Solicitation Requirements Review must submit this form to the County within the timeframe identified in the solicitation document.

Proposer Name:	Date of Request:
Solicitation Title:	Solicitation No.:

A **Solicitation Requirements Review** is being requested because the Proposer asserts that they are being unfairly disadvantaged for the following reason(s): *(check all that apply)*

- Application of **Minimum Requirements**
- Application of **Evaluation Criteria**
- Application of **Business Requirements**
- Due to **unclear instructions**, the process may result in the County not receiving the best possible responses

For each area contested, Proposer must explain in detail the factual reasons for the requested review. *(Attach supporting documentation.)*

Request submitted by:

_____ *(Name)*

_____ *(Title)*

For County use only

Date Transmittal Received by County: _____ Date Solicitation Released: _____

Reviewed by: _____

COUNTY OF LOS ANGELES POLICY ON DOING BUSINESS WITH SMALL BUSINESS

Forty-two percent of businesses in Los Angeles County have five or fewer employees. Only about four percent of businesses in the area exceed 100 employees. According to the Los Angeles Times and local economists, it is not large corporations, but these small companies that are generating new jobs and helping move Los Angeles County out of its worst recession in decades.

WE RECOGNIZE. . . .

The importance of small business to the County. . .

- in fueling local economic growth
- providing new jobs
- creating new local tax revenues
- offering new entrepreneurial opportunity to those historically under-represented in business

The County can play a positive role in helping small business grow. . .

- as a multi-billion dollar purchaser of goods and services
- as a broker of intergovernmental cooperation among numerous local jurisdictions
- by greater outreach in providing information and training
- by simplifying the bid/proposal process
- by maintaining selection criteria which are fair to all
- by streamlining the payment process

WE THEREFORE SHALL:

1. Constantly seek to streamline and simplify our processes for selecting our vendors and for conducting business with them.
2. Maintain a strong outreach program, fully-coordinated among our departments and districts, as well as other participating governments to: a) inform and assist the local business community in competing to provide goods and services; b) provide for ongoing dialogue with and involvement by the business community in implementing this policy.
3. Continually review and revise how we package and advertise solicitations, evaluate and select prospective vendors, address subcontracting and conduct business with our vendors, in order to: a) expand opportunity for small business to compete for our business; and b) to further opportunities for all businesses to compete regardless of size.
4. Insure that staff who manage and carry out the business of purchasing goods and services are well trained, capable and highly motivated to carry out the letter and spirit of this policy.

Title 2 ADMINISTRATION
Chapter 2.203.010 through 2.203.090
CONTRACTOR EMPLOYEE JURY SERVICE

2.203.010 Findings.

The board of supervisors makes the following findings. The county of Los Angeles allows its permanent, full-time employees unlimited jury service at their regular pay. Unfortunately, many businesses do not offer or are reducing or even eliminating compensation to employees who serve on juries. This creates a potential financial hardship for employees who do not receive their pay when called to jury service, and those employees often seek to be excused from having to serve. Although changes in the court rules make it more difficult to excuse a potential juror on grounds of financial hardship, potential jurors continue to be excused on this basis, especially from longer trials. This reduces the number of potential jurors and increases the burden on those employers, such as the county of Los Angeles, who pay their permanent, full-time employees while on juror duty. For these reasons, the county of Los Angeles has determined that it is appropriate to require that the businesses with which the county contracts possess reasonable jury service policies. (Ord. 2002-0015 § 1 (part), 2002)

2.203.020 Definitions.

The following definitions shall be applicable to this chapter:

- A. "Contractor" means a person, partnership, corporation or other entity which has a contract with the county or a subcontract with a county contractor and has received or will receive an aggregate sum of \$50,000 or more in any 12-month period under one or more such contracts or subcontracts.
- B. "Employee" means any California resident who is a full-time employee of a contractor under the laws of California.
- C. "Contract" means any agreement to provide goods to, or perform services for or on behalf of, the county but does not include:
 - 1. A contract where the board finds that special circumstances exist that justify a waiver of the requirements of this chapter; or
 - 2. A contract where federal or state law or a condition of a federal or state program mandates the use of a particular contractor; or
 - 3. A purchase made through a state or federal contract; or
 - 4. A monopoly purchase that is exclusive and proprietary to a specific manufacturer, distributor, or reseller, and must match and inter-member with existing supplies, equipment or systems maintained by the county pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, Section P-3700 or a successor provision; or
 - 5. A revolving fund (petty cash) purchase pursuant to the Los Angeles County Fiscal Manual, Section 4.4.0 or a successor provision; or
 - 6. A purchase card purchase pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, Section P-2810 or a successor provision; or
 - 7. A non-agreement purchase with a value of less than \$5,000 pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, Section A-0300 or a successor provision; or
 - 8. A bona fide emergency purchase pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, Section PP-1100 or a successor provision.

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CONTRACTOR EMPLOYEE JURY SERVICE

- D. "Full time" means 40 hours or more worked per week, or a lesser number of hours if:
1. The lesser number is a recognized industry standard as determined by the chief administrative officer, or
 2. The contractor has a long-standing practice that defines the lesser number of hours as full time.
- E. "County" means the county of Los Angeles or any public entities for which the board of supervisors is the governing body. (Ord. 2002-0040 § 1, 2002: Ord. 2002-0015 § 1 (part), 2002)

2.203.030 Applicability.

This chapter shall apply to contractors who enter into contracts that commence after July 11, 2002. This chapter shall also apply to contractors with existing contracts which are extended into option years that commence after July 11, 2002. Contracts that commence after May 28, 2002, but before July 11, 2002, shall be subject to the provisions of this chapter only if the solicitations for such contracts stated that the chapter would be applicable. (Ord. 2002-0040 § 2, 2002: Ord. 2002-0015 § 1 (part), 2002)

2.203.040 Contractor Jury Service Policy.

A contractor shall have and adhere to a written policy that provides that its employees shall receive from the contractor, on an annual basis, no less than five days of regular pay for actual jury service. The policy may provide that employees deposit any fees received for such jury service with the contractor or that the contractor deduct from the employees' regular pay the fees received for jury service. (Ord. 2002-0015 § 1 (part), 2002)

2.203.050 Other Provisions.

- A. Administration. The chief administrative officer shall be responsible for the administration of this chapter. The chief administrative officer may, with the advice of county counsel, issue interpretations of the provisions of this chapter and shall issue written instructions on the implementation and ongoing administration of this chapter. Such instructions may provide for the delegation of functions to other county departments.
- B. Compliance Certification. At the time of seeking a contract, a contractor shall certify to the county that it has and adheres to a policy consistent with this chapter or will have and adhere to such a policy prior to award of the contract. (Ord. 2002-0015 § 1 (part), 2002)

2.203.060 Enforcement and Remedies.

For a contractor's violation of any provision of this chapter, the county department head responsible for administering the contract may do one or more of the following:

1. Recommend to the board of supervisors the termination of the contract; and/or,
2. Pursuant to chapter 2.202, seek the debarment of the contractor. (Ord. 2002-0015 § 1 (part), 2002)

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2.203.070. Exceptions.

- A. Other Laws. This chapter shall not be interpreted or applied to any contractor or to any employee in a manner inconsistent with the laws of the United States or California.
- B. Collective Bargaining Agreements. This chapter shall be superseded by a collective bargaining agreement that expressly so provides.
- C. Small Business. This chapter shall not be applied to any contractor that meets all of the following:
 - 1. Has ten or fewer employees during the contract period; and,
 - 2. Has annual gross revenues in the preceding twelve months which, if added to the annual amount of the contract awarded, are less than \$500,000; and,
 - 3. Is not an affiliate or subsidiary of a business dominant in its field of operation.

“Dominant in its field of operation” means having more than ten employees and annual gross revenues in the preceding twelve months which, if added to the annual amount of the contract awarded, exceed \$500,000.

“Affiliate or subsidiary of a business dominant in its field of operation” means a business which is at least 20 percent owned by a business dominant in its field of operation, or by partners, officers, directors, majority stockholders, or their equivalent, of a business dominant in that field of operation. (Ord. 2002-0015 § 1 (part), 2002)

2.203.090. Severability.

If any provision of this chapter is found invalid by a court of competent jurisdiction, the remaining provisions shall remain in full force and effect. (Ord. 2002-0015 § 1 (part), 2002)

LISTING OF CONTRACTORS DEBARRED IN LOS ANGELES COUNTY

List of Debarred Contractors in Los Angeles County may be obtained by going to the following website.

<https://doingbusiness.lacounty.gov/listing-of-contractors-debarred-in-los-angeles-county/>

IRS NOTICE 1015

Latest version is available from IRS website at
<http://www.irs.gov/pub/irs-pdf/n1015.pdf>



Department of the Treasury
Internal Revenue Service

Notice 1015

(Rev. December 2018)

**Have You Told Your Employees About the
Earned Income Credit (EIC)?**

What is the EIC?

The EIC is a refundable tax credit for certain workers.

Which Employees Must I Notify About the EIC?

You must notify each employee who worked for you at any time during the year and from whose wages you did not withhold income tax. However, you do not have to notify any employee who claimed exemption from withholding on Form W-4, Employee's Withholding Allowance Certificate.

Note: You are encouraged to notify each employee whose wages for 2018 are less than \$54,884 that he or she may be eligible for the EIC.

How and When Must I Notify My Employees?

You must give the employee one of the following.

- The IRS Form W-2, Wage and Tax Statement, which has the required information about the EIC on the back of Copy B.
- A substitute Form W-2 with the same EIC information on the back of the employee's copy that is on Copy B of the IRS Form W-2.
- Notice 797, Possible Federal Tax Refund Due to the Earned Income Credit (EIC).
- Your written statement with the same wording as Notice 797.

If you give an employee a Form W-2 on time, no further notice is necessary if the Form W-2 has the required information about the EIC on the back of the employee's copy. If you give an employee a substitute Form W-2, but it does not have the required information, you

must notify the employee within 1 week of the date the substitute Form W-2 is given. If Form W-2 is required but is not given on time, you must give the employee Notice 797 or your written statement by the date Form W-2 is required to be given. If Form W-2 is not required, you must notify the employee by February 7, 2019.

You must hand the notice directly to the employee or send it by first-class mail to the employee's last known address. You will not meet the notification requirements by posting Notice 797 on an employee bulletin board or sending it through office mail. However, you may want to post the notice to help inform all employees of the EIC. You can download copies of the notice at www.irs.gov/FormsPubs. Or you can go to www.irs.gov/OrderForms to order it.

How Will My Employees Know If They Can Claim the EIC?

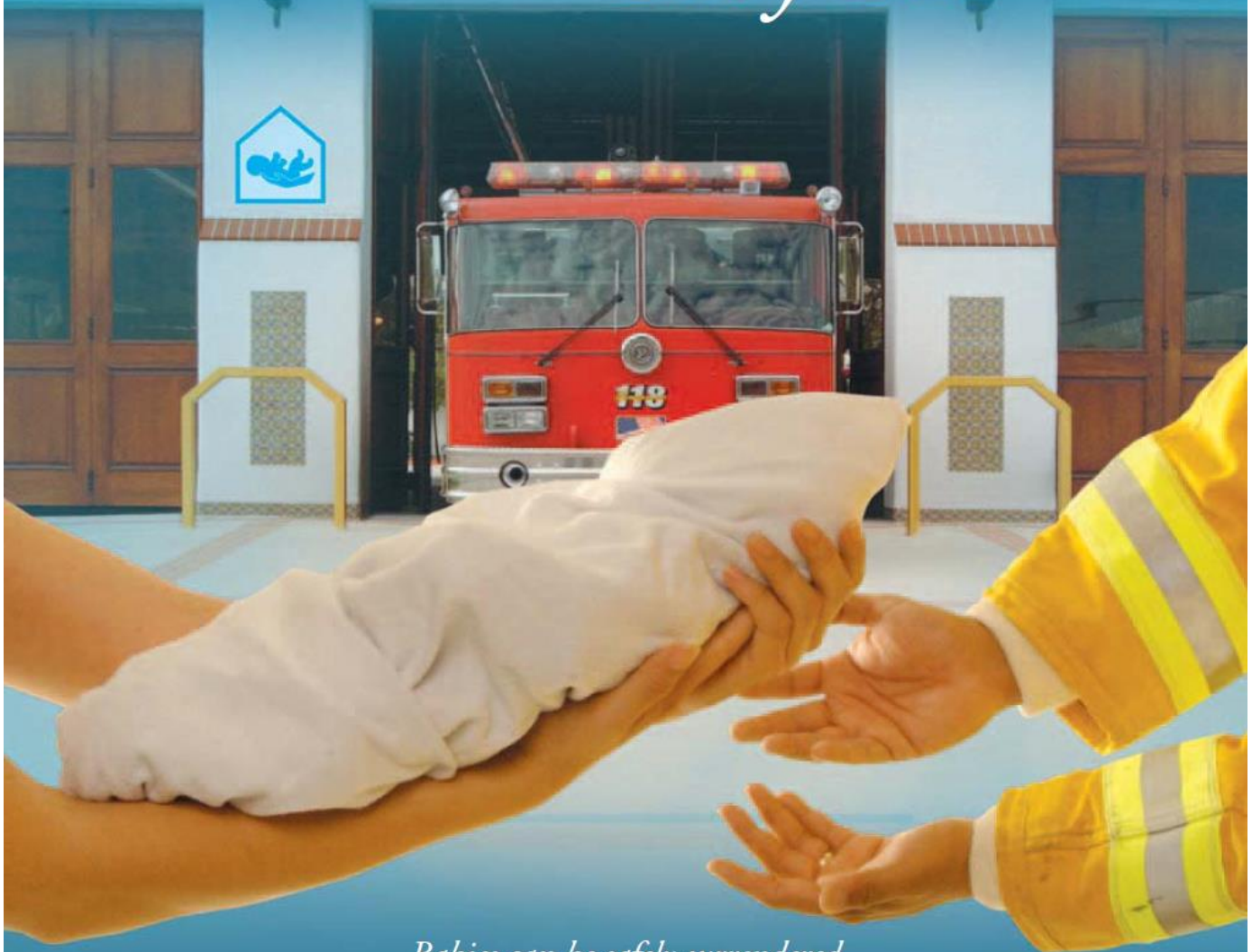
The basic requirements are covered in Notice 797. For more detailed information, the employee needs to see Pub. 596, Earned Income Credit (EIC), or the instructions for Form 1040.

How Do My Employees Claim the EIC?

An eligible employee claims the EIC on his or her 2018 tax return. Even an employee who has no tax withheld from wages and owes no tax may claim the EIC and ask for a refund, but he or she must file a tax return to do so. For example, if an employee has no tax withheld in 2018 and owes no tax but is eligible for a credit of \$800, he or she must file a 2018 tax return to get the \$800 refund.

Notice **1015** (Rev. 12-2018)
Cat. No. 205991

Safely Surrendered *Baby Law*



*Babies can be safely surrendered
to staff at any hospital or fire station in Los Angeles County*

No shame. No blame. No names.

In Los Angeles County: 1-877-BABY SAFE • 1-877-222-9723

www.babysafela.org



Safely Surrendered Baby Law

What is the Safely Surrendered Baby Law?

California's Safely Surrendered Baby Law allows parents or other persons, with lawful custody, which means anyone to whom the parent has given permission to confidentially surrender a baby. As long as the baby is three days (72 hours) of age or younger and has not been abused or neglected, the baby may be surrendered without fear of arrest or prosecution.

Every baby deserves a chance for a healthy life. If someone you know is considering abandoning a baby, let her know there are other options. For three days (72 hours) after birth, a baby can be surrendered to staff at any hospital or fire station in Los Angeles County.

How does it work?

A distressed parent who is unable or unwilling to care for a baby can legally, confidentially, and safely surrender a baby within three days (72 hours) of birth. The baby must be handed to an employee at a hospital or fire station in Los Angeles County. As long as the baby shows no sign of abuse or neglect, no name or other information is required. In case the parent changes his or her mind at a later date and wants the baby back, staff will use bracelets to help connect them to each other. One bracelet will be placed on the baby, and a matching bracelet will be given to the parent or other surrendering adult.

What if a parent wants the baby back?

Parents who change their minds can begin the process of reclaiming their baby within 14 days. These parents should call the Los Angeles County Department of Children and Family Services at 1-800-540-4000.

Can only a parent bring in the baby?

No. While in most cases a parent will bring in the baby, the Law allows other people to bring in the baby if they have lawful custody.

Does the parent or surrendering adult have to call before bringing in the baby?

No. A parent or surrendering adult can bring in a baby anytime, 24 hours a day, 7 days a week, as long as the parent or surrendering adult surrenders the baby to someone who works at the hospital or fire station.

Does the parent or surrendering adult have to tell anything to the people taking the baby?

No. However, hospital or fire station personnel will ask the surrendering party to fill out a questionnaire designed to gather important medical history information, which is very useful in caring for the baby. The questionnaire includes a stamped return envelope and can be sent in at a later time.

What happens to the baby?

The baby will be examined and given medical treatment. Upon release from the hospital, social workers immediately place the baby in a safe and loving home and begin the adoption process.

What happens to the parent or surrendering adult?

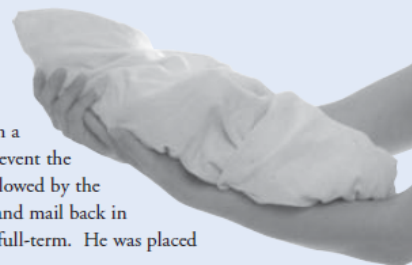
Once the parent or surrendering adult surrenders the baby to hospital or fire station personnel, they may leave at any time.

Why is California doing this?

The purpose of the Safely Surrendered Baby Law is to protect babies from being abandoned, hurt or killed by their parents. You may have heard tragic stories of babies left in dumpsters or public bathrooms. Their parents may have been under severe emotional distress. The mothers may have hidden their pregnancies, fearful of what would happen if their families found out. Because they were afraid and had no one or nowhere to turn for help, they abandoned their babies. Abandoning a baby is illegal and places the baby in extreme danger. Too often, it results in the baby's death. The Safely Surrendered Baby Law prevents this tragedy from ever happening again in California.

A baby's story

Early in the morning on April 9, 2005, a healthy baby boy was safely surrendered to nurses at Harbor-UCLA Medical Center. The woman who brought the baby to the hospital identified herself as the baby's aunt and stated the baby's mother had asked her to bring the baby to the hospital on her behalf. The aunt was given a bracelet with a number matching the anklet placed on the baby; this would provide some identification in the event the mother changed her mind about surrendering the baby and wished to reclaim the baby in the 14-day period allowed by the Law. The aunt was also provided with a medical questionnaire and said she would have the mother complete and mail back in the stamped return envelope provided. The baby was examined by medical staff and pronounced healthy and full-term. He was placed with a loving family that had been approved to adopt him by the Department of Children and Family Services.



Ley de Entrega de Bebés *Sin Peligro*



Los recién nacidos pueden ser entregados en forma segura al personal de cualquier hospital o cuartel de bomberos del Condado de Los Ángeles

Sin pena. Sin culpa. Sin nombres.

En el Condado de Los Ángeles: 1-877-BABY SAFE • 1-877-222-9723

www.babysafela.org



Ley de Entrega de Bebés Sin Peligro

¿Qué es la Ley de Entrega de Bebés sin Peligro?

La Ley de Entrega de Bebés sin Peligro de California permite la entrega confidencial de un recién nacido por parte de sus padres u otras personas con custodia legal, es decir cualquier persona a quien los padres le hayan dado permiso. Siempre que el bebé tenga tres días (72 horas) de vida o menos, y no haya sufrido abuso ni negligencia, pueden entregar al recién nacido sin temor de ser arrestados o procesados.

Cada recién nacido se merece la oportunidad de tener una vida saludable. Si alguien que usted conoce está pensando en abandonar a un recién nacido, infórmele que tiene otras opciones. Hasta tres días (72 horas) después del nacimiento, se puede entregar un recién nacido al personal de cualquier hospital o cuartel de bomberos del condado de Los Angeles.

¿Cómo funciona?

El padre/madre con dificultades que no pueda o no quiera cuidar de su recién nacido puede entregarlo en forma legal, confidencial y segura dentro de los tres días (72 horas) del nacimiento. El bebé debe ser entregado a un empleado de cualquier hospital o cuartel de bomberos del Condado de Los Ángeles. Siempre que el bebé no presente signos de abuso o negligencia, no será necesario suministrar nombres ni información alguna. Si el padre/madre cambia de opinión posteriormente y desea recuperar a su bebé, los trabajadores utilizarán brazaletes para poder vincularlos. El bebé llevará un brazaletes y el padre/madre o el adulto que lo entregue recibirá un brazaletes igual.

¿Qué pasa si el padre/madre desea recuperar a su bebé?

Los padres que cambien de opinión pueden comenzar el proceso de reclamar a su recién nacido dentro de los 14 días. Estos padres deberán llamar al Departamento de Servicios para Niños y Familias (Department of Children and Family Services) del Condado de Los Ángeles al 1-800-540-4000.

¿Sólo los padres podrán llevar al recién nacido?

No. Si bien en la mayoría de los casos son los padres los que llevan al bebé, la ley permite que otras personas lo hagan si tienen custodia legal.

¿Los padres o el adulto que entrega al bebé deben llamar antes de llevar al bebé?

No. El padre/madre o adulto puede llevar al bebé en cualquier momento, las 24 horas del día, los 7 días de la semana, siempre y cuando entreguen a su bebé a un empleado del hospital o cuartel de bomberos.

¿Es necesario que el padre/madre o adulto diga algo a las personas que reciben al bebé?

No. Sin embargo, el personal del hospital o cuartel de bomberos le pedirá a la persona que entregue al bebé que llene un cuestionario con la finalidad de recabar antecedentes médicos importantes, que resultan de gran utilidad para cuidar bien del bebé. El cuestionario incluye un sobre con el sello postal pagado para enviarlo en otro momento.

¿Qué pasará con el bebé?

El bebé será examinado y le brindarán atención médica. Cuando le den el alta del hospital, los trabajadores sociales inmediatamente ubicarán al bebé en un hogar seguro donde estará bien atendido, y se comenzará el proceso de adopción.

¿Qué pasará con el padre/madre o adulto que entregue al bebé?

Una vez que los padres o adulto hayan entregado al bebé al personal del hospital o cuartel de bomberos, pueden irse en cualquier momento.

¿Por qué se está haciendo esto en California?

La finalidad de la Ley de Entrega de Bebés sin Peligro es proteger a los bebés para que no sean abandonados, lastimados o muertos por sus padres. Usted probablemente haya escuchado historias trágicas sobre bebés abandonados en basureros o en baños públicos. Los padres de esos bebés probablemente hayan estado pasando por dificultades emocionales graves. Las madres pueden haber ocultado su embarazo, por temor a lo que pasaría si sus familias se enteraran. Abandonaron a sus bebés porque tenían miedo y no tenían nadie a quien pedir ayuda. El abandono de un recién nacido es ilegal y pone al bebé en una situación de peligro extremo. Muy a menudo el abandono provoca la muerte del bebé. La Ley de Entrega de Bebés sin Peligro impide que vuelva a suceder esta tragedia en California.

Historia de un bebé

A la mañana temprano del día 9 de abril de 2005, se entregó un recién nacido saludable a las enfermeras del Harbor-UCLA Medical Center. La mujer que llevó el recién nacido al hospital se dio a conocer como la tía del bebé, y dijo que la madre le había pedido que llevara al bebé al hospital en su nombre. Le entregaron a la tía un brazaletes con un número que coincidía con la pulsera del bebé; esto serviría como identificación en caso de que la madre cambiara de opinión con respecto a la entrega del bebé y decidiera recuperarlo dentro del período de 14 días que permite esta ley. También le dieron a la tía un cuestionario médico, y ella dijo que la madre lo llenaría y lo enviaría de vuelta dentro del sobre con franqueo pagado que le habían dado. El personal médico examinó al bebé y se determinó que estaba saludable y a término. El bebé fue ubicado con una buena familia que ya había sido aprobada para adoptarlo por el Departamento de Servicios para Niños y Familias.



Title 2 ADMINISTRATION
Chapter 2.201 LIVING WAGE PROGRAM

Chapter 2.201 - LIVING WAGE PROGRAM

- 2.201.010 - Findings.
- 2.201.020 - Definitions.
- 2.201.030 - Prospective effect.
- 2.201.040 - Payment of living wage.
- 2.201.050 - Other provisions.
- 2.201.060 - Employer retaliation prohibited.
- 2.201.070 - Employee retention rights.
- 2.201.080 - Enforcement and remedies.
- 2.201.090 - Exceptions.
- 2.201.100 - Severability.

Sections:

2.201.010 - Findings.

The board of supervisors finds that the county of Los Angeles is the principal provider of social and health services within the county, especially to persons who are compelled to turn to the county for such services. Employers' failure to pay a living wage to their employees causes them to use such services thereby placing an additional burden on the county of Los Angeles.

(Ord. 2007-0011 § 1, 2007: Ord. 99-0048 § 1 (part), 1999.)

2.201.020 - Definitions.

The general definitions contained in Chapter 2.02 shall be applicable to this Chapter unless inconsistent with the following definitions:

- A. "County" includes the County of Los Angeles, any County officer or body, any County department head, and any County employee authorized to enter into a Proposition A contract or a cafeteria services contract with an employer.
- B. "Employee" means any individual who is an employee of an employer under the laws of California, and who is providing full- or part-time services to an employer, some or all of which are provided to the County of Los Angeles under a Proposition A contract, or under a cafeteria services contract at a County of Los Angeles owned or leased facility.
- C. "Employer" means:
 1. An individual or entity who has a contract with the County:
 - a. For services which is required to be more economical or feasible under Section 44.7 of the Charter of the County of Los Angeles, and is not listed as an excluded contract in Section 2.121.250 B of the Los Angeles County Code, referred to in this Chapter as a "Proposition A contract," or
 - b. For cafeteria services, referred to in this Chapter as a "cafeteria services contract," and

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- c. Who has received or will receive an aggregate sum of \$25,000.00 or more in any 12 month period under one or more Proposition A contracts and/or one or more cafeteria services contracts; or
- 2. An individual or entity that enters into a subcontract with an employer, as defined in subsection C1 and who employs employees to provide services under the employer's contract with the County.
- D. "Full time" means a minimum 40 hours worked per week, or a lesser number of hours, if the lesser number is a recognized industry standard and is approved as such by the Chief Executive Officer, but in no event less than 35 hours worked per week.
- E. "Part time" means less than 40 hours worked per week, unless a lesser number is a recognized industry standard and is approved as such by the Chief Executive Officer.
- F. "Proposition A contract" means a contract governed by Title 2, Section 2.121.250 et seq., of this code, entitled Contracting with Private Business.

(Ord. 2015-0061 § 1, 2015: Ord. 2007-0011 § 2, 2007: Ord. 99-0048 § 1 (part), 1999.)

2.201.030 - Prospective effect.

This chapter shall be applicable to Proposition A contracts and cafeteria services contracts and their amendments the terms of which commence three months or more after the effective date of this chapter. [16](#) It shall not be applicable to Proposition A contracts or cafeteria services contracts or their amendments in effect before this chapter becomes applicable.

(Ord. 99-0048 § 1 (part), 1999.)

2.201.040 - Payment of living wage.

- A. Employers shall pay employees a living wage for their services provided to the County of no less than the hourly rate set under this Chapter or in Title 8—Consumer Protection, Business and Wage Regulations, commencing with Section 8.100.010, whichever is higher. The rate shall be as follows:
 - 1. On March 1, 2016, and thereafter the rate shall be \$13.25 per hour;
 - 2. On January 1, 2017, and thereafter the rate shall be \$14.25 per hour;
 - 3. On January 1, 2018, and thereafter the rate shall be \$15.00 per hour;
 - 4. On January 1, 2019, and thereafter the rate shall be \$ 15.79 per hour;
 - 5. Beginning January 1, 2020, and thereafter the living wage rate shall increase annually based on the average Consumer Price Index for Urban Wage Earners and Clerical Works (CPI-W) for the Los Angeles metropolitan area (Los Angeles-Riverside-Orange County, CA), which is published by the Bureau of Labor Statistics of the United States Department of Labor.

16) --- **Editor's note**—Ordinance 99-0048, which enacted Ch. 2.201, is effective on July 22, 1999.

- B. The Board of Supervisors may, from time to time, adjust the amounts specified in subsection A of this Section, above for future contracts. Any adjustments to the living wage rate specified in subsection A that are adopted by the Board of Supervisors

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shall be applicable to Proposition A contracts and cafeteria services contracts and their amendments.

(Ord. 2015-0061 § 2, 2015: Ord. 2007-0011 § 3, 2007: Ord. 99-0048 § 1 (part), 1999.)

2.201.050 - Other provisions.

- A. Full Time Employees. An employer shall assign and use full time employees to provide services under a Proposition A contract or a cafeteria services contract, unless the employer can demonstrate to the County the necessity to use non-full time employees based on staffing efficiency or the County requirements of an individual job.
- B. Neutrality in Labor Relations. An employer shall not use any consideration received under a Proposition A contract or a cafeteria services contract to hinder, or to further, organization of, or collective bargaining activities by or on behalf of an employer's employees, except that this restriction shall not apply to any expenditure made in the course of good faith collective bargaining, or to any expenditure pursuant to obligations incurred under a bona fide collective bargaining agreement, or which would otherwise be permitted under the provisions of the National Labor Relations Act.
- C. Administration. The Chief Executive Officer and the Internal Services Department shall be responsible for the administration of this chapter. The Chief Executive Officer and the Internal Services Department may, with the advice of County Counsel, issue interpretations of the provisions of this chapter. The Chief Executive Officer in conjunction with the Internal Services Department shall issue written instructions on the implementation and ongoing administration of this Chapter. Such instructions may provide for the delegation of functions to other County departments.
- D. Compliance Certification. An employer shall, during the term of a Proposition A contract, or a cafeteria services contract, report for each employee and certify the hours worked, wages paid, and provide other information deemed relevant to the enforcement of this Chapter by the County. Such reports shall be made at the times and in the manner set forth in instructions issued by the Chief Executive Officer in conjunction with the Internal Services Department. The Internal Services Department in conjunction with the Chief Executive Officer shall report annually to the Board of Supervisors on contractor compliance with the provisions of this Chapter.
- E. Contractor Standards. An employer shall demonstrate during the procurement process and for the duration of a Proposition A contract or a cafeteria services contract a history of business stability, integrity in employee relations, and the financial ability to pay a living wage.

(Ord. 2015-0061 § 3, 2015: Ord. 2011-0066 § 3, 2011: Ord. 99-0048 § 1 (part), 1999.)

2.201.060 - Employer retaliation prohibited.

No employer shall take an adverse action causing a loss of any benefit of employment, of any contract benefit, or any statutory benefit to any employee, person, or other entity, who has reported a violation of this chapter to the board of supervisors or to one or more of their offices, to the county chief

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administrative officer, or to the county auditor controller, or to the county department administering the Proposition A contract or cafeteria services contract.

(Ord. 99-0048 § 1 (part), 1999.)

2.201.070 - Employee retention rights.

In the event that any Proposition A contract or cafeteria service contract is terminated by the county prior to its expiration, any new contract with a subsequent employer for such services shall provide for the employment of the predecessor employer's employees as provided in this section.

- A. A "retention employee" is an employee of a predecessor employer:
1. Who is not an exempt employee under the minimum wage and maximum hour exemptions defined in the federal Fair Labor Standards Act;
 2. Who has been employed by an employer under a predecessor Proposition A contract or a predecessor cafeteria services contract for at least six months prior to the date of a new contract; and
 3. Who is or will be terminated from his or her employment as a result of the county entering into a new contract.
- B. Subsequent employers shall offer employment to all retention employees who are qualified for such jobs.
- C. A subsequent employer is not required to hire a retention employee who:
1. Has been convicted of a crime related to the job or his or her job performance; or
 2. Fails to meet any other county requirement for employees of a contractor.
- D. A subsequent employer may not terminate a retention employee for the first 90 days of employment under a new contract, except for cause. Thereafter a subsequent employer may retain a retention employee on the same terms and conditions as the subsequent employer's other employees.

(Ord. 99-0048 § 1 (part), 1999.)

2.201.080 - Enforcement and remedies.

For violation of any of the provisions of this chapter:

- A. An employee may bring an action in the courts of the state of California for damages caused by an employer's violation of this chapter.
- B. The county department head responsible for administering a Proposition A contract or a cafeteria services contract may do one or more of the following in accordance with such instructions as may be issued by the chief administrative officer:
1. Assess liquidated damages as provided in the contract; and/or
 2. Recommend to the board of supervisors the termination of the contract; and/or
 3. Recommend to the board of supervisors that an employer be barred from award of future county contracts for a period of time consistent with the seriousness of

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the employer's violation of this chapter, in accordance with Section 2.202.040 of this code.

(Ord. 2007-0011 § 4, 2007: Ord. 99-0048 § 1 (part), 1999.)

2.201.090 - Exceptions.

- A. Other Laws. This Chapter shall not be interpreted or applied to any employer or to any employee in a manner inconsistent with United States or California laws.
- B. Collective Bargaining Agreements. Any provision of this Chapter shall be superseded by a collective bargaining agreement that expressly so provides.

(Ord. 2015-0061 § 4, 2015: Ord. 99-0055 § 1, 1999: Ord. 99-0048 § 1 (part), 1999.)

2.201.100 - Severability.

If any provision of this chapter is found invalid by a court of competent jurisdiction, the remaining provisions shall remain in full force and effect.

(Ord. 99-0048 § 1 (part), 1999.)

Living Wage Rate Annual Adjustments

The Living Wage Ordinance is applicable to Proposition A and cafeteria services contracts. Employers shall pay employees a Living Wage for their services provided to the county of no less than the hourly rates and effective dates as follows:

Effective Date	Hourly Rate
March 1, 2016	\$13.25
January 1, 2017	\$14.25
January 1, 2018	\$15.00
January 1, 2019	\$15.79
January 1, 2020	\$16.31
January 1, 2021	CPI

Effective January 1, 2020, the Living Wage rate will be adjusted based on the U.S. Department of Labor, Bureau of Labor Statistics' Consumer Price Index (CPI) for the Los Angeles-Riverside-Orange County Area for the 12-month period preceding July 1 of each year.

The Chief Executive Office (CEO) will issue a memo advising departments of the CPI to be used when determining the Living Wage rate effective January 1, of each year thereafter.

Title 2 ADMINISTRATION
DETERMINATIONS OF CONTRACTOR NON-RESPONSIBILITY
AND CONTRACTOR DEBARMENT

2.202.010 Findings and declaration.**2.202.020 Definitions.****2.202.030 Determination of contractor non-responsibility.****2.202.040 Debarment of contractors.****2.202.050 Pre-emption.****2.202.060 Severability.****2.202.010 Findings and declarations.**

A. The board of supervisors finds that, in order to promote integrity in the county's contracting processes and to protect the public interest, the county's policy shall be to conduct business only with responsible contractors. The board of supervisors further finds that debarment is to be imposed only in the public interest for the county's protection and not for the purpose of punishment.

B. Determinations of contractor non-responsibility and contractor debarment shall be made in accordance with the procedures set forth in the ordinance codified in this chapter and implementation instructions issued by the Internal Services Department.

(Ord. 2014-0035 § 1, 2014: Ord. 2005-0066 § 1, 2005: Ord. 2000-0011 § 1 (part), 2000.)

2.202.020 - Definitions.

For purposes of this chapter, the following definitions apply:

A. "Contractor" means a person, partnership, corporation, or other entity who has contracted with, or is seeking to contract with, the county or a nonprofit corporation created by the county to provide goods to, or perform services for or on behalf of, the county or a nonprofit corporation created by the county. A contractor includes a contractor, subcontractor, vendor, or any of their respective officers, directors, owners, co-owners, shareholders, partners, managers, employees, or other individuals associated with the contractor, subcontractor, or vendor who participated in, knew of, or should reasonably have known of conduct that results in a finding of non-responsibility or debarment.

B. "Contract" means any agreement to provide goods to, or perform services for or on behalf of, the county or a nonprofit corporation created by the county.

C. "Debarment" means an action taken by the county which results in a contractor being prohibited from bidding or proposing on, being awarded and/or performing work on a contract with the county. A contractor who has been determined by the county to be subject to such a prohibition is "debarred."

D. "Department head" means either the head of a department responsible for administering a particular contract for the county or the designee of same.

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- E. "County" means the county of Los Angeles, any public entities for which the board of supervisors is the governing body, and any joint powers authorities of which the county is a member that have adopted county contracting procedures.
- F. "Contractor hearing board" means the persons designated to preside over contractor debarment hearings and make recommendations on debarment to the board of supervisors.
- G. Determination of "non-responsibility" means an action taken by the county which results in a contractor who submitted a bid or proposal on a particular contract being prohibited from being awarded and/or performing work on that contract. A contractor who has been determined by the county to be subject to such a prohibition is "non-responsible" for purposes of that particular contract.
- H. "Bid or proposal" means a bid, proposal, or any other response to a solicitation submitted by or on behalf of a contractor seeking an award of a contract.
 (Ord. 2014-0035 § 2, 2014: Ord. 2005-0066 § 2, 2005: Ord. 2004-0009 § 1, 2004: Ord. 2000-0011 § 1 (part), 2000.)

2.202.030 - Determination of contractor non-responsibility.

- A. Prior to a contract being awarded by the county, the county may determine that a contractor submitting a bid or proposal is non-responsible for purposes of that contract. In the event that the county determines that a contractor is non-responsible for a particular contract, said contractor shall be prohibited from being awarded and/or performing work on that contract.
- B. The county may declare a contractor to be non-responsible for purposes of a particular contract if the county, in its discretion, finds that the contractor has done any of the following: (1) violated a term of a contract with the county or a nonprofit corporation created by the county; (2) committed an act or omission which negatively reflects on the contractor's quality, fitness, or capacity to perform a contract with the county, any other public entity, or a nonprofit corporation created by the county, or engaged in a pattern or practice which negatively reflects on same; (3) committed an act or omission which indicates a lack of business integrity or business honesty; or (4) made or submitted a false claim against the county or any other public entity.
- C. The decision by the county to find a contractor non-responsible for a particular contract is within the discretion of the county. The seriousness and extent of the contractor's acts, omissions, patterns, or practices as well as any relevant mitigating or aggravating factors, including those described in Subsection 2.202.040 (E) below, may be considered by the county in determining whether a contractor should be deemed non-responsible.
- D. Before making a determination of non-responsibility pursuant to this chapter, the department head shall give written notice to the contractor of the basis for the proposed non-responsibility determination, and shall advise the contractor that a

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non-responsibility hearing will be scheduled on a date certain. Thereafter, the department head shall conduct a hearing where evidence on the proposed non-responsibility determination is presented. The contractor and/or attorney or other authorized representative of the contractor shall be afforded an opportunity to appear at the non-responsibility hearing and to submit documentary evidence, present witnesses, and offer rebuttal evidence. After such hearing, the department head shall prepare a proposed decision, which shall contain a recommendation regarding whether the contractor should be found non-responsible with respect to the contract(s) at issue. A record of the hearing, the proposed decision, and any recommendation shall be presented to the board of supervisors. The board of supervisors may, in its discretion, limit any further hearing to the presentation of evidence not previously presented. The board of supervisors shall have the right to modify, deny, or adopt the proposed decision and recommendation of the department head. A non-responsibility finding shall become final upon approval by the board of supervisors.

(Ord. 2005-0066 § 3, 2005: Ord. 2004-0009 § 2, 2004: Ord. 2000-0011 § 1 (part), 2000.)

2.202.040 - Debarment of contractors.

- A. The county may debar a contractor who has had a contract with the county in the preceding three years and/or a contractor who has submitted a bid or proposal for a new contract with the county.
- B. The county may debar a contractor if the county finds, in its discretion, that the contractor has done any of the following: (1) violated a term of a contract with the county or a nonprofit corporation created by the county; (2) committed an act or omission which negatively reflects on the contractor's quality, fitness, or capacity to perform a contract with the county, any other public entity, or a nonprofit corporation created by the county, or engaged in a pattern or practice which negatively reflects on same; (3) committed an act or omission which indicates a lack of business integrity or business honesty; or (4) made or submitted a false claim against the county or any other public entity.
- C. The decision by the county to debar a contractor is within the discretion of the county. The seriousness and extent of the contractor's acts, omissions, patterns, or practices as well as any relevant mitigating or aggravating factors, including those described in Subsection (E) below, may be considered by the county in determining whether to debar a contractor and the period of debarment. Generally, the period of debarment should not exceed five years. However, if circumstances warrant, the county may impose a longer period of debarment up to and including permanent debarment.

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- D. To impose a debarment period of longer than five years, and up to and including permanent debarment, in addition to the grounds described in Subsection (B) above, the county shall further find that the contractor's acts or omissions are of such an extremely serious nature that removal of the contractor from future county contracting opportunities for the specified period is necessary to protect the county's interests.
- E. Mitigating and aggravating factors that the county may consider in determining whether to debar a contractor and the period of debarment include but are not limited to:
- (1) The actual or potential harm or impact that results or may result from the wrongdoing.
 - (2) The frequency and/or number of incidents and/or duration of the wrongdoing.
 - (3) Whether there is a pattern or prior history of wrongdoing.
 - (4) A contractor's overall performance record. For example, the county may evaluate the contractor's activity cited as the basis for the debarment in the broader context of the contractor's overall performance history.
 - (5) Whether a contractor is or has been debarred, found non-responsible, or disqualified by another public entity on a basis of conduct similar to one or more of the grounds for debarment specified in this Section.
 - (6) Whether a contractor's wrongdoing was intentional or inadvertent. For example, the county may consider whether and to what extent a contractor planned, initiated, or carried out the wrongdoing.
 - (7) Whether a contractor has accepted responsibility for the wrongdoing and recognizes the seriousness of the misconduct that led to the grounds for debarment and/or has taken corrective action to cure the wrongdoing, such as establishing ethics training and implementing programs to prevent recurrence.
 - (8) Whether and to what extent a contractor has paid or agreed to pay criminal, civil, and administrative liabilities for the improper activity, and to what extent, if any, has the contractor made or agreed to make restitution.
 - (9) Whether a contractor has cooperated fully with the county during the investigation, and any court or administrative action. In determining the extent of cooperation, the county may consider when the cooperation began and whether the contractor disclosed all pertinent information known to the contractor.
 - (10) Whether the wrongdoing was pervasive within a contractor's organization.
 - (11) The positions held by the individuals involved in the wrongdoing.

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- (12) Whether a contractor participated in, knew of, or tolerated the offense.
 - (13) Whether a contractor brought the activity cited as a basis for the debarment to the attention of the county in a timely manner.
 - (14) Whether a contractor has fully investigated the circumstances surrounding the cause for debarment and, if so, made the result of the investigation available to the county.
 - (15) Whether a contractor had effective standards of conduct and internal control systems in place at the time the questioned conduct occurred.
 - (16) Whether a contractor has taken appropriate disciplinary action against the individuals responsible for the activity which constitutes the cause for debarment.
 - (17) Other factors that are appropriate to the circumstances of a particular case.
(Ord. 2014-0035 § 4, 2014: Ord. 2005-0066 § 4, 2005: Ord. 2004-0009 § 3, 2004: Ord. 2000-0011 § 1 (part), 2000.)
- F. Before making a debarment determination pursuant to this chapter, the department head shall give written notice to the contractor of the basis for the proposed debarment, and shall advise the contractor that a debarment hearing will be scheduled on a date certain. The contractor hearing board shall conduct a hearing where evidence on the proposed debarment is presented. The contractor and/or attorney or other authorized representative must be given an opportunity to appear at the debarment hearing and to submit documentary evidence, present witnesses, and offer rebuttal evidence at that hearing. After such hearing, the contractor hearing board shall prepare a proposed decision, which shall contain a recommendation regarding whether the contractor should be debarred and, if so, the appropriate length of time for the debarment. A record of the hearing, the proposed decision, and any recommendation shall be presented to the board of supervisors. The board of supervisors may, in its discretion, limit any further hearing to the presentation of evidence not previously presented. The board of supervisors shall have the right to modify, deny, or adopt the proposed decision and recommendation of the contractor hearing board. A debarment finding shall become final upon the approval of the board of supervisors.
- G. In making a debarment determination, the board of supervisors may also, in its discretion and consistent with the terms of any existing contracts that the contractor may have with the county, terminate any or all such existing contracts. In the event that any existing contract is terminated by the board of supervisors, the county shall maintain the right to pursue all other rights and remedies provided by the contract and/or applicable law.
- H. With respect to a contractor who has been debarred for a period longer than five years, the contractor may, after the debarment has been in effect for at least five

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years, request that the county review the debarment determination to reduce the period of debarment or terminate the debarment. The county may consider a contractor's request to review a debarment determination based upon the following circumstances: (1) elimination of the grounds for which the debarment was imposed; (2) a bona fide change in ownership or management; (3) material evidence discovered after debarment was imposed; or (4) any other reason that is in the best interests of the county. A request for review shall be in writing, supported by documentary evidence, and submitted to the chair of the contractor hearing board. The chair of the contractor hearing board may either: 1) determine that the written request is insufficient on its face and deny the contractor's request for review; or (2) schedule the matter for consideration by the contractor hearing board which shall hold a hearing to consider the contractor's request for review, and, after the hearing, prepare a proposed decision and a recommendation to be presented to the board of supervisors. The board of supervisors may, in its discretion, limit any further hearing to the presentation of evidence not previously presented. The board of supervisors shall have the right to modify, deny, or adopt the proposed decision and recommendation of the contractor hearing board. A reduction of the period of the debarment or termination of the debarment shall become final upon the approval of the board of supervisors. (Ord. 2005-0066 § 4, 2005: Ord. 2004-0009 § 3, 2004: Ord. 2000-0011 § 1 (part), 2000.)

2.202.050 - Pre-emption.

In the event any contract is subject to federal and/or state laws that are inconsistent with the terms of the ordinance codified in this chapter, such laws shall control.

(Ord. 2000-0011 § 1 (part), 2000.)

2.202.060 - Severability.

If any section, subsection, subpart or provision of this chapter, or the application thereof to any person or circumstances, is held invalid, the remainder of the provisions of this chapter and the application of such to other persons or circumstances shall not be affected thereby.

(Ord. 2000-0011 § 1 (part), 2000.)

GUIDELINES FOR ASSESSMENT OF PROPOSER LABOR LAW/PAYROLL VIOLATIONS

COUNTY DETERMINATION	RANGE OF DEDUCTION (Deduction is taken from the maximum evaluation points available)	
Proposer Name: _____ Contracting Department: _____ Department Contact Person: _____ Phone: _____	Proposer Fully Disclosed	Proposer <i>Did Not</i> Fully Disclose
MAJOR County determination, based on the Evaluation Criteria, that proposer has a record of very serious violations.*	8 - 10% Consider investigating a finding of proposer non-responsibility**	16 - 20% Consider investigating a finding of proposer non-responsibility**
SIGNIFICANT County determination, based on the Evaluation Criteria, that proposer has a record of significant violations.*	4 - 7%	8 - 14% Consider investigating a finding of proposer non-responsibility**
MINOR County determination, based on the Evaluation Criteria, that proposer has a record of relatively minor violations.*	2 - 3%	4 - 6%
INSIGNIFICANT County determination, based on the Evaluation Criteria, that proposer has a record of very minimal violations.*	0 - 1%	1 - 2%
NONE County determination, based on the Evaluation Criteria, that proposer does not have a record of violations.*	0	N/A

Assessment Criteria

* A "Labor Law/Payroll Violation" includes violations of any Federal, State or local statute, regulation or ordinance pertaining to wages, hours, working conditions such as minimum wage, prevailing wage, living wage, the Fair Labor Standards Act, employment of minors, or unlawful employment discrimination. The County may deduct points from a proposer's final evaluation score only for Labor Law/Payroll Violations with disposition by a public entity within the past three years of the date of the proposal.

The assessment and determination of whether a violation is major, significant, minor, or insignificant and the assignment of a percentage deduction shall include, but not be limited to, consideration of the following criteria and variables:

- Accuracy in self-reporting by proposer
- Health and/or safety impact
- Number of occurrences
- Identified patterns in occurrences
- Dollar amount of lost/delayed wages
- Assessment of any fines and/or penalties by public entities
- Proportion to the volume and extent of services provided, e.g., number of contracts, number of employees, number of locations, etc.

****County Code Title 2, Chapter 2.202.030 sets forth criteria for making a finding of contractor non-responsibility which are not limited to the above situations.**

BACKGROUND AND RESOURCES: CALIFORNIA CHARITIES REGULATION

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There is a keen public interest in preventing misuse of charitable contributions. California's "Supervision of Trustees and Fundraisers for Charitable Purposes Act" regulates those raising and receiving charitable contributions. The "Nonprofit Integrity Act of 2004" (SB 1262, Chapter 919) tightened Charitable Purposes Act requirements for charitable organization administration and fundraising.

The Charitable Purposes Act rules cover California public benefit corporations, unincorporated associations, and trustee entities. They may include similar foreign corporations doing business or holding property in California. Generally, an organization is subject to the registration and reporting requirements of the Charitable Purposes Act if it is a California nonprofit public benefit corporation or is tax exempt under Internal Revenue Code § 501(c)(3), and not exempt from reporting under Government Code § 12583. Most educational institutions, hospitals, cemeteries, and religious organizations are exempt from Supervision of Trustees Act requirements.

Key new Charitable Purposes Act requirements affect executive compensation, fund-raising practices and documentation. Charities with over \$2 million of revenues (excluding grants and service-contract funds a governmental entity requires to be accounted for) have new audit requirements. Charities required to have audits must also establish an audit committee whose members have no material financial interest in any entity doing business with the charity.

Organizations or persons that receive or raise charitable contributions are likely to be subject to the Charitable Purposes Act. A Proposer on Los Angeles County contracts must determine if it is subject to the Charitable Purposes Act and certify either that:

- It is not presently subject to the Act, but will comply if later activities make it subject, or,
- If subject, it is currently in compliance.

RESOURCES

The following references to resources are offered to assist Proposers who engage in charitable contributions activities. Each Proposer, however, is ultimately responsible to research and determine its own legal obligations and properly complete its compliance certification (Exhibit 19).

In California, supervision of charities is the responsibility of the Attorney General, whose website, <http://oag.ca.gov/> contains much information helpful to regulated charitable organizations.

1. LAWS AFFECTING NONPROFITS

The "Supervision of Trustees and Fundraisers for Charitable Purposes Act" is found at California Government Code §§ 12580 through 12599.7. Implementing regulations are found at Title 11, California Code of Regulations, §§ 300 through 312. In California, charitable solicitations ("advertising") are governed by Business & Professions Code §§ 17510 through 17510.95. Regulation of nonprofit corporations is found at Title 11, California Code of Regulations, §§ 999.1 through 999.5. (Amended regulations are pending.) Links to all of these rules are at: <http://oag.ca.gov/charities/laws>

BACKGROUND AND RESOURCES: CALIFORNIA CHARITIES REGULATION

2. SUPPORT FOR NONPROFIT ORGANIZATIONS

Several organizations offer both complimentary and fee-based assistance to nonprofits, including in Los Angeles, the *Center for Nonprofit Management*, 606 S. Olive St #2450, Los Angeles, CA 90014 (213) 623-7080 <http://www.cnmsocal.org/>, and statewide, the *California Association of Nonprofits*, <http://www.calnonprofits.org/>. Both organizations' websites offer information about how to establish and manage a charitable organization.

The above information, including the organizations listed, provided under this sub-section of this Appendix N is for informational purposes only. Nothing contained in this sub-section shall be construed as an endorsement by the County of Los Angeles of such organizations.

Title 2 ADMINISTRATION
Chapter 2.206
DEFAULTED PROPERTY TAX REDUCTION PROGRAM

- 2.206.010 Findings and declarations.**
- 2.206.020 Definitions.**
- 2.206.030 Applicability.**
- 2.206.040 Required solicitation and contract language.**
- 2.206.050 Administration and compliance certification.**
- 2.206.060 Exclusions/Exemptions.**
- 2.206.070 Enforcement and remedies.**
- 2.206.080 Severability.**

2.206.010 Findings and declarations.

The Board of Supervisors finds that significant revenues are lost each year as a result of taxpayers who fail to pay their tax obligations on time. The delinquencies impose an economic burden upon the County and its taxpayers. Therefore, the Board of Supervisors establishes the goal of ensuring that individuals and businesses that benefit financially from contracts with the County fulfill their property tax obligation. (Ord. No. 2009-0026 § 1 (part), 2009.)

2.206.020 Definitions.

The following definitions shall be applicable to this chapter:

- A. "Contractor" shall mean any person, firm, corporation, partnership, or combination thereof, which submits a bid or proposal or enters into a contract or agreement with the County.
- B. "County" shall mean the county of Los Angeles or any public entities for which the Board of Supervisors is the governing body.
- C. "County Property Taxes" shall mean any property tax obligation on the County's secured or unsecured roll; except for tax obligations on the secured roll with respect to property held by a Contractor in a trust or fiduciary capacity or otherwise not beneficially owned by the Contractor.
- D. "Department" shall mean the County department, entity, or organization responsible for the solicitation and/or administration of the contract.
- E. "Default" shall mean any property tax obligation on the secured roll that has been deemed defaulted by operation of law pursuant to California Revenue and Taxation Code section 3436; or any property tax obligation on the unsecured roll that remains unpaid on the applicable delinquency date pursuant to California Revenue and Taxation Code section 2922; except for any property tax obligation dispute pending before the Assessment Appeals Board.
- F. "Solicitation" shall mean the County's process to obtain bids or proposals for goods and services.
- G. "Treasurer-Tax Collector" shall mean the Treasurer and Tax Collector of the County of Los Angeles. (Ord. No. 2009-0026 § 1 (part), 2009.)

2.206.030 Applicability.

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Chapter 2.206
DEFAULTED PROPERTY TAX REDUCTION PROGRAM

This chapter shall apply to all solicitations issued 60 days after the effective date of the ordinance codified in this chapter. This chapter shall also apply to all new, renewed, extended, and/or amended contracts entered into 60 days after the effective date of the ordinance codified in this chapter. (Ord. No. 2009-0026 § 1 (part), 2009.)

2.206.040 Required solicitation and contract language.

All solicitations and all new, renewed, extended, and/or amended contracts shall contain language which:

- A. Requires any Contractor to keep County Property Taxes out of Default status at all times during the term of an awarded contract;
- B. Provides that the failure of the Contractor to comply with the provisions in this chapter may prevent the Contractor from being awarded a new contract; and
- C. Provides that the failure of the Contractor to comply with the provisions in this chapter may constitute a material breach of an existing contract, and failure to cure the breach within 10 days of notice by the County by paying the outstanding County Property Tax or making payments in a manner agreed to and approved by the Treasurer-Tax Collector, may subject the contract to suspension and/or termination. (Ord. No. 2009-0026 § 1 (part), 2009.)

2.206.050 Administration and compliance certification.

- A. The Treasurer-Tax Collector shall be responsible for the administration of this chapter. The Treasurer-Tax Collector shall, with the assistance of the Chief Executive Officer, Director of Internal Services, and County Counsel, issue written instructions on the implementation and ongoing administration of this chapter. Such instructions may provide for the delegation of functions to other departments.
- B. Contractor shall be required to certify, at the time of submitting any bid or proposal to the County, or entering into any new contract, or renewal, extension or amendment of an existing contract with the County, that it is in compliance with this chapter is not in Default on any County Property Taxes or is current in payments due under any approved payment arrangement. (Ord. No. 2009-0026 § 1 (part), 2009.)

2.206.060 Exclusions/Exemptions.

- A. This chapter shall not apply to the following contracts:
 1. Chief Executive Office delegated authority agreements under \$50,000;
 2. A contract where federal or state law or a condition of a federal or state program mandates the use of a particular contractor;

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3. A purchase made through a state or federal contract;
 4. A contract where state or federal monies are used to fund service related programs, including but not limited to voucher programs, foster care, or other social programs that provide immediate direct assistance;
 5. Purchase orders under a master agreement, where the Contractor was certified at the time the master agreement was entered into and at any subsequent renewal, extension and/or amendment to the master agreement.
 6. Purchase orders issued by Internal Services Department under \$100,000 that is not the result of a competitive bidding process.
 7. Program agreements that utilize Board of Supervisors' discretionary funds;
 8. National contracts established for the purchase of equipment and supplies for and by the National Association of Counties, U.S. Communities Government Purchasing Alliance, or any similar related group purchasing organization;
 9. A monopoly purchase that is exclusive and proprietary to a specific manufacturer, distributor, reseller, and must match and inter-member with existing supplies, equipment or systems maintained by the county pursuant to the Los Angeles Purchasing Policy and Procedures Manual, section P-3700 or a successor provision;
 10. A revolving fund (petty cash) purchase pursuant to the Los Angeles County Fiscal Manual, section 4.6.0 or a successor provision;
 11. A purchase card purchase pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, section P-2810 or a successor provision;
 12. A non-agreement purchase worth a value of less than \$5,000 pursuant to the Los Angeles County Purchasing Policy and Procedures Manual, section A-0300 or a successor provision; or
 13. A bona fide emergency purchase pursuant to the Los Angeles County Purchasing Policy and Procedures Manual section P-0900 or a successor provision;
 14. Other contracts for mission critical goods and/or services where the Board of Supervisors determines that an exemption is justified.
- B. Other laws. This chapter shall not be interpreted or applied to any Contractor in a manner inconsistent with the laws of the United States or California. (Ord. No. 2009-0026 § 1 (part), 2009.)

2.206.070 Enforcement and remedies.

- A. The information furnished by each Contractor certifying that it is in compliance with this chapter shall be under penalty of perjury.

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Chapter 2.206
DEFAULTED PROPERTY TAX REDUCTION PROGRAM

- B. No Contractor shall willfully and knowingly make a false statement certifying compliance with this chapter for the purpose of obtaining or retaining a County contract.
- C. For Contractor's violation of any provision of this chapter, the County department head responsible for administering the contract may do one or more of the following:
 - 1. Recommend to the Board of Supervisors the termination of the contract; and/or,
 - 2. Pursuant to chapter 2.202, seek the debarment of the contractor; and/or,
 - 3. Recommend to the Board of Supervisors that an exemption is justified pursuant to Section 2.206.060.A.14 of this chapter or payment deferral as provided pursuant to the California Revenue and Taxation Code. (Ord. No. 2009-0026 § 1 (part), 2009.)

2.206.080 Severability.

If any provision of this chapter is found invalid by a court of competent jurisdiction, the remaining provisions shall remain in full force and effect. (Ord. No. 2009-0026 § 1 (part), 2009.)